# Geometric Combinatorics in the Study of Compact Toric Surfaces

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ABSTRACT. The compact toric surfaces can be classified up to isomorphism by means of suitable weighted directed graphs, and their invariants (both "conventional" and "stringy") are to be expressed by closed formulae depending on their combinatorial data. Moreover, the nonsingular ones possess uniquely determined anticanonical models which are toric log Del Pezzo surfaces.

## Introduction

By the well-known *Enriques-Kodaira classification* one subdivides the class of the minimal models of nonsingular compact complex surfaces into several subclasses according to the values taken from their Kodaira dimension  $(-\infty, 0, 1, \text{ or } 2)$  and from their other invariants (first Betti number, topological Euler characteristic, self-intersection of the canonical divisor etc.); see, e.g., [2, Ch. 8-9], [3, Ch. VI], [9, Ch. VII-X], [21, Ch. 4], [36, Ch. 1, §7], or [37, Ch. 1]. Nonsingular projective minimal surfaces having Kodaira dimension  $-\infty$  (that is, either rational or ruled over a nonsingular compact curve of genus  $\geq 1$ ) occupy a distinguished position in the classification table because they correspond, in the MMP-terminology (by the hard dichotomy, cf. [36, Thm. 1.5.5]), to the so-called Mori's fiber spaces in dimension 2. In the mid 1980's Sakai [51], [52], provided a classification (analogous to the "Enriques' part" of the above mentioned) for normal projective (or, more generally, Moishezon) surfaces, and generalized the notion of minimal models for normal pairs. Even in this framework, projective normal surfaces with Kodaira dimension  $-\infty$  play apparently a pivotal role in answering various questions which arise in two-dimensional birational geometry (cf. [49], [52], and [33]).

Compact toric surfaces are rational surfaces  $X_{\Delta}$  equipped with an algebraic action of a two-dimensional (algebraic) torus  $\mathbb{T}$ , containing an open dense  $\mathbb{T}$ -orbit, and admitting at worst normal, log-terminal singularities. Since they are defined by means of complete fans  $\Delta$  consisting of two-dimensional rational strongly convex polyhedral cones, lots of their main algebro-geometric properties are to be described by suitable combinatorial properties of these cones. The aim of the present paper is to stress the particular importance of a systematic use of geometric combinatorics in the study of  $X_{\Delta}$ 's as, for instance, in the computation of their invariants, in their classification (up to biregular isomorphism), in the examination of their minimal,

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antiminimal and anticanonical models (in the sense of Sakai) etc. More precisely, the paper is organized as follows:

 $\triangleright$  After having established in this section the general algebro-geometric terminology which will be used in the sequel, we survey briefly in §1 those parts of the theory of normal surfaces being involved in the treatment of compact toric surfaces.

 $\triangleright$  In §2 we recall some fundamental notions from toric geometry and fix our notation. A detailed study of the 2-dimensional s.c.p. cones (including their latticegeometric properties and number-theoretic parametrization), as well as of the 2dimensional toric singularities (including their quotient space structure, their defining equations, and their minimal resolutions) is presented in §3.

 $\triangleright$  In §4 we introduce the concept of the *combinatorial data* of a compact toric surface  $X_{\Delta}$ . These data, together with the intersection numbers of pairs of Tinvariant Weil divisors and appropriate generalizations of Noether's formula are used to determine the classical invariants of  $X_{\Delta}$ , with the self-intersection  $K_{X_{\Delta}}^2$  of its canonical divisor considered as the prominent one (see formulae (4.15), (4.16), and (4.17)).

 $\triangleright$  Section 5 is devoted to the classification (up to biregular isomorphism) of compact toric surfaces by means of WVE<sup>2</sup>C-graphs (see Theorems 5.8 and 5.10).

 $\triangleright$  In Section 6 we apply the general theory of §1 to obtain minimal models of normal pairs  $(X_{\Delta}, D)$ , and then we focus on the antiminimal and anticanonical models of *nonsingular*  $X_{\Delta}$ 's. The latter ones are necessarily *toric log Del Pezzo surfaces*. Though the complete classification of these surfaces (up to biregular isomorphism) remains an open combinatorial problem, some first partial results are accomplished by Theorems 6.10 and 6.12, in which it is shown that there are only 16 surfaces of this kind having index 1, and only 7 surfaces having index 2 and Picard number 1, respectively.

 $\triangleright$  In §7 we explain how one can compute the Euler-Poincaré characteristic of the coherent sheaf  $\mathcal{O}_{X_{\Delta}}(D)$  associated to a Weil divisor D on  $X_{\Delta}$  via a generalized Riemann-Roch formula whose correction terms depend on the combinatorial data of  $X_{\Delta}$ .

 $\triangleright$  Finally, in §8 we compute the *stringy E*-function of any compact toric surface.

• General terminology. (i) If X is a complex variety, i.e., an integral separated scheme of finite type over  $\mathbb{C}$ , then its punctual algebraic behaviour is determined by the stalks  $\mathcal{O}_{X,x}$  of its structure sheaf  $\mathcal{O}_X$ , and X itself is said to have a given algebraic property (e.g., to be normal, Gorenstein, Cohen-Macaulay etc) whenever all  $\mathcal{O}_{X,x}$ 's have the analogous property for all  $x \in X$ . Furthermore, via the GAGAcorrespondence (cf. [23, App. B]) we may work in the analytic category by means of the usual contravariant functor between the category of isomorphy classes of germs (X, x) and the corresponding category of isomorphy classes of analytic local rings at the marked points x.

(ii) Let X be a normal complex variety. We denote by  $\operatorname{Div}_{\mathbf{P}}(X)$ ,  $\operatorname{Div}_{\mathbf{W}}(X)$ , and  $\operatorname{Div}_{\mathbf{C}}(X)$ , the additive groups of principal, Weil and Cartier divisors on X, respectively (see [23, Ch. II, §6]), and by  $\operatorname{Div}_{\mathbf{W}}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$  (resp.,  $\operatorname{Div}_{\mathbf{C}}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$ ) the group of  $\mathbb{Q}$ -Weil (resp.,  $\mathbb{Q}$ -Cartier) divisors. Two Weil divisors D and D' are said to be linearly equivalent, written  $D \sim D'$ , if  $D - D' \in \operatorname{Div}_{\mathbf{P}}(X)$ . (In many formulae involving Weil divisors we prefer to write "=" instead of "~", but it will be always

clear what is meant.) For the corresponding divisor class groups on X we introduce the notation

$$\operatorname{Cl}\operatorname{Div}_{W}(X) := \operatorname{Div}_{W}(X)/\operatorname{Div}_{P}(X) \supseteq \operatorname{Div}_{C}(X)/\operatorname{Div}_{P}(X) =: \operatorname{Cl}\operatorname{Div}_{C}(X).$$

Denoting by  $Rat_X$  the constant sheaf of rational functions of X, there is a bijection

$$\operatorname{ClDiv}_{W}(X) \ni \{D\} \xrightarrow{\Upsilon} \{\mathcal{O}_{X}(D)\} \in \left\{ \begin{array}{c} \operatorname{reflexive subsheaves} \\ \operatorname{of} Rat_{X} \text{ having rank } 1 \end{array} \right\} / H^{0}(X, \mathcal{O}_{X}^{*}).$$

(A coherent sheaf of  $\mathcal{O}_X$ -modules is called *reflexive* if it is isomorphic to its bidual, cf. [24].)  $\mathcal{O}_X(D)$  is defined by sending every non-empty open set U of X onto

$$U \longmapsto \mathcal{O}_X(D)(U) := \left\{ \phi \in \mathbb{C}(X)^* \mid (\operatorname{div}(\phi) + D) \mid_U \ge 0 \right\} \cup \{\mathbf{0}\}.$$

The restriction  $\Upsilon|_{\operatorname{ClDiv}_{C}(X)}$  gives the group isomorphism  $\operatorname{ClDiv}_{C}(X) \cong \operatorname{Pic}(X)$ , where  $\operatorname{Pic}(X)$  is the *Picard group* of X (i.e., the group of isomorphism classes of invertible sheaves on X, cf. [23, Proposition II.6.15, p. 145]). A Q-Cartier divisor D on X is said to be *ample* if some multiple of it is an integral very ample Cartier divisor (that is, a hyperplane section for some immersion of X into a projective space). If X is compact, a necessary and sufficient condition for D to be ample is given by the so-called *Nakai's criterion* (see [22, Thm. 5.1, pp. 30-32]).

(iii) For a complex variety X, we denote by

 $\operatorname{Sing}(X) := \{ x \in X \mid \mathcal{O}_{X,x} \text{ is a non-regular local ring} \}$ 

its singular locus. Let now  $\Omega_{\operatorname{Reg}(X)/\mathbb{C}}$  be the sheaf of Kähler differentials on the regular locus  $\operatorname{Reg}(X) := X \setminus \operatorname{Sing}(X) \stackrel{\iota}{\hookrightarrow} X$ . If X is normal, the unique (up to "~") Weil divisor  $K_X$ , which maps under  $\Upsilon$  to the canonical divisorial sheaf

$$\omega_X := \iota_* \left( \bigwedge^{\dim_{\mathbb{C}}(X)} \Omega_{\operatorname{Reg}(X)/\mathbb{C}} \right),$$

is called the *canonical divisor* of X. Such an X is said to be  $\mathbb{Q}$ -Gorenstein if  $K_X$  is a  $\mathbb{Q}$ -Cartier divisor.

(iv) For a  $\mathbb{Q}$ -Weil divisor D on a normal compact complex variety X, we define

$$\operatorname{kod}(X,D) := \begin{cases} \operatorname{trans.deg}_{\mathbb{C}}(R(X,D)) - 1, & \text{if } R(X,D) \neq \mathbb{C} \\ -\infty, & \text{otherwise,} \end{cases}$$

as the *D*-dimension of X, where  $R(X,D) := \bigoplus_{m \ge 0} H^0(X, \mathcal{O}_X(mD))$ . In particular,  $\operatorname{kod}(X, K_X)$  (resp.,  $\operatorname{kod}(X, -K_X)$ ) is called the *Kodaira dimension* (resp., the *anti-Kodaira dimension*) of X.

(v) For a birational morphism  $f:X\longrightarrow Y$  between complex varieties we denote by

$$\operatorname{Exc}(f) := \left\{ x \in X \mid f^{-1} \text{ is not a morphism at } f(x) \right\}$$

the exceptional locus of f (equipped with the reduced subscheme structure). By a desingularization (or resolution of singularities) of a singular X we mean a proper, surjective birational morphism  $f: \widehat{X} \longrightarrow X$  with  $f|_{\widehat{X} \setminus \text{Exc}(f)}$  an isomorphism and  $\text{Sing}(\widehat{X}) = \emptyset$ . (Throughout this paper all birational morphisms will be assumed to be proper.)

(vi) A Q-Gorenstein complex variety X is said to have at worst *log-terminal* (respectively, *canonical* / *terminal*) singularities if there exists a desingularization  $f: \hat{X} \longrightarrow X$  of X such that all coefficients  $\eta_j \in \mathbb{Q}$  in the *discrepancy divisor* 

$$K_{\widehat{X}} - f^*(K_X) = \sum_j \eta_j \ D_j \in \operatorname{Div}_{\mathcal{C}}(X) \otimes_{\mathbb{Z}} \mathbb{Q},$$

w.r.t.  $f \text{ are } > -1 \ ( \ge 0 \ / > 0)$ . (This property is independent of the choice of f, with  $f^*$  denoting the pull-back of  $\mathbb{Q}$ -Cartier divisors by f.) In particular, f is called *crepant* whenever  $\eta_j = 0$  for all j.

## 1. Preliminaries from the theory of normal surfaces

In this preliminary section we recall some basic notions from the intersection theory and the singularity theory of compact normal surfaces, and we give the definition of *minimal* (resp., *canonical*) *model* of a *normal pair* in the sense of Sakai [50]. (Convention: We use the word *surface* to mean a two-dimensional complex variety. A *curve* on a surface will be an 1-dimensional reduced, complete subscheme of it.)

• Intersection theory on nonsingular surfaces. Let X be a nonsingular surface. The *intersection number*  $D_1 \cdot D_2$  of two Cartier divisors  $D_1, D_2$  on X can be always defined provided that the intersection of their supports is compact (see Fulton [19, 2.4.9, p. 40]). For instance, if x is an isolated intersection of two curves  $C_1, C_2$  on X, with  $f, g \in \mathcal{O}_{X,x}$  specifying their local equations, then

$$i_x(C_1, C_2) := \dim_{\mathbb{C}}(\mathcal{O}_{X, x}/(f_x, g_x))$$

is the *intersection multiplicity* of  $C_1$  and  $C_2$  at x, and

$$C_1 \cdot C_2 = \sum_{x \in C_1 \cap C_2} i_x(C_1, C_2),$$

whenever  $C_1, C_2$  have no common irreducible component. On the other hand, to extend this definition in the general case, the *self-intersection number*  $C^2$  of a curve  $C \stackrel{\iota}{\hookrightarrow} X$  is introduced as follows: Let  $\mathcal{I}_C := \operatorname{Ker}(\mathcal{O}_X \to \iota_* \mathcal{O}_C)$  be the *ideal sheaf* of C in X. We consider the sheaf of  $\mathcal{O}_C$ -modules  $\mathcal{I}_C/\mathcal{I}_C^2 \cong \mathcal{O}_X(-C) \otimes \mathcal{O}_C$ , we pass to its dual

$$\mathcal{N}_{X/C} := \underline{\mathrm{Hom}}(\mathcal{I}_C/\mathcal{I}_C^2, \mathcal{O}_C) \cong \mathcal{O}_X(C) \otimes \mathcal{O}_C$$

(the so-called *normal sheaf* of C in X), and then we define

$$C^2 := C \cdot C := \deg_C \left( \mathcal{N}_{X/C} \right). \tag{1.1}$$

• Intersection theory on compact normal surfaces. We recall the definition of intersection numbers of  $\mathbb{Q}$ -Weil divisors on compact normal surfaces, due to Mumford [38, pp. 17-18]. Let  $f : Z \longrightarrow Y$  be a desingularization a compact normal surface Y with  $\operatorname{Exc}(f) = \bigcup_{j=1}^{s} E_j$ . The *inverse image*  $f^*D$  of a  $\mathbb{Q}$ -Weil divisor D is defined to be

$$f^*D = \overline{D} + \sum_{j=1}^s a_j E_j \in \operatorname{Div}_{\mathcal{C}}(Z) \otimes_{\mathbb{Z}} \mathbb{Q},$$

where  $\overline{D}$  is the strict transform of D via f, and the rational numbers  $a_1, \ldots, a_s$  are uniquely determined by the equations:

$$\overline{D} \cdot E_{j'} + \left(\sum_{j=1}^{s} a_j E_j\right) \cdot E_{j'} = 0, \quad \forall j' \in \{1, \dots, s\}.$$

In this manner, one constructs a group homomorphism

$$f^* : \operatorname{Div}_{W}(Y) \otimes_{\mathbb{Z}} \mathbb{Q} \longrightarrow \operatorname{Div}_{C}(Z) \otimes_{\mathbb{Z}} \mathbb{Q}.$$

(Note that, even if D is integral on Y,  $f^*D$  is in general a Q-Cartier divisor on Z, and that  $f_*\mathcal{O}_Z(f^*D) \cong \mathcal{O}_Y(D)$ , where  $f_*$  is the direct image under f.) The (fractional) intersection number  $D \cdot D'$  of two Q-Weil divisors D, D' on Y is defined to be the image of the pair (D, D') under the symmetric, Q-bilinear map

$$(\operatorname{Div}_{W}(Y) \otimes_{\mathbb{Z}} \mathbb{Q}) \times (\operatorname{Div}_{W}(Y) \otimes_{\mathbb{Z}} \mathbb{Q}) \ni (D, D') \longmapsto D \cdot D' := f^{*}D \cdot f^{*}D' \in \mathbb{Q},$$

and is to be computed by utilizing the usual intersection pairings on Z with coefficients taken from  $\mathbb{Q}$ . In addition,  $D \cdot D'$  is well-defined, in the sense, that it does not depend on the particular choice of f (see Fulton [19, 7.1.16, p. 125]).

• Contractibility criterion. Let Y be a normal surface. The singularities of Y are isolated, because  $\operatorname{codim}(\operatorname{Sing}(Y)) \geq 2$ . Let  $y \in Y$  be a singularity and  $f: Z \longrightarrow Y$  a desingularization of Y. The set-theoretic inverse image  $f^{-1}(y)$  of y consists of finitely many irreducible curves  $E_1, \ldots, E_s$ . By Zariski's Main Theorem (see [23, Corollary III.11.4, p. 280])  $E := \sum_{j=1}^{s} E_j$  is connected (as topological space). On the other hand, we say that a connected curve C on Y is contracted to x if there is a birational morphism  $\varphi: Y \longrightarrow X$ , with X normal,  $x = \varphi(C) \in X$ , such that  $Y \setminus C \cong X \setminus \{x\}$ .

THEOREM 1.1. A connected curve C on a compact normal surface Y having  $C_1, \ldots, C_s$  as its irreducible components can be contracted to a normal point if and only if the intersection matrix  $(C_i \cdot C_j)_{1 \le i,j \le s}$  is negative definite.

PROOF. It suffices to pass to an arbitrary desingularization of Y and to apply the classical Grauert's criterion [20, p. 367]; compare [50, Thm. 2.1., p. 878].  $\Box$ 

• Minimal desingularization. A desingularization  $f: X' \longrightarrow X$  of a normal surface X is minimal if Exc(f) does not contain any curve with self-intersection number -1 or, equivalently, if for an arbitrary desingularization  $g: X'' \longrightarrow X$  of X, there exists a unique morphism  $h: X'' \longrightarrow X'$  with  $g = f \circ h$ . A desingularization of a normal surface is good if (i) the irreducible components of the exceptional locus are smooth curves, and (ii) the preimage of each singular point is a divisor with simple normal crossings. For the proof of the uniqueness, up to (biregular) isomorphism, of both minimal and good minimal desingularizations, see Brieskorn [13, Lemma 1.6, p. 81] and Laufer [35, Thm. 5.12, pp. 91-92].

• Local canonical divisors. Let Y be a projective normal surface and  $f: \tilde{Y} \longrightarrow Y$  its minimal desingularization. Let  $E_y := \sum_{j=1}^{s_y} E_j^{(y)}$  denote the fibre over a point  $y \in \operatorname{Sing}(Y)$ . We define the *local canonical divisor* 

$$K(E_y) = \sum_{j=1}^{s_y} c_j^{(y)} E_j^{(y)} \in \operatorname{Div}_{\mathcal{C}}(\widetilde{Y}) \otimes_{\mathbb{Z}} \mathbb{Q}$$

of  $\widetilde{Y}$  at y by the equations:

$$K(E_y) \cdot E_j^{(y)} = K_{\widetilde{Y}} \cdot E_j^{(y)}, \quad \forall j \in \{1, \dots, s_y\}.$$

Hence, we get

$$\sum_{\mathbf{f} \in \operatorname{Sing}(Y)} K(E_y) = K_{\widetilde{Y}} - f^* K_Y \in \operatorname{Div}_{\mathbf{C}}(\widetilde{Y}) \otimes_{\mathbb{Z}} \mathbb{Q},$$

where all coefficients  $\left\{ c_{j}^{(y)} \middle| y \in \operatorname{Sing}(Y), j \in \{1, \ldots, s_{y}\} \right\}$  are nonpositive rationals (because  $K(E_{y}) \cdot E_{j}^{(y)} \geq 0$  for all  $j \in \{1, \ldots, s_{y}\}$  and all  $y \in \operatorname{Sing}(Y)$ ). If all  $c_{j}^{(y)}$ 's belong to the interval (-1, 0], then Y has at worst log-terminal singularities which turn out to be quotient singularities (see, e.g., [36, Thm. 4.6.18, pp. 215-218] or [37, Lemma 4.1.1, pp. 117-118]). If all  $c_{j}^{(y)}$ 's are = 0, then Y has at worst canonical singularities, i.e., rational A-D-E-singularities (also called *Kleinian* or Du Val singularities), cf. [36, Thm. 4.6.7, pp. 197-212]. (Terminal singularities are not present in dimension 2).

• Minimal models. From now on we consider normal pairs (Y, D), i.e., pairs consisting of a projective normal surface Y and a Q-Weil divisor D on Y. A birational morphism  $f : (Y, D) \longrightarrow (Y', D')$  between two normal pairs is a birational morphism  $f : Y \longrightarrow Y'$  (in the common sense) with  $\text{Exc}(f) = \bigcup_{j=1}^{s} E_j$  and  $f_*\mathcal{O}_Y(D) = \mathcal{O}_{Y'}(D')$  or, equivalently,

$$D - f^*D' = \sum_{j=1}^s d_j E_j \in \operatorname{Div}_{W}(Y) \otimes_{\mathbb{Z}} \mathbb{Q}.$$
 (1.2)

DEFINITION 1.2. An irreducible curve C on Y is called exceptional curve of first kind for (Y, D) if  $C^2 < 0$  and  $D \cdot C < 0$ . We say that Y is minimal with respect to Dif it does not contain any exceptional curve of the first kind for (Y, D). We say that a normal pair (Y', D') is a minimal model of (Y, D) if (i) Y' is minimal w.r.t. D'(in the above sense) and (ii) there is a birational morphism  $f : (Y, D) \longrightarrow (Y', D')$ which is either an isomorphism or totally discrepant, that is,  $\text{Exc}(f) \neq \emptyset$  and all  $d_j$ 's in (1.2) are strictly positive. (Such an f can be always factorized into a sequence of successive contractions of exceptional curves of the first kind w.r.t. D, cf. [50, Proposition 7.3., pp. 884-885.].)

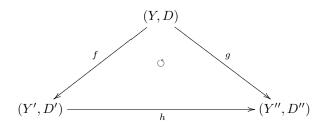
DEFINITION 1.3. Let D be a Q-Weil divisor D on a projective normal surface Y. We say that D is *nef* (numerically effective) if  $D \cdot C \ge 0$  for all irreducible curves C on Y, and that D is *pseudoeffective* if  $D \cdot E \ge 0$  for all nef divisors E on Y.

THEOREM 1.4. ([50, Thm. 7.4]) Every normal pair (Y, D) has a minimal model. Furthermore, if D is pseudoeffective, then (Y, D) admits a unique minimal model (Y', D'). In this case, D' is nef.

REMARK 1.5. (a) In particular, we say that Y' is a minimal model of Y whenever  $(Y', K_{Y'})$  is a minimal model of  $(Y, K_Y)$ . Analogously, we say that Y' is an antiminimal model of Y whenever  $(Y', -K_{Y'})$  is a minimal model of  $(Y, -K_Y)$ .

(b) If C is an exceptional curve of the first kind for  $(Y, K_Y)$ , then  $\overline{C}^2 = -1$ , where  $\overline{C}$  denotes the strict transform of C by the minimal desingularization  $f: \widetilde{Y} \longrightarrow Y$  of Y (cf. [51, Lemma 1.1, p. 629]). If Y happens to be nonsingular, an exceptional curve of the first kind for  $(Y, K_Y)$  is, as usual, a (-1)-curve.

• Canonical models. A normal pair (Y, D) is canonical if Y contains no irreducible curves C with  $C^2 < 0$  and  $D \cdot C \leq 0$ . We say that a normal pair (Y'', D'') is a canonical model of a normal pair (Y, D) if (i) (Y'', D'') is canonical and (ii) there is a birational morphism  $g: (Y, D) \longrightarrow (Y'', D'')$  of normal pairs such that all coefficients of  $D - g^*D''$  are nonnegative. Every normal pair has a canonical model. Furthermore, every canonical model (Y', D'') of a normal pair (Y, D) factors through a minimal model (Y', D'):



where  $D' = h^* D''$  and f is either an isomorphism or a totally discrepant birational morphism (cf. [50, p. 886]).

NOTE 1.6. In analogy to 1.5 (a), we simply say that Y'' is a *canonical model* of Y whenever  $(Y'', K_{Y''})$  is a canonical model of  $(Y, K_Y)$ , and that Y'' is an *anticanonical model* of Y whenever  $(Y'', -K_{Y''})$  is a canonical model of  $(Y, -K_Y)$ .

THEOREM 1.7. ([50, § 7]) If (Y, D) is a normal pair with D pseudoeffective and kod(Y, D) = 2, then (Y, D) admits a unique canonical model (Y'', D''). Furthermore, if the ring R(Y, D) is finitely generated, then D'' is an ample  $\mathbb{Q}$ -Cartier divisor on Y'', and  $Y'' \cong \operatorname{Proj}(R(Y, D))$ .

• Rational surfaces. These are surfaces birationally equivalent to the projective plane  $\mathbb{P}^2_{\mathbb{C}}$ , having Kodaira dimension  $-\infty$ . A characterization of the class of non-singular rational surfaces with anti-Kodaira dimension 2 is given in the following result of Sakai:

THEOREM 1.8. ([49, Thm. 4.3]) Let X be a nonsingular rational surface with  $kod(X, -K_X) = 2$ . Then the anticanonical ring  $R(X, -K_X)$  is finitely generated and the anticanonical model  $X_{antican} \cong Proj(R(X, -K_X))$  of X has the following properties:

(i) X<sub>antican</sub> has at worst isolated rational singularities.

(ii)  $-K_{X_{\text{antican}}}$  is an ample  $\mathbb{Q}$ -Cartier divisor.

Moreover, if Y is a normal projective surface satisfying (i) and (ii), and if we denote by  $f: X \longrightarrow Y$  its minimal desingularization, then X is a rational surface with  $kod(X, -K_X) = 2$  and  $Y \cong X_{antican}$ .

## 2. Preliminaries from toric geometry

Before we are going to deal exclusively with toric *surfaces*, we recall those fundamental notions and auxiliary results from the *general theory* of toric varieties which will be used substantially in the sequel. For further details the reader is referred to the books of Oda [41], [42], and Fulton [18].

• Fundamental notions. The linear hull, the affine hull, the positive hull and the convex hull of a set B of vectors of  $\mathbb{R}^d$ ,  $d \ge 1$ , will be denoted by  $\lim(B)$ ,  $\operatorname{aff}(B)$ ,

pos(B) (or  $\mathbb{R}_{\geq 0} B$ ) and conv(B), respectively. The dimension dim(B) of a  $B \subset \mathbb{R}^d$  is defined to be the dimension of aff(B).

Let N be a free Z-module of rank  $d \geq 1$ . N can be regarded as a *lattice* within  $N_{\mathbb{R}} := N \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^d$ . The *lattice determinant* det(N) of N is the d-volume of the parallelepiped spanned by a Z-basis of it. An  $\mathbf{n} \in N$  is called *primitive* if conv( $\{\mathbf{0}, \mathbf{n}\}$ )  $\cap N$  contains no other points except **0** and **n**.

Let N be as above,  $M := \operatorname{Hom}_{\mathbb{Z}}(N,\mathbb{Z})$  its dual,  $N_{\mathbb{R}}$ ,  $M_{\mathbb{R}}$  their real scalar extensions, and  $\langle ., . \rangle : M_{\mathbb{R}} \times N_{\mathbb{R}} \to \mathbb{R}$  the natural  $\mathbb{R}$ -bilinear pairing. A subset  $\sigma$ of  $N_{\mathbb{R}}$  is called a *convex polyhedral cone* (*c.p.c.*, for short) if there exist vectors  $\mathbf{n}_1, \ldots, \mathbf{n}_k \in N_{\mathbb{R}}$  such that  $\sigma = \operatorname{pos}(\{\mathbf{n}_1, \ldots, \mathbf{n}_k\})$ . Its *relative interior*  $\operatorname{int}(\sigma)$  is the usual topological interior of it and considered as a subset of  $\lim(\sigma) = \sigma + (-\sigma)$ . The *dual cone*  $\sigma^{\vee}$  of a c.p.c.  $\sigma$  is a c.p. cone defined by

$$\sigma^{\vee} := \{ \mathbf{y} \in M_{\mathbb{R}} \mid \langle \mathbf{y}, \mathbf{x} \rangle \ge 0, \ \forall \mathbf{x}, \ \mathbf{x} \in \sigma \} \ .$$

Note that  $(\sigma^{\vee})^{\vee} = \sigma$  and

$$\dim (\sigma \cap (-\sigma)) + \dim (\sigma^{\vee}) = \dim (\sigma^{\vee} \cap (-\sigma^{\vee})) + \dim (\sigma) = d.$$

A subset  $\tau$  of a c.p.c.  $\sigma$  is called a *face* of  $\sigma$  (notation:  $\tau \prec \sigma$ ), if for some  $\mathbf{m}_0 \in \sigma^{\vee}$  we have  $\tau = \{\mathbf{x} \in \sigma \mid \langle \mathbf{m}_0, \mathbf{x} \rangle = 0\}$ . 1-dimensional faces are called *rays*.

A c.p.c.  $\sigma = \text{pos}(\{\mathbf{n}_1, \ldots, \mathbf{n}_k\})$  is called *simplicial* (resp., *rational*) if  $\mathbf{n}_1, \ldots, \mathbf{n}_k$  are  $\mathbb{R}$ -linearly independent (resp., if  $\mathbf{n}_1, \ldots, \mathbf{n}_k \in N_{\mathbb{Q}}$ , where  $N_{\mathbb{Q}} := N \otimes_{\mathbb{Z}} \mathbb{Q}$ ). If  $\rho$  is a ray of a rational c.p.c.  $\sigma$ , we denote by  $\mathbf{n}(\rho) \in N \cap \rho$  the unique primitive vector with  $\rho = \mathbb{R}_{\geq 0} \mathbf{n}(\rho)$ , and we define

Gen  $(\sigma) := \{\mathbf{n}(\varrho) \mid \varrho \text{ rays of } \sigma\}$  (the set of minimal generators of  $\sigma$ ).

A strongly convex polyhedral cone (s.c.p.c., for short) is a convex polyhedral cone  $\sigma$  for which  $\sigma \cap (-\sigma) = \{\mathbf{0}\}$ , i.e., for which  $\dim(\sigma^{\vee}) = d$ .

• Hilbert basis. If  $\sigma \subset N_{\mathbb{R}}$  is a rational s.c.p.c., then the subsemigroup  $\sigma \cap N$  of N is a monoid.  $\sigma \cap N$  is finitely generated as an additive semigroup for every rational c.p.c.  $\sigma \subset N_{\mathbb{R}}$ . Moreover, if  $\sigma$  is strongly convex, then among all the systems of generators of  $\sigma \cap N$ , there is a system Hilb<sub>N</sub> ( $\sigma$ ) of minimal cardinality, which is uniquely determined (up to the ordering of its elements) by the following characterization:

$$\operatorname{Hilb}_{N}(\sigma) = \left\{ \mathbf{n} \in \sigma \cap (N \setminus \{\mathbf{0}\}) \middle| \begin{array}{c} \mathbf{n} \text{ cannot be expressed} \\ \text{as sum of two other vectors} \\ \text{belonging to } \sigma \cap (N \setminus \{\mathbf{0}\}) \end{array} \right\}.$$
(2.1)

 $\operatorname{Hilb}_{N}(\sigma)$  is called the Hilbert basis of  $\sigma$  w.r.t. N.

• Affine toric varieties. For a free  $\mathbb{Z}$ -module N of rank d having M as its dual, we define an d-dimensional algebraic torus  $\mathbb{T} \cong (\mathbb{C}^*)^d$  by setting  $\mathbb{T} := \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{C}^*)$ . Every  $\mathbf{m} \in M$  assigns a character  $\mathbf{e}(\mathbf{m}) : \mathbb{T} \to \mathbb{C}^*$ . Moreover, each  $\mathbf{n} \in N$  determines a 1-parameter subgroup

$$\vartheta_{\mathbf{n}}: \mathbb{C}^* \to \mathbb{T} \quad \text{with} \quad \vartheta_{\mathbf{n}}\left(\lambda\right)(\mathbf{m}):=\lambda^{\langle \mathbf{m}, \mathbf{n} \rangle}, \quad \text{for} \quad \lambda \in \mathbb{C}^*, \ \mathbf{m} \in M \ .$$

We can therefore identify M with the character group of  $\mathbb{T}$  and N with the group of 1-parameter subgroups of  $\mathbb{T}$ . On the other hand, for a rational s.c.p. cone  $\sigma$  with  $M \cap \sigma^{\vee} = \mathbb{Z}_{\geq 0} \mathbf{m}_1 + \cdots + \mathbb{Z}_{\geq 0} \mathbf{m}_{\nu}$ , we associate to the finitely generated monoidal subalgebra

$$\mathbb{C}\left[M\cap\sigma^{\vee}\right] = \bigoplus_{\mathbf{m}\in M\cap\sigma^{\vee}} \mathbb{C}\,\mathbf{e}\left(\mathbf{m}\right)$$

of the  $\mathbb{C}$ -algebra  $\mathbb{C}[M] = \bigoplus_{\mathbf{m} \in M} \mathbb{C} \mathbf{e}(\mathbf{m})$  an affine toric variety

$$U_{\sigma} := \operatorname{Spec}\left(\mathbb{C}\left[M \cap \sigma^{\vee}\right]\right).$$

 $U_{\sigma}$  admits a canonical T-action which extends the group multiplication of the algebraic torus  $\mathbb{T} = U_{\{\mathbf{0}\}}$ :

$$\mathbb{T} \times U_{\sigma} \ni (t, u) \longmapsto t \cdot u \in U_{\sigma} \tag{2.2}$$

where, for  $u \in U_{\sigma}$ ,  $(t \cdot u)(\mathbf{m}) := t(\mathbf{m}) \cdot u(\mathbf{m})$ ,  $\forall \mathbf{m}, \mathbf{m} \in M \cap \sigma^{\vee}$ . The orbits w.r.t. the action (2.2) are parametrized by the set of all the faces of  $\sigma$ . For a  $\tau \prec \sigma$ , we denote by  $\operatorname{orb}(\tau)$  the orbit which is associated to  $\tau$ .

PROPOSITION 2.1 (Embedding by binomials). In the algebraic category,  $U_{\sigma}$ , identified with its image under the injective map

$$(\mathbf{e}(\mathbf{m}_1),\ldots,\mathbf{e}(\mathbf{m}_{\nu})): U_{\sigma} \hookrightarrow \mathbb{C}^{\nu},$$

can be regarded as an affine variety determined by a finite number of equations of the form (monomial) = (monomial).  $U_{\sigma}$  is independent of the semigroup generators  $\{\mathbf{m}_1, \ldots, \mathbf{m}_{\nu}\}$  and each map  $\mathbf{e}(\mathbf{m})$  on  $U_{\sigma}$  is a morphism. In particular, for  $\tau \prec \sigma$ ,  $U_{\tau}$  is an open algebraic subset of  $U_{\sigma}$ . Moreover, if dim $(\sigma) = d$  and # (Hilb<sub>M</sub>  $(\sigma^{\vee})) = k \ (\leq \nu)$ , then k is nothing but the embedding dimension of  $U_{\sigma}$ , *i.e.*, the minimal number of generators of the maximal ideal of the local  $\mathbb{C}$ -algebra  $\mathcal{O}_{U_{\sigma},\mathbf{0}}$ .

PROOF. See Oda [42, Propositions 1.2 and 1.3., pp. 4–7].

• Algebraic properties. The well-known hierarchy of Noetherian rings

 $(regular) \Longrightarrow (Gorenstein) \Longrightarrow (Cohen-Macaulay)$ 

is used to describe the punctual algebraic behaviour of affine toric varieties.

DEFINITION 2.2 (Multiplicities and basic cones). Let N be a free Z-module of rank d and  $\sigma \subset N_{\mathbb{R}}$  a simplicial, rational s.c.p.c. of dimension  $d' \leq d$ . The cone  $\sigma$ can be obviously written as  $\sigma = \varrho_1 + \cdots + \varrho_{d'}$ , for distinct rays  $\varrho_1, \ldots, \varrho_{d'}$ . The *multiplicity*  $\operatorname{mult}(\sigma; N)$  of  $\sigma$  with respect to N is defined as

$$\operatorname{mult}\left(\sigma;N\right) := \frac{\operatorname{det}\left(\mathbb{Z}\,\mathbf{n}\left(\varrho_{1}\right) \oplus \cdots \oplus \mathbb{Z}\,\mathbf{n}\left(\varrho_{d'}\right)\right)}{\operatorname{det}\left(N_{\sigma}\right)},$$

where  $N_{\sigma}$  is the sublattice of N generated (as a subgroup) by the set  $N \cap \ln(\sigma)$ . If  $\operatorname{mult}(\sigma; N) = 1$ , then  $\sigma$  is called a *basic cone* w.r.t. N.

THEOREM 2.3 (Smoothness criterion). The affine toric variety  $U_{\sigma}$  is nonsingular (i.e., the corresponding local rings  $\mathcal{O}_{U_{\sigma}, u}$  are regular at all points u of  $U_{\sigma}$ ) iff  $\sigma$  is simplicial and basic w.r.t. N.

PROOF. See Oda [42, Thm. 1.10, p. 15].

Next theorem describes a necessary and sufficient condition for  $U_{\sigma}$  to be Gorenstein (see Ishida [30, §7]).

THEOREM 2.4 (Gorenstein property). Let N be a free  $\mathbb{Z}$ -module of rank d and  $\sigma$  a rational s.c.p. cone in  $N_{\mathbb{R}}$  with dim $(\sigma) = d$ . Then the following conditions are equivalent:

(i)  $U_{\sigma}$  is Gorenstein.

(ii)  $\exists! \text{ primitive } \mathbf{m}_{\sigma} \in M \cap (\operatorname{int} (\sigma^{\vee})) \text{ such that } M \cap (\operatorname{int} (\sigma^{\vee})) = \mathbf{m}_{\sigma} + (M \cap \sigma^{\vee}).$ 

Finally, let us recall the most fundamental property of  $U_{\sigma}$ 's:

THEOREM 2.5 (Normality and CM-property). The affine toric varieties  $U_{\sigma}$  are always normal and Cohen-Macaulay, and have at worst rational singularities.

PROOF. See Fulton [18, pages 29-31 and 76] and Oda [42, pp. 125-126].

• Fans and general toric varieties. A fan w.r.t.  $N \cong \mathbb{Z}^d$  is a finite collection  $\Delta$  of rational s.c.p. cones in  $N_{\mathbb{R}}$  such that

(i) any face  $\tau$  of  $\sigma \in \Delta$  belongs to  $\Delta$ , and

(ii) for  $\sigma_1, \sigma_2 \in \Delta$ , the intersection  $\sigma_1 \cap \sigma_2$  is a face of both  $\sigma_1$  and  $\sigma_2$ .

The union  $|\Delta| := \bigcup \{ \sigma \mid \sigma \in \Delta \}$  is called the *support* of  $\Delta$ . We say that  $\Delta$  is *d*-dimensional if all of its maximal cones are *d*-dimensional. Furthermore, we define

$$\Delta(i) := \{ \sigma \in \Delta \mid \dim(\sigma) = i \} \ , \text{ for } 0 \le i \le d \ , \text{ and } \operatorname{Gen}(\Delta) := \bigcup_{\sigma \in \Delta} \operatorname{Gen}(\sigma) \ .$$

The toric variety  $X_{\Delta}$  associated to a fan  $\Delta$  (w.r.t. N) is by definition the identification space

$$X_{\Delta} := \left( \left( \bigcup_{\sigma \in \Delta} U_{\sigma} \right) / \simeq \right)$$
(2.3)

with  $U_{\sigma_1} \ni u_1 \simeq u_2 \in U_{\sigma_2}$  iff there is a  $\tau \in \Delta$ , such that  $\tau \prec \sigma_1 \cap \sigma_2$  and  $u_1 = u_2$ within  $U_{\tau}$ . A canonical T-action on  $X_{\Delta}$  is established via (2.2) on each  $U_{\sigma}, \sigma \in \Delta$ .

NOTE 2.6. (a) By Theorem 2.5,  $X_{\Delta}$  is normal, Cohen-Macaulay, and has at worst rational singularities. Moreover, algebraic properties like those described in Theorems 2.3 and 2.4 are also local, and they are transferred to  $X_{\Delta}$ , provided that they are valid for all of its affine "building blocks".

(b) If the toric variety  $X_{\Delta}$  is Q-Gorenstein, then it has at worst log-terminal singularities (see [6, Corollary 4.2, p. 10]).

(c) As complex variety,  $X_{\Delta}$  is compact iff  $\Delta$  is a *complete fan*, i.e., iff  $|\Delta| = N_{\mathbb{R}}$  (see [42, Thm. 1.11, p. 16]).

(d) The topological Euler characteristic  $e(X_{\Delta})$  of a d-dimensional toric variety  $X_{\Delta}$  equals  $e(X_{\Delta}) = \sharp(\Delta(d))$  (see [18, p. 59]).

• Maps of fans. A map of fans  $\varpi : (N', \Delta') \to (N, \Delta)$  is a  $\mathbb{Z}$ -linear homomorphism  $\varpi : N' \to N$  whose scalar extension  $\varpi_{\mathbb{R}} : N'_{\mathbb{R}} \to N_{\mathbb{R}}$  satisfies the property:

$$\forall \sigma', \sigma' \in \Delta' \quad \exists \sigma, \sigma \in \Delta \quad \text{with} \quad \varpi_{\mathbb{R}}(\sigma') \subset \sigma.$$

 $\varpi \otimes_{\mathbb{Z}} \operatorname{id}_{\mathbb{C}^*} : N' \otimes_{\mathbb{Z}} \mathbb{C}^* \to N \otimes_{\mathbb{Z}} \mathbb{C}^*$  is a homomorphism between the two algebraic tori and the scalar extension  $\varpi^{\vee} \otimes_{\mathbb{Z}} \operatorname{id}_{\mathbb{R}} : M_{\mathbb{R}} \to M'_{\mathbb{R}}$  of the dual map  $\varpi^{\vee} : M \to M'$ of  $\varpi$  induces canonically an *equivariant morphism*  $\varpi_* : X_{\Delta'} \longrightarrow X_{\Delta}$ . This map is *proper* iff  $\varpi^{-1}(|\Delta|) = |\Delta'|$ . In particular, if N = N' and  $\Delta'$  is a refinement of  $\Delta$ , then  $\operatorname{id}_* : X_{\Delta'} \longrightarrow X_{\Delta}$  is *proper* and *birational* (cf. [42, Thm. 1.15, pp. 20-21, and Cor. 1.18, p. 23]). • **Desingularization**. By Carathéodory's Theorem concerning convex polyhedral cones one can choose a refinement  $\Delta'$  of any given fan  $\Delta$ , so that  $\Delta'$  becomes *simplicial*. Since further subdivisions of  $\Delta'$  reduce the multiplicities of its cones, we may arrive (after finitely many subdivisions) at a fan  $\Delta''$  having only basic cones. Thus, for every toric variety  $X_{\Delta}$  there exists a refinement  $\Delta''$  of  $\Delta$  consisting of exclusively basic cones w.r.t. N, i.e., such that  $f = id_* : X_{\Delta''} \longrightarrow X_{\Delta}$  is an equivariant desingularization of  $X_{\Delta}$  (by Theorem 2.3).

• Divisors and support functions. Let  $X_{\Delta}$  be a toric variety associated to a fan  $\Delta$ , and let  $\text{Div}_{W}^{\mathbb{T}}(X_{\Delta})$  (resp.,  $\text{Div}_{C}^{\mathbb{T}}(X_{\Delta})$ ) denote the group of  $\mathbb{T}$ -invariant Weil (resp., Cartier) divisors on it, i.e., the subgroup of divisors remaining invariant under the canonical  $\mathbb{T}$ -action on  $\text{Div}_{W}(X_{\Delta})$  (resp., on  $\text{Div}_{C}(X_{\Delta})$ ). Then

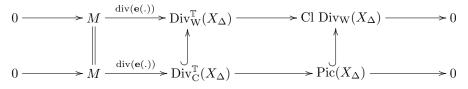
$$\operatorname{Div}_{W}^{\mathbb{T}}(X_{\Delta}) = \bigoplus_{\varrho \in \Delta(1)} \mathbb{Z} \mathbf{V}_{\Delta}(\varrho), \text{ where } \mathbf{V}_{\Delta}(\varrho) := \text{ the closure of orb}(\varrho),$$

and a  $D = \sum_{\varrho \in \Delta(1)} \lambda_{\varrho} \mathbf{V}_{\Delta}(\varrho) \in \operatorname{Div}_{W}^{\mathbb{T}}(X_{\Delta})$  is a Cartier divisor iff for all  $\sigma \in \Delta$ there exists  $\mathbf{m}(\sigma) \in M$  such that  $\langle \mathbf{m}(\sigma), \mathbf{n}(\varrho) \rangle = -\lambda_{\varrho}, \forall \mathbf{n}(\varrho) \in \sigma$ .

EXAMPLE 2.7 ([18, pp. 85-89]). The canonical divisor  $K_{X_{\Delta}}$  of any toric variety  $X_{\Delta}$  equals

$$K_{X_{\Delta}} = -\sum_{\varrho \in \Delta(1)} \mathbf{V}_{\Delta}\left(\varrho\right).$$

THEOREM 2.8 ([41, p. 27], [18, p. 63]). For every d-dimensional compact toric variety  $X_{\Delta}$  there are exact sequences



where the first arrows send  $\mathbf{m} \in M$  to be mapped onto

$$\operatorname{div}(\mathbf{e}(\mathbf{m})) = \sum_{arrho \in \Delta(1)} \left\langle \mathbf{m}, \mathbf{n}\left(arrho
ight) 
ight
angle \, \mathbf{V}_{\Delta}\left(arrho
ight).$$

In particular,

$$\begin{split} \mathrm{Cl}\,\mathrm{Div}^{\mathbb{T}}_{\mathrm{C}}(X_{\Delta}) &= \mathrm{Cl}\,\mathrm{Div}_{\mathrm{C}}(X_{\Delta}) \cong \mathrm{Pic}(X_{\Delta}) \subseteq \mathrm{Cl}\,\mathrm{Div}^{\mathbb{T}}_{\mathrm{W}}(X_{\Delta}) = \mathrm{Cl}\,\mathrm{Div}_{\mathrm{W}}(X_{\Delta}),\\ and \;\mathrm{rank}(\mathrm{Cl}\,\mathrm{Div}_{\mathrm{W}}(X_{\Delta})) &= \sharp(\Delta(1)) - d. \end{split}$$

COROLLARY 2.9 ([18, p. 65]). Let  $\Delta$  be a d-dimensional complete fan. Then the following conditions are equivalent:

(i)  $\Delta$  is simplicial.

- (ii) Every Weil divisor on  $X_{\Delta}$  is a Q-Cartier divisor.
- (iii)  $\operatorname{Pic}(X_{\Delta}) \otimes_{\mathbb{Z}} \mathbb{Q} \cong \operatorname{Cl}\operatorname{Div}_{W}(X_{\Delta}) \otimes_{\mathbb{Z}} \mathbb{Q}.$
- (iv) The Picard number of  $X_{\Delta}$  is  $\operatorname{rank}(\operatorname{Pic}(X_{\Delta})) = \sharp(\Delta(1)) d$ .

DEFINITION 2.10 ( $\Delta$ -support functions). Let N be a free  $\mathbb{Z}$ -module of rank d, M its dual, and  $\Delta$  a fan w.r.t. N. A function  $\psi : |\Delta| \longrightarrow \mathbb{R}$  is called  $\Delta$ -support function if  $\psi(N \cap |\Delta|) \subset \mathbb{Z}$  and  $\psi$  is linear on each  $\sigma \in \Delta$ , i.e., there exists a  $\mathbf{l}_{\sigma} \in M$  for each  $\sigma \in \Delta$  such that  $\psi(\mathbf{n}) = \langle \mathbf{l}_{\sigma}, \mathbf{n} \rangle$ , and  $\langle \mathbf{l}_{\sigma}, \mathbf{n} \rangle = \langle \mathbf{l}_{\tau}, \mathbf{n} \rangle$  whenever

 $\mathbf{n} \in \tau \prec \sigma$ . Note that every  $\Delta$ -support function  $\psi$  is determined by its values  $\psi(\mathbf{n}(\varrho)), \ \varrho \in \Delta(1)$ , and  $\mathbf{l}_{\sigma}$  is a solution in M of the system of equations

$$\left\{ \left\langle \mathbf{l}_{\sigma}, \mathbf{n}\left(\varrho\right) \right\rangle = \psi(\mathbf{n}\left(\varrho\right)) \mid \varrho \in \Delta\left(1\right), \ \varrho \prec \sigma \right\}.$$

REMARK 2.11. (a) Each  $\Delta$ -support function  $\psi$  assigns a T-invariant Cartier divisor

$$\psi \longmapsto D_{\psi} := -\sum_{\varrho \in \Delta(1)} \psi(\mathbf{n}(\varrho)) \mathbf{V}_{\Delta}(\varrho) \in \operatorname{Div}_{\mathrm{C}}^{\mathbb{T}}(X_{\Delta}).$$

(b) To work with  $\mathbb{T}$ -invariant  $\mathbb{Q}$ -Cartier divisors one considers rational  $\Delta$ -support functions by replacing the condition of Definition 2.10 with  $\psi(N_{\mathbb{Q}} \cap |\Delta|) \subset \mathbb{Q}$  (and M with  $M_{\mathbb{Q}}$ ).

DEFINITION 2.12. A  $\Delta$ -support function  $\psi$  is called *upper convex* if

$$\psi(\mathbf{n} + \mathbf{n}') \ge \psi(\mathbf{n}) + \psi(\mathbf{n}'), \quad \forall \mathbf{n}, \mathbf{n}' \in N.$$

We say that an upper convex  $\Delta$ -support function  $\psi$  is strictly upper convex whenever the set  $\{\mathbf{l}_{\sigma} \mid \sigma \in \Delta\}$  (as defined in 2.10) is uniquely determined by  $\psi$ .

THEOREM 2.13 ([42, Thm. 2.7, pp. 76-77]). Let  $X_{\Delta}$  be a d-dimensional compact toric variety. For every  $\Delta$ -support function  $\psi$ ,

$$\mathcal{P}_{\psi} := \{ \mathbf{m} \in M_{\mathbb{R}} \mid \langle \mathbf{m}, \mathbf{n} \rangle \ge \psi(\mathbf{n}), \ \forall \mathbf{n} \in N_{\mathbb{R}} \}$$

is a convex polytope, and the set  $H^0(X_\Delta, \mathcal{O}_{X_\Delta}(D_\psi))$  of global sections of the sheaf  $\mathcal{O}_{X_\Delta}(D_\psi)$  is a finite dimensional  $\mathbb{C}$ -vector space having  $\{\mathbf{e}(\mathbf{m}) \mid \mathbf{m} \in M \cap \mathcal{P}_\psi\}$  as a basis. Moreover,  $\mathcal{O}_{X_\Delta}(D_\psi)$  is generated by its global sections if and only if  $\psi$  is upper convex (or, equivalently,  $\mathcal{P}_\psi = \operatorname{conv}(\{\mathbf{l}_\sigma \mid \sigma \in \Delta\}).)$ 

THEOREM 2.14 ([42, Thm. 2.13, pp. 82-83]). If  $\mathcal{O}_{X_{\Delta}}(D_{\psi})$ , as in Thm. 2.13, is generated by its global sections,  $M \cap \mathcal{P}_{\psi} = \{\mathbf{m}_0, \ldots, \mathbf{m}_k\}$ , and  $\mathfrak{f}_{\psi} : X_{\Delta} \longrightarrow \mathbb{P}^k_{\mathbb{C}}$  is defined by

$$\mathfrak{f}_{\psi}(x) := \left[\mathbf{e}(\mathbf{m}_0)(x) : \mathbf{e}(\mathbf{m}_1)(x) : \ldots : \mathbf{e}(\mathbf{m}_k)(x)\right], \quad \forall x \in X_{\Delta},$$

then  $D_{\psi}$  is very ample (i.e.,  $\mathfrak{f}_{\psi}$  is a closed embedding) if and only if the following conditions are satisfied:

- (i)  $\psi$  is strictly upper convex, and
- (ii) for each  $\sigma \in \Delta(d)$ , the set  $M \cap \mathcal{P}_{\psi} \mathbf{l}_{\sigma}$  generates the semigroup  $M \cap \sigma^{\vee}$ .

Moreover, condition (i) is equivalent to the following:

(i)'  $\mathcal{P}_{\psi}$  is d-dimensional and has exactly  $\{\mathbf{l}_{\sigma} \mid \sigma \in \Delta\}$  as the set of its vertices.

REMARK 2.15. (a) As it follows from the proof of Theorem 2.14,  $D_{\psi}$  is ample if and only if condition (i) (or, equivalently, condition (i)') is satisfied.

(b) In dimension d = 2,  $D_{\psi}$  is very ample if and only if it is ample because condition (ii) is satisfied automatically (see [**32**, Lemma 1.6.3, p. 32]). This fails in higher dimensions for singular  $X_{\Delta}$ 's.

### 3. Two-dimensional toric singularities

Examining two-dimensional toric singularities "under the microscope" (which turn out to be cyclic quotient singularities) one discovers a peculiar algebro-geometric world endowed with a rich combinatorial structure. Viewed historically, everything begins with Hirzebruch-Jung continued fractions (i.e., negative-regular continued fraction expansions of specific rational numbers; see [28], [3, Ch. III, §5]).

• General notation. For  $n \in \mathbb{N}$ ,  $m \in \mathbb{Z}$ , we denote by  $[m]_n$  the (uniquely determined) integer for which  $0 \leq [m]_n < n$ ,  $m \equiv [m]_n \pmod{n}$ . If  $x \in \mathbb{Q}$ , we define  $\lceil x \rceil$  (resp.,  $\lfloor x \rfloor$ ) to be the least integer number  $\geq x$  (resp., the greatest integer number  $\leq x$ ),  $\langle x \rangle := x - \lfloor x \rfloor$  the fractional part of x, and ((x)) the sawtooth function:

$$((x)) := \begin{cases} \langle x \rangle - \frac{1}{2}, & \text{if } x \notin \mathbb{Z}, \\ 0, & \text{if } x \in \mathbb{Z}. \end{cases}$$
(3.1)

"gcd" and "lcm" will be abbreviations for greatest common divisor and least common multiple. Furthermore, for an integer  $n \ge 2$ , we denote by  $\zeta_n := \exp(\frac{2\pi\sqrt{-1}}{n})$  the "first" *n*-th primitive root of unity.

• Finite continued fractions. The use of finite continued fractions enables convenient rational approximations to the minimal generators of two-dimensional rational s.c.p. cones. For this reason it becomes the most important tool in the theory of two-dimensional toric singularities.

Let  $\kappa$  and  $\lambda$  be two given relatively prime positive integers. Suppose  $\frac{\kappa}{\lambda}$  can be written as

$$\frac{\kappa}{\lambda} = a_1 + \frac{\varepsilon_1}{a_2 + \frac{\varepsilon_2}{a_3 + \frac{\varepsilon_3}{\ddots}}}$$
(3.2)

The right-hand side of (3.2) is called *semi-regular continued fraction* for  $\frac{\kappa}{\lambda}$  (and  $\nu$  its *length*) if it has the following properties:

(i)  $a_j$  is an integer for all  $j, 1 \leq j \leq \nu$ , (ii)  $\varepsilon_j \in \{-1, 1\}$  for all  $j, 1 \leq j \leq \nu - 1$ , (iii)  $a_j \geq 1$  for  $j \geq 2$  and  $a_\nu \geq 2$  (!), and (iv) if  $a_j = 1$  for some  $j, 1 < j < \nu$ , then  $\varepsilon_j = 1$ . In particular, if  $\varepsilon_j = 1$  (resp.,  $\varepsilon_j = -1$ ) for all  $j, 1 \leq j < \nu$ , then we write

$$\frac{\kappa}{\lambda} = [a_1, a_2, \dots, a_{\nu}] \qquad (\text{resp.}, \ \frac{\kappa}{\lambda} = \llbracket a_1, a_2, \dots, a_{\nu} \rrbracket).$$

This is the regular (resp., the negative-regular) continued fraction expansion of  $\frac{\kappa}{\lambda}$ . These two expansions always exist, are unique (in this form), and can be obtained by the usual and the modified euclidean algorithm, respectively, depending on the choice of the kind of the associated remainders (see [14, 3.1 and 3.5]).

PROPOSITION 3.1. If 
$$\lambda, \kappa \in \mathbb{Z}$$
 with  $1 < \lambda < \kappa$ ,  $gcd(\lambda, \kappa) = 1$ , and  
 $\frac{\kappa}{\lambda} = [a_1, a_2, \dots, a_t] = \llbracket b_1, b_2, \dots, b_s \rrbracket$ 

are the regular and negative-regular continued fraction expansions of  $\frac{\kappa}{\lambda}$ , respectively, then for  $t \geq 2$  the ordered s-tuple  $(b_1, b_2, \ldots, b_s)$  equals

$$\begin{cases} (a_1+1, \underbrace{2, \dots, 2}_{(a_2-1)-\text{times}}, a_3+2, \underbrace{2, \dots, 2}_{(a_4-1)-\text{times}}, \dots, a_{t-1}+2, \underbrace{2, \dots, 2}_{(a_t-1)-\text{times}}), & \text{if } t \text{ even} \\ (a_1+1, \underbrace{2, \dots, 2}_{(a_2-1)-\text{times}}, a_3+2, \underbrace{2, \dots, 2}_{(a_4-1)-\text{times}}, \dots, \underbrace{2, \dots, 2}_{(a_{t-1}-1)-\text{times}}, a_t+1), & \text{if } t \text{ odd} \end{cases}$$

PROOF. See [14, Proposition 3.6, pp. 219-220].

COROLLARY 3.2. The length s of the negative-regular continued fraction expansion of  $\frac{\kappa}{\lambda}$  equals

$$s = \begin{cases} \sum_{i=1}^{t/2} a_{2i}, & \text{if } t \text{ is even,} \\ (t+1)/2 \\ (\sum_{i=1}^{(t+1)/2} a_{2i}) + 1, & \text{if } t \text{ is odd.} \end{cases}$$
(3.3)

• **Dedekind sums**. Let p, q be two integers with q > 0 and gcd(p,q) = 1. The (classical) *Dedekind sum* DS(p,q) of p and q is defined to be

$$DS(p,q) := \sum_{j=1}^{q-1} \left( \left( \frac{j}{q} \right) \right) \left( \left( \frac{pj}{q} \right) \right)$$
(3.4)

It satisfies DS(-p,q) = -DS(p,q), and the reciprocity law:

DS 
$$(p,q)$$
 + DS  $(q,p) = -\frac{1}{4} + \frac{1}{12} \left( \frac{p}{q} + \frac{q}{p} + \frac{1}{pq} \right)$ 

The sums DS(p,q) arose for the first time in Dedekind's investigations on the logarithm of the eta-function (see [16]). The book [46] contains further references and details on the history of Dedekind sums. A well-known formula for DS(p,q) (cf. [46, p. 18] or [29, p. 100]) is that one given by the trigonometrical expression

$$DS(p,q) = \frac{1}{4q} \sum_{j=1}^{q-1} \left[ \cot\left(\frac{jp\pi}{q}\right) \cdot \cot\left(\frac{j\pi}{q}\right) \right].$$
(3.5)

If  $0 \le p < q$ , another elegant identity, showing the relationship between DS(p,q) and the negative-regular continued fraction expansion  $\frac{q}{q-p} = [\![b_1, \ldots, b_s]\!]$ , can be derived by Myerson's results (see [**39**, p. 421], [**44**, p. 12]):

DS 
$$(p,q) = \frac{1}{12} \left( \sum_{j=1}^{s} (3-b_j) + \frac{1}{q} (p+\hat{p}) - 2 \right).$$
 (3.6)

Here  $\hat{p}$  denotes the uniquely determined integer, so that  $0 \leq \hat{p} < q$ , and

$$p\,\widehat{p} \equiv 1 \pmod{q}, \quad (\text{i.e., } [p\,\widehat{p}]_q = 1).$$

 $\hat{p}$  is often called *the socius* of p. If  $p \neq 0$  (which means that  $q \neq 1$ ), then using a formula due to Voronoi (cf. [53, p. 183]),  $\hat{p}$  can be written as

$$\widehat{p} = \left\lfloor 3 - 2p + 6 \left( \sum_{j=1}^{p-1} \left( \left\lfloor \frac{jq}{p} \right\rfloor \right)^2 \right) \right\rfloor_q .$$

• **Two-dimensional cones**. Up to lattice automorphisms, the "lattice geometry" of two-dimensional rational s.c.p. cones is completely describable by means of just two integers ("parameters").

LEMMA 3.3. Let N be a free Z-module of rank 2 and  $\sigma \subset N_{\mathbb{R}}$  a two-dimensional rational s.c.p. cone with Gen $(\sigma) = \{\mathbf{n}_1, \mathbf{n}_2\}$ . Then there is a Z-basis  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$  of N and two integers  $p = p_{\sigma}, q = q_{\sigma} \in \mathbb{Z}_{\geq 0}$  with  $0 \leq p < q$ , and gcd(p,q) = 1 for  $p \neq 0$ , such that

$$\mathbf{n}_1 = \mathfrak{y}_1, \quad \mathbf{n}_2 = p \,\mathfrak{y}_1 + q \,\mathfrak{y}_2, \quad q = \operatorname{mult}\left(\sigma; N\right) = \frac{\det\left(\mathbb{Z} \ \mathbf{n}_1 \oplus \mathbb{Z} \ \mathbf{n}_2\right)}{\det\left(N\right)} \,.$$

PROOF. See [14, Lemma 3.9, p. 221].

DEFINITION 3.4. If N is a free Z-module of rank 2 and  $\sigma \subset N_{\mathbb{R}}$  a twodimensional rational s.c.p. cone with  $\text{Gen}(\sigma) = \{\mathbf{n}_1, \mathbf{n}_2\}$ , then we call  $\sigma$  a (p,q)cone w.r.t. the basis  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$ , if  $p = p_{\sigma}$ ,  $q = q_{\sigma}$  as in Lemma 3.3. (To avoid confusion, we should stress at this point that saying "w.r.t. the basis  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$ " we just indicate the choice of one suitable Z-basis of N among all its Z-bases in order to apply Lemma 3.3 for  $\sigma$ ; but, of course, if  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$  were a Z-basis of N having the same property, i.e.,  $\mathbf{n}_2 = p' \mathfrak{y}_1 + q' \mathfrak{y}'_2$ ,  $0 \le p' < q'$ ,  $\gcd(p',q') = 1$ , then obviously p' = p and q' = q, i.e.,  $\mathfrak{y}'_2 = \mathfrak{y}_2!$ )

PROPOSITION 3.5. Let N be a free  $\mathbb{Z}$ -module of rank 2 and  $\sigma, \tau \subset N_{\mathbb{R}}$  two 2dimensional rational s.c.p. cones. Then the following conditions are equivalent (i) There exists a torus-equivariant isomorphism  $U_{\sigma} \cong U_{\tau}$  mapping  $\operatorname{orb}(\sigma)$  onto  $\operatorname{orb}(\tau)$ .

(ii) There exists a  $\mathbb{Z}$ -module automorphism  $\varpi : N \longrightarrow N$  of N whose scalar extension  $\varpi_{\mathbb{R}} : N_{\mathbb{R}} \longrightarrow N_{\mathbb{R}}$  has the property:  $\varpi(\sigma) = \tau$ .

(iii) For the numbers  $p_{\sigma}$ ,  $p_{\tau}$ ,  $q_{\sigma}$ ,  $q_{\tau}$  associated to  $\sigma, \tau$  w.r.t. a basis  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$  (as in Lemma 3.3) we have

$$q_{\tau} = q_{\sigma}$$
 and  $\begin{cases} \text{either} & p_{\tau} = p_{\sigma} \\ \text{or} & p_{\tau} \neq 0, \ p_{\sigma} \neq 0 \end{cases}$  and  $p_{\tau} = \widehat{p}_{\sigma}$ 

PROOF. See [14, Proposition 3.12, pp. 222-223].

REMARK 3.6. Up to replacement of p by its socius  $\hat{p}$  (which corresponds just to the interchange of the coordinates), these two numbers  $p = p_{\sigma}$  and  $q = q_{\sigma}$ parametrize uniquely the isomorphism class of the germ  $(U_{\sigma}, \operatorname{orb}(\sigma))$ .

LEMMA 3.7. Let N be a free  $\mathbb{Z}$ -module of rank 2,  $M = \operatorname{Hom}_{\mathbb{Z}}(N,\mathbb{Z})$  its dual and  $\sigma \subset N_{\mathbb{R}}$  a two-dimensional (p,q)-cone w.r.t. a  $\mathbb{Z}$ -basis  $\{\mathfrak{y}_1,\mathfrak{y}_2\}$  of N. Denoting by  $\{\mathfrak{m}_1,\mathfrak{m}_2\}$  the dual basis of  $\{\mathfrak{y}_1,\mathfrak{y}_2\}$  in M, the cone  $\sigma^{\vee} \subset M_{\mathbb{R}}$  is a (q-p,q)-cone w.r.t.  $\{\mathfrak{m}_2,\mathfrak{m}_1-\mathfrak{m}_2\}$ .

PROOF. See [14, Lemma 3.14, p. 223].

 $\triangleright$  From now on, and for the rest of the present section, we fix a free  $\mathbb{Z}$ -module N of rank 2, its dual M, a *nonbasic* two-dimensional (p,q)-cone  $\sigma \subset N_{\mathbb{R}} \cong \mathbb{R}^2$  w.r.t. a  $\mathbb{Z}$ -basis  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$  of N,  $\operatorname{Gen}(\sigma) = \{\mathbf{n}_1, \mathbf{n}_2\}$ , the dual basis  $\{\mathfrak{m}_1, \mathfrak{m}_2\}$  of  $\{\mathfrak{y}_1, \mathfrak{y}_2\}$  in M, and the dual cone  $\sigma^{\vee} \subset M_{\mathbb{R}}$  of  $\sigma$ . Moreover, we consider the negative-regular continued fraction expansion of both rationals  $\frac{q}{q-p}$  and  $\frac{q}{p}$ :

$$\frac{q}{q-p} = \llbracket b_1, b_2, \dots, b_s \rrbracket, \quad \frac{q}{p} = \frac{q}{q-(q-p)} = \llbracket b_1^{\vee}, b_2^{\vee}, \dots, b_t^{\vee} \rrbracket.$$
(3.7)

NOTE 3.8. (a) As it is known (cf. [42, p. 29]),

$$(b_1 + b_2 + \dots + b_s) - s = (b_1^{\vee} + b_2^{\vee} + \dots + b_t^{\vee}) - t = s + t - 1.$$

(b) Replacing p by its socius  $\hat{p}$  in the rationals (3.7), and passing to their negative-regular continued fraction expansions (cf. [28, p.20]), we get

$$\frac{q}{q-\hat{p}} = \llbracket b_s, b_{s-1}, \dots, b_2, b_1 \rrbracket, \quad \frac{q}{\hat{p}} = \llbracket [b_t^{\vee}, b_{t-1}^{\vee}, \dots, b_2^{\vee}, b_1^{\vee}] \rrbracket.$$
(3.8)

DEFINITION 3.9. For any integer  $s \ge 1$  and any s-tuple  $(x_1, x_2, \ldots, x_s) \in \mathbb{R}^s$ we define the symmetric  $(s \times s)$ -matrix  $\mathbf{L}_s(x_1, x_2, \ldots, x_s)$  as follows:

The matrix  $\mathbf{L}_s(b_1, \ldots, b_s)$ , for  $(b_1, \ldots, b_s) \in \mathbb{Z}^s$  the s-tuple in (3.7), has determinant

$$\det\left(\mathbf{L}_{s}(b_{1},\ldots,b_{s})\right) = q = \prod_{j=1}^{s} \left[\!\left[b_{j},b_{2},\ldots,b_{s}\right]\!\right]$$

$$= \left(\prod_{j=1}^{s} b_{i}\right) \left(1 - \sum_{1 \le k \le s-1} \frac{1}{b_{k}b_{k+1}} + \sum_{1 \le k < l \le s-2} \frac{1}{b_{k}b_{k+1}} \frac{1}{b_{l}b_{l+1}} - \cdots\right),$$

$$(3.9)$$

which is the "highest" continuant of the fraction  $\frac{q}{q-p}$  (cf. Perron [43, Ch. I, §2-§4]).

DEFINITION 3.10 (Gammas and Deltas). Having this continuant as our starting point we define two sequences of integers  $(\gamma_j)_{0 \le j \le s+1}$  and  $(\delta_j)_{0 \le j \le s+1}$  ("minor continuants" of  $\frac{q}{q-p}$ ) by setting

$$\gamma_j := \det \left( \mathbf{L}_{s-j}(b_{j+1}, \dots, b_s) \right), \quad \forall j \in \{0, 1, \dots, s-1\},$$

with  $\gamma_s := 1$ ,  $\gamma_{s+1} := 0$  (as its final values), and

$$\delta_j := \det (\mathbf{L}_{j-1}(b_1, \dots, b_{j-1})), \quad \forall j \in \{2, 3, \dots, s+1\},$$

with  $\delta_0 := 0, \, \delta_1 := 1$  (as its initial values). It is an exercise of linear algebra to show that

$$\gamma_{j-1} + \gamma_{j+1} = b_j \gamma_j, \quad \delta_{j-1} + \delta_{j+1} = b_j \delta_j, \quad \forall j \in \{1, \dots, s\},$$
 (3.10)

and

$$\gamma_{j-1}\delta_j - \gamma_j\delta_{j-1} = q, \quad \forall j \in \{1, 2, \dots, s+1\}.$$
 (3.11)

REMARK 3.11. By (3.9) and the definition given above,  $\gamma_0 = q$ , and

$$\frac{q}{q-p} = b_1 - \frac{1}{\llbracket b_2, b_3, \dots, b_s \rrbracket} \Longrightarrow \gamma_1 = \det \left( \mathbf{L}_{s-1}(b_2, \dots, b_s) \right) = q - p.$$

Moreover, comparing the negative-regular continued fraction expansions (3.7) and (3.8) of  $\frac{q}{q-p}$  and  $\frac{q}{q-\hat{p}}$ , respectively, we see that the gammas for the one become the deltas for the other (and vice versa). For this reason,  $\delta_s = q - \hat{p}$  and  $\delta_{s+1} = q$ .

Next Lemmas will be useful for several technical computations in sections 4-7.

LEMMA 3.12. If  $b_1, \ldots, b_s$  are the integers defined in (3.7), then the symmetric  $(s \times s)$ -matrix  $\mathbf{L}_s(b_1, \ldots, b_s)$  is positive definite.

**PROOF.** For every  $\mathbf{x} = (x_1, \ldots, x_s) \in \mathbb{R}^s$  we define the set

$$\Lambda_{\mathbf{x}} := \{ (j,k) \in \{1, \dots, s\} \times \{1, \dots, s\} \mid j < k \text{ and } x_j = x_k \}.$$

For all  $(x_1, \ldots, x_s) \in \mathbb{R}^s \setminus \{(0, \ldots, 0)\}$  we have

$$(x_1, \dots, x_s) \mathbf{L}_s (b_1, \dots, b_s) (x_1, \dots, x_s)^T = \sum_{j=1}^s b_j x_j^2 - 2 \sum_{1 \le j < k \le s} x_j x_k$$
$$= \sum_{j=1}^s (b_j - 2) x_j^2 + \left( x_1^2 + \sum_{\substack{1 \le j < k \le s \\ (j,k) \notin \Lambda_\mathbf{x}}} (x_j - x_k)^2 + x_s^2 \right)$$

which is > 0 because  $b_j \ge 2$  for all  $j \in \{1, \ldots, s\}$  (by Proposition 3.1).

LEMMA 3.13. Suppose that  $(y_1, \ldots, y_s) \in \mathbb{R}^s$ ,  $s \geq 1$ , and that the integers  $b_1, \ldots, b_s$  are those defined in (3.7). Then the linear system

$$\mathbf{L}_{s}(b_{1},\ldots,b_{s})(\xi_{1},\ldots,\xi_{s})^{T}=(y_{1},\ldots,y_{s})^{T}$$

has a unique solution  $(\xi_1, \ldots, \xi_s) \in \mathbb{R}^s$  with coordinates given by the formulae

$$\xi_j = \frac{1}{q} \left( \sum_{1 \le k < j} \gamma_j \delta_k y_k + \gamma_j \delta_j y_j + \sum_{1 \le j < k \le s} \gamma_k \delta_j y_k \right), \quad \forall j \in \{1, \dots, s\}$$

PROOF. Since det  $(\mathbf{L}_s(b_1, \ldots, b_s)) = \gamma_0 = q \neq 0$ , the uniqueness is obvious. On the other hand, it is easy to prove (by (3.10) and (3.11)) that  $(-1)^{k+j}$  times the determinant of the (k, j)-minor of  $\mathbf{L}_s(b_1, \ldots, b_s)$  equals

$$\begin{pmatrix} \text{the } j\text{-th coordinate of the vector} \\ \left( \mathbf{L}_s \left( b_1, \dots, b_s \right) \right)^{-1} \left( 0, \dots, 0, \underbrace{1}_{k-\text{th pos.}}, 0, \dots, 0 \right)^T \end{pmatrix} = \begin{cases} \gamma_j \delta_k, & \text{if } 1 \le k < j, \\ \gamma_j \delta_j, & \text{if } k = j, \\ \gamma_k \delta_j, & \text{if } 1 \le j < k \le s. \end{cases}$$

Hence, to determine  $\xi_j$ , it sufficies to apply Cramer's rule.

DEFINITION 3.14. (i) In  $N_{\mathbb{R}}$  we define s + 2 vectors  $(\mathbf{u}_j)_{0 \le j \le s+1}$  as follows:

$$\mathbf{u}_j := \frac{\gamma_j}{q} \, \mathbf{n}_1 + \frac{\delta_j}{q} \, \mathbf{n}_2 = \beta_j \mathfrak{y}_1 + \delta_j \mathfrak{y}_2, \quad \forall j \in \{0, 1, \dots, s+1\},$$

where  $\beta_j := \frac{1}{q} (\gamma_j + p\delta_j)$ . Since  $\beta_0 = 1$ ,  $\beta_1 = 1$ , and  $\beta_j = b_j\beta_{j-1} - \beta_{j-2}$ , for all  $j \in \{2, \ldots, s+1\}$ , the  $\beta_j$ 's are integers and therefore the  $\mathbf{u}_j$ 's belong to N. Note that  $\mathbf{u}_0 = \mathbf{n}_1 = \mathfrak{y}_1, \, \mathbf{u}_1 = \mathfrak{y}_1 + \mathfrak{y}_2$ ,

$$(\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_{s-1}, \mathbf{u}_s)^T = \mathbf{L}_s (b_1, \dots, b_s)^{-1} (\mathbf{n}_1, 0, 0, \dots, 0, 0, \mathbf{n}_2)^T$$

(as vectorial matrix multiplication) and  $\mathbf{u}_{s+1} = \mathbf{n}_2$ .

(ii) Analogously, we define t + 2 vectors  $(\mathbf{u}_j^{\vee})_{0 \leq j \leq t+1}$  belonging to M by setting  $\mathbf{u}_0^{\vee} := \mathfrak{m}_2$ ,

$$(\mathbf{u}_{1}^{\vee}, \mathbf{u}_{2}^{\vee}, \dots, \mathbf{u}_{t}^{\vee})^{T} := \mathbf{L}_{t} (b_{1}^{\vee}, \dots, b_{t}^{\vee})^{-1} (\mathfrak{m}_{1}, 0, \dots, 0, (q-p) \mathfrak{m}_{2} + q (\mathfrak{m}_{1} - \mathfrak{m}_{2}))^{T},$$
  
and  $\mathbf{u}_{t+1}^{\vee} := (q-p) \mathfrak{m}_{2} + q (\mathfrak{m}_{1} - \mathfrak{m}_{2}).$ 

PROPOSITION 3.15. If we define

 $\Theta_{\sigma} := \operatorname{conv}\left(\sigma \cap (N \setminus \{\mathbf{0}\})\right) \subset N_{\mathbb{R}}, \text{ resp.}, \ \Theta_{\sigma^{\vee}} := \operatorname{conv}\left(\sigma^{\vee} \cap (M \setminus \{\mathbf{0}\})\right) \subset M_{\mathbb{R}},$ 

and denote by  $\partial \Theta_{\sigma}^{\mathbf{cp}}$  (resp., by  $\partial \Theta_{\sigma^{\vee}}^{\mathbf{cp}}$ ) the part of the boundary  $\partial \Theta_{\sigma}$  (resp.,  $\partial \Theta_{\sigma^{\vee}}$ ) containing only its compact edges, then the Hilbert bases (2.1) of the cones  $\sigma$  (w.r.t. N) and  $\sigma^{\vee}$  (w.r.t. M) are equal to

$$\operatorname{Hilb}_{N}(\sigma) = \partial \Theta_{\sigma}^{\operatorname{cp}} \cap N = \left\{ \mathbf{u}_{j} \mid 0 \leq j \leq s+1 \right\},$$
  
$$\operatorname{Hilb}_{M}(\sigma^{\vee}) = \partial \Theta_{\sigma^{\vee}}^{\operatorname{cp}} \cap M = \left\{ \mathbf{u}_{j}^{\vee} \mid 0 \leq j \leq t+1 \right\}.$$

(See Figure 1.)

PROOF. It follows from [42, pp. 26-29] and [14, Thm. 3.16, pp. 226-228].

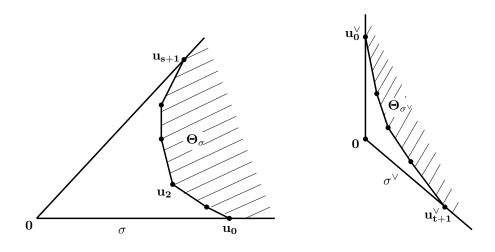


FIGURE 1.

• Quotient structure and defining equations.  $U_{\sigma} = \operatorname{Spec}(\mathbb{C}[\sigma^{\vee} \cap M])$  has only one singular point, namely  $\operatorname{orb}(\sigma)$ , which is a quotient singularity. More precisely, we have the following:

PROPOSITION 3.16.  $\operatorname{orb}(\sigma) \in U_{\sigma}$  is a cyclic quotient singularity. In particular,  $U_{\sigma} \cong \mathbb{C}^2/G = \operatorname{Spec}(\mathbb{C}[z_1, z_2]^G)$ , with  $G \subset \operatorname{GL}(2, \mathbb{C})$  denoting the cyclic group Gof order q which is generated by  $\operatorname{diag}(\zeta_q^{-p}, \zeta_q)$  and acts on  $\mathbb{C}^2 = \operatorname{Spec}(\mathbb{C}[z_1, z_2])$ linearly and effectively.

PROOF. See Fulton [18, § 2.2, pp. 32-34].

In fact, since we know the Hilbert basis  $\operatorname{Hilb}_M(\sigma^{\vee})$  by Proposition 3.15 explicitly, it is also possible to find the polynomial equations whose zero locus contains the singularity  $\operatorname{orb}(\sigma) \in U_{\sigma}$  at the origin after having embedded  $U_{\sigma}$  into  $\mathbb{C}^{t+2}$  (see Proposition 2.1).

THEOREM 3.17 (Defining equations).  $U_{\sigma} \cong \operatorname{Spec}(\mathbb{C}[z_0, z_1, \ldots, z_{t+1}]/\mathcal{I})$ , where  $\mathcal{I}$  is the ideal generated by the set of the  $\frac{1}{2}t(t+1)$  polynomials

$$\{z_{j-1}z_{k+1} - F_{jk}(z_1, z_2, \dots, z_t) \mid 1 \le j \le k \le t\},\$$

with

$$F_{jk}(z_1, z_2, \dots, z_t) := \begin{cases} z_j^{b_j^{\vee}}, & \text{if } j = k, \\ \\ z_j^{b_j^{\vee} - 1} z_{j+1}^{b_{j+1}^{\vee} - 2} \cdots z_{k-1}^{b_{k-1}^{\vee} - 2} z_k^{b_k^{\vee} - 1}, & \text{if } j < k. \end{cases}$$

PROOF.  $\mathcal{I}$  is the kernel of the  $\mathbb{C}$ -algebra epimorphism

$$\mathbb{C}[z_0, z_1, \dots, z_{t+1}] \longrightarrow \mathbb{C}[\sigma^{\vee} \cap M],$$

sending the variable  $z_j$  to  $\mathbf{e}(\mathbf{u}_j^{\vee})$ , for all  $j \in \{0, 1, \dots, t, t+1\}$ . The reader is referred to Riemenschneider [48, §2, pp. 217-220] for details of the computation.

REMARK 3.18.  $\operatorname{orb}(\sigma) \in U_{\sigma}$  is a hypersurface singularity if and only if

$$t = 1 \iff (p = 1 \text{ and } q \ge 2) \iff U_{\sigma} \cong \operatorname{Spec}(\mathbb{C}[z_0, z_1, z_2]/(z_0 z_2 - z_1^q))$$

In this case,  $\operatorname{orb}(\sigma)$  is analytically isomorphic to the *Kleinian singularity* or *Du Val* singularity of type  $\mathbf{A}_{q-1}$ . Moreover, using Theorem 2.4, it is easy to see that all two-dimensional *Gorenstein* toric singularities (or, equivalently, all two-dimensional canonical toric singularities) are necessarily of this sort.

NOTE 3.19. For a methodical study of the behaviour of local differentials around the singular point  $\operatorname{orb}(\sigma) \in U_{\sigma}$  under its minimal resolution one introduces the so-called *local index* 

lind 
$$(U_{\sigma}, \operatorname{orb}(\sigma)) := \min\left\{k \in \mathbb{N} \mid k\left(1 - \frac{\gamma_j + \delta_j}{q}\right) \in \mathbb{Z}, \ \forall j \in \{1, \dots, s\}\right\}$$

of  $U_{\sigma}$  at  $\operatorname{orb}(\sigma)$ . (See below formula (4.13) and Note 4.5 (b).) By (3.10) and (3.11) it is easy to express this auxiliary positive integer in terms of the parameters  $p = p_{\sigma}$  and  $q = q_{\sigma}$  of  $\sigma$  as follows:

$$\operatorname{lind}\left(U_{\sigma},\operatorname{orb}(\sigma)\right) = \frac{q}{\gcd\left(q,q-p+1\right)} = \frac{q}{\gcd\left(q,p-1\right)}.$$
(3.12)

Geometrically, setting  $F_{\sigma} := \operatorname{conv}(\{\mathbf{u}_0, \mathbf{u}_{s+1}\}), L_{\sigma} := \operatorname{aff}(\{\mathbf{u}_0, \mathbf{u}_{s+1}\}) = \text{the line}$ determined by  $F_{\sigma}$ , and  $L'_{\sigma} := \text{the line passing through } \mathbf{0}$  and being parallel to  $L_{\sigma}$ ,  $\operatorname{lind}(U_{\sigma}, \operatorname{orb}(\sigma))$  equals

 $\sharp \left\{ \begin{array}{c} \text{lines passing through at least one lattice point, belonging to the interior} \\ \text{of the strip bounded by } L_{\sigma} \text{ and } L'_{\sigma} \text{ and being parallel to them} \end{array} \right\} + 1.$ 

(Convention: We may extend the notion of local index even if  $\operatorname{orb}(\sigma)$  is assumed to be a *nonsingular* point, by defining  $\operatorname{lind}(U_{\sigma}, \operatorname{orb}(\sigma)) := 1$ . In this case, equality (3.12) remains true for p = 0 and q = 1.)

• Minimal desingularization. To construct the minimal desingularization of  $U_{\sigma} = \operatorname{Spec}(\mathbb{C}[\sigma^{\vee} \cap M])$  one has to subdivide  $\sigma$  into s + 1 smaller basic cones by using all the elements of Hilb<sub>N</sub> ( $\sigma$ ) as minimal generators of the new rays.

THEOREM 3.20 (Toric version of Hirzebruch's desingularization). The refinement  $\widetilde{\Delta}_{\sigma} := \{\{\mathbb{R}_{\geq 0} \mathbf{u}_j + \mathbb{R}_{\geq 0} \mathbf{u}_{j+1} \mid 1 \leq j \leq s+1\}$  together with their faces} of  $\Delta_{\sigma} := \{\sigma \text{ together with its faces}\}$  consists of basic cones, is the coarsest refinement of  $\Delta_{\sigma}$  with this property, and gives rise to the construction of the (good, in the sense of §1) minimal equivariant resolution  $f = \mathrm{id}_* : X_{\widetilde{\Delta}_{\sigma}} \longrightarrow X_{\Delta_{\sigma}} = U_{\sigma}$  of the singular point  $\mathrm{orb}(\sigma) \in U_{\sigma}$ . Moreover, for  $j \in \{1, \ldots, s\}$ , each exceptional prime divisor  $\mathbf{V}_{\widetilde{\Delta}_{\sigma}}(\mathbb{R}_{\geq 0} \mathbf{u}_j)$  w.r.t. f is isomorphic to the projective line  $\mathbb{P}^1_{\mathbb{C}}$ . (Figure 2 illustrates  $\widetilde{\Delta}_{\sigma}$  for a singularity of this kind with p = 4 and q = 11.)

PROOF. See Hirzebruch [28, pp. 15-20] who constructs  $X_{\widetilde{\Delta}_{\sigma}}$  by resolving the unique singularity lying over  $\mathbf{0} \in \mathbb{C}^3$  in the normalization of the hypersurface

$$\{(z_1, z_2, z_3) \in \mathbb{C}^3 | z_1^q - z_2 z_3^{q-p} = 0\},\$$

and Oda [42, pp. 24-30] for a proof which uses only the tools of toric geometry.  $\Box$ 

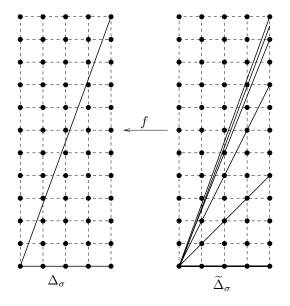


FIGURE 2.

## 4. Combinatorial data and invariants of compact toric surfaces

The geometric properties and the invariants of compact toric surfaces depend on the parametrization of each of the cones of their defining complete fans (in the sense of 3.6) and can be studied systematically by means of their *combinatorial data* (see below Definition 4.2).

• Two-dimensional complete fans. Let N be a free  $\mathbb{Z}$ -module of rank 2 and  $\Delta$  an arbitrary complete fan of two-dimensional s.c.p. cones in  $N_{\mathbb{R}}$  with

 $\Delta(1) = \{\tau_1, \tau_2, \dots, \tau_{\nu}\}, \quad \Delta(2) = \{\sigma_1, \sigma_2, \dots, \sigma_{\nu}\}, \ \nu \ge 3,$ 

and

Gen (
$$\Delta$$
) = { $\mathbf{n}_1, \mathbf{n}_2, \dots, \mathbf{n}_{\nu}$ },  $\tau_i = \mathbb{R}_{\geq 0} \mathbf{n}_i, \forall i \in \{1, 2, \dots, \nu\}$ ,

with  $\sigma_i := \tau_i + \tau_{i+1}$ . We assume that the minimal generators  $\mathbf{n}_1, \ldots, \mathbf{n}_{\nu}$  of  $\Delta$  go *anticlockwise* around the origin exactly once in this order (see Figure 3). Moreover, we set  $\mathbf{n}_{\nu+1} := \mathbf{n}_1$  and  $\mathbf{n}_0 := \mathbf{n}_{\nu}$ . (In definitions and formulae involving enumerated sets of numbers or vectors in which the index set  $\{1, \ldots, \nu\}$  is meant as a cycle, we shall read the indices *i* "mod  $\nu$ ", even if it is not mentioned explicitly.)

Now we set  $M := \text{Hom}_{\mathbb{Z}}(N, \mathbb{Z})$  and denote by  $X_{\Delta}$  the toric surface obtained by gluing together the affine varieties

$$U_{\sigma_i} := \operatorname{Spec} \left( \mathbb{C}[\sigma_i^{\vee} \cap M] \right), \quad i \in \{1, 2, \dots, \nu\},$$

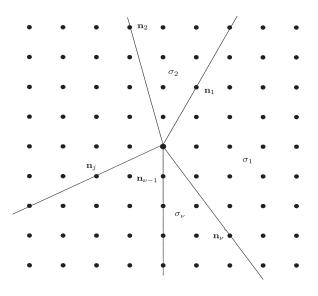


FIGURE 3.

as in (2.3).  $X_{\Delta}$  is necessarily projective (cf. [41, Proposition 8.1, pp. 51-52]), the group of its T-invariant Weil divisors is

$$\operatorname{Div}_{\mathrm{W}}^{\mathbb{T}}(X_{\Delta}) = \bigoplus_{i=1}^{\nu} \mathbb{Z} C_i, \text{ where } C_i := \mathbf{V}_{\Delta} (\mathbb{R}_{\geq 0} \mathbf{n}_i),$$

and its topological Euler characteristic equals  $e(X_{\Delta}) = \nu$  (see Note 2.6 (d)). Moreover, since  $\Delta$  is necessarily *simplicial*, every Weil divisor on  $X_{\Delta}$  is a Q-Cartier divisor (by Corollary 2.9). Next, we assume that  $\sigma_i$  is a  $(p_i, q_i)$ -cone (in the sense of 3.4, w.r.t. a suitable Z-basis of N) and introduce the notation

$$I_{\Delta} := \{ i \in \{1, \dots, \nu\} \mid q_i > 1 \}, \quad J_{\Delta} := \{ i \in \{1, \dots, \nu\} \mid q_i = 1 \}, \tag{4.1}$$

to separate the indices corresponding to nonbasic from those corresponding to basic cones. By Theorem 2.3 and Note 2.6 (a) we have obviously

$$\operatorname{Sing}(X_{\Delta}) = \{\operatorname{orb}(\sigma_i) \mid i \in I_{\Delta}\}.$$

For all  $i \in I_{\Delta}$  we write

$$\frac{q_i}{q_i - p_i} = \left[ b_1^{(i)}, b_2^{(i)}, \dots, b_{s_i}^{(i)} \right]$$
(4.2)

and, in accordance with what is already mentioned for a single nonbasic 2-dimensional rational s.c.p. cone in §3, we define for each  $j \in \{0, 1, \ldots, s_i + 1\}$  integers  $\gamma_j^{(i)}, \delta_j^{(i)}$  as follows:

$$\begin{cases} \gamma_{j}^{(i)} := \det \left( \mathbf{L}_{s_{i}-j}(b_{j+1}^{(i)}, \dots, b_{s_{i}}^{(i)}) \right), & \forall j \in \{0, 1, \dots, s_{i}-1\}, \\ \gamma_{s_{i}}^{(i)} := 1, & \gamma_{s_{i}+1}^{(i)} := 0, \\ \delta_{0}^{(i)} := 0, & \delta_{1}^{(i)} := 1, \\ \delta_{j}^{(i)} := \det \left( \mathbf{L}_{j-1}(b_{1}^{(i)}, \dots, b_{j-1}^{(i)}) \right), & \forall j \in \{2, 3, \dots, s_{i}+1\}. \end{cases}$$

$$(4.3)$$

Notice that

$$\gamma_0^{(i)} = q_i, \quad \gamma_1^{(i)} = q_i - p_i,$$
(4.4)

and

$$\gamma_{j-1}^{(i)} + \gamma_{j+1}^{(i)} = b_j^{(i)} \gamma_j^{(i)}, \qquad \forall j \in \{0, 1, \dots, s_i\},$$
  

$$\delta_{j-1}^{(i)} + \delta_{j+1}^{(i)} = b_j^{(i)} \delta_j^{(i)}, \qquad \forall j \in \{0, 1, \dots, s_i\},$$
  

$$\gamma_{j}^{(i)} \cdot \delta_{j}^{(i)} - \gamma_{j}^{(i)} \delta_{j}^{(i)}, = a_i, \quad \forall j \in \{1, 2, \dots, s_i + 1\},$$
(4.5)

and finally,

$$\delta_{s_i}^{(i)} = q_i - \hat{p}_i, \quad \delta_{s_i+1}^{(i)} = q_i.$$
(4.6)

• The minimal desingularization of  $X_{\Delta}$ . Maintaining the above notation and setting

$$\mathbf{u}_{j}^{(i)} := \frac{\gamma_{j}^{(i)}}{q_{i}} \mathbf{n}_{i} + \frac{\delta_{j}^{(i)}}{q_{i}} \mathbf{n}_{i+1}, \quad \forall j \in \{0, 1, \dots, s_{i} + 1\},$$

we get

$$\mathbf{u}_{j-1}^{(i)} + \mathbf{u}_{j+1}^{(i)} = b_j^{(i)} \mathbf{u}_j^{(i)}, \quad \forall j \in \{1, \dots, s_i\},$$
(4.7)  
the two-dimensional complete fan

and we can define the two-dimensional complete fan

$$\widetilde{\Delta} := \left\{ \begin{array}{c} \text{the cones } \{\sigma_i \mid i \in J_{\Delta}\} \text{ and} \\ \left\{ \pi_j^{(i)} := \mathbb{R}_{\geq 0} \mathbf{u}_j^{(i)} + \mathbb{R}_{\geq 0} \mathbf{u}_{j+1}^{(i)} \mid i \in I_{\Delta}, \ j \in \{0, 1, \dots, s_i\} \right\}, \\ \text{together with their faces} \end{array} \right\}.$$

By construction,

$$f = \operatorname{id}_* : X_{\widetilde{\Delta}} \longrightarrow X_{\Delta} \tag{4.8}$$

is the (good) minimal desingularization of  $X_{\Delta}$  (as we just patch together the (good) minimal desingularizations of  $U_{\sigma_i}$ 's established by Theorem 3.20). Defining

$$\begin{cases} E_j^{(i)} := \mathbf{V}_{\widetilde{\Delta}}(\mathbb{R}_{\geq 0} \mathbf{u}_j^{(i)}), & \forall i \in I_{\Delta} \text{ and } \forall j \in \{1, 2, \dots, s_i\} \\ \overline{C}_i := \mathbf{V}_{\widetilde{\Delta}}(\mathbb{R}_{\geq 0} \mathbf{n}_i), & \forall i \in \{1, 2, \dots, \nu\}, \end{cases}$$

we observe that  $\overline{C}_i$  is the strict transform of  $C_i$  w.r.t. f,

$$E^{(i)} := \sum_{j=1}^{s_i} E_j^{(i)}$$

the exceptional divisor replacing the singular point  $\operatorname{orb}(\sigma_i)$  via f, and

$$\operatorname{Div}_{\mathrm{W}}^{\mathbb{T}}(X_{\widetilde{\Delta}}) \otimes_{\mathbb{Z}} \mathbb{Q} = \operatorname{Div}_{\mathrm{C}}^{\mathbb{T}}(X_{\widetilde{\Delta}}) \otimes_{\mathbb{Z}} \mathbb{Q} = \left(\bigoplus_{i=1}^{\nu} \mathbb{Q} \,\overline{C}_i\right) \oplus \left(\bigoplus_{i \in I_{\Delta}} \bigoplus_{j=1}^{s_i} \mathbb{Q} \,E_j^{(i)}\right).$$

Moreover, the topological Euler characteristic of  $X_{\widetilde{\Delta}}$  equals  $e(X_{\Delta}) = \nu + \sum_{i \in I_{\Delta}} s_i$ , and the discrepancy divisor w.r.t. f is

$$K_{X_{\tilde{\Delta}}} - f^* K_{X_{\Delta}} = \sum_{i \in I_{\Delta}} K(E^{(i)})$$
(4.9)

with each of the  $K(E^{(i)})$ 's a Q-Cartier divisor supported in  $\bigcup_{j=1}^{s_i} E_j^{(i)}$  and having coefficients which will be described precisely in Proposition 4.4.

DEFINITION 4.1 (The additional characteristic numbers  $r_i$ ). For every index  $i \in \{1, 2, ..., \nu\}$  we introduce integers  $r_i$  uniquely determined by the conditions:

$$r_{i}\mathbf{n}_{i} = \begin{cases} \mathbf{u}_{s_{i-1}}^{(i-1)} + \mathbf{u}_{1}^{(i)}, & \text{if } i \in I_{\Delta}', \\ \mathbf{n}_{i-1} + \mathbf{u}_{1}^{(i)}, & \text{if } i \in I_{\Delta}', \\ \mathbf{u}_{s_{i-1}}^{(i-1)} + \mathbf{n}_{i+1}, & \text{if } i \in J_{\Delta}', \\ \mathbf{n}_{i-1} + \mathbf{n}_{i+1}, & \text{if } i \in J_{\Delta}'', \end{cases}$$
(4.10)

where

$$I'_{\Delta} := \{ i \in I_{\Delta} \mid q_{i-1} > 1 \}, \quad I''_{\Delta} := \{ i \in I_{\Delta} \mid q_{i-1} = 1 \}$$

and

$$J'_{\Delta} := \{ i \in J_{\Delta} \mid q_{i-1} > 1 \}, \quad J''_{\Delta} := \{ i \in J_{\Delta} \mid q_{i-1} = 1 \},$$

with  $I_{\Delta}, J_{\Delta}$  as in (4.1). As we shall see below in Lemma 4.3, the integer  $-r_i$  is nothing but the self-intersection number of  $\overline{C}_i$  on  $X_{\widetilde{\Delta}}$ .

DEFINITION 4.2. The integers  $\nu$ ,

$$p_i, \quad \widehat{p}_i, \quad q_i, \quad r_i, \tag{4.11}$$

for all  $i \in \{1, 2, ..., \nu\}$ , together with the sets of integers

$$s_{i}, \left\{ b_{j}^{(i)} \mid 1 \le j \le s_{i} \right\}, \left\{ \gamma_{j}^{(i)} \mid 0 \le j \le s_{i} + 1 \right\}, \left\{ \delta_{j}^{(i)} \mid 0 \le j \le s_{i} + 1 \right\}, (4.12)$$

for all  $i \in I_{\Delta}$ , which were introduced above, will be called *the combinatorial data* of the surface  $X_{\Delta}$ . These data describe completely its algebro-geometric and topological properties. In particular, if  $X_{\Delta}$  is nonsingular, (4.12) are not present,  $p_i = \hat{p}_i = 0, q_i = 1, \forall i \in \{1, \ldots, \nu\}$ , and therefore the only nontrivial data are the integers  $r_i, i \in \{1, \ldots, \nu\}$ .

LEMMA 4.3. The intersection numbers of any pair of generators of the group  $\operatorname{Div}_{\mathbb{C}}^{\mathbb{T}}(X_{\widetilde{\Delta}}) \otimes_{\mathbb{Z}} \mathbb{Q}$  are the following:

$$E_{j}^{(k)} \cdot E_{j'}^{(i)} = \begin{cases} 1, & \text{if } k = i \text{ and } j - j' = \pm 1, \\ -b_{j}^{(i)}, & \text{if } k = i \text{ and } j = j', \\ 0, & \text{otherwise}, \end{cases}$$
for all  $k, i \in I_{\Delta}$  and all  $j \in \{1, \dots, s_k\}, \ j' \in \{1, \dots, s_i\}.$ 

$$E_{j}^{(k)} \cdot \overline{C}_{i} = \begin{cases} 1, & \text{if } j = 1 \text{ and } k = i, \\ 1, & \text{if } j = s_{i-1} \text{ and } k = i - 1, \\ 0, & \text{otherwise}, \end{cases}$$
for all  $k \in I_{\Delta}$  and all  $j \in \{1, \dots, s_k\}, \ i \in \{1, \dots, \nu\}.$ 

$$\overline{C}_{i} \cdot \overline{C}_{i'} = \begin{cases} -r_{i}, & \text{if } i = i', \\ 1, & \text{if } \begin{cases} \text{either } i' = i + 1 \text{ and } i \in J_{\Delta}, \\ 0, & \text{otherwise}, \end{cases}$$
for all  $i, i' \in \{1, \dots, \nu\}.$ 

PROOF. It is easy to show that the two prime divisors defined by the closures of the orbits of the rays of  $\pi_j^{(i)} = \mathbb{R}_{\geq 0} \mathbf{u}_j^{(i)} + \mathbb{R}_{\geq 0} \mathbf{u}_{j+1}^{(i)}$  (resp., of  $\sigma_i = \tau_i + \tau_{i+1}$ ,  $i \in J_{\Delta}$ ) intersect transversely at one point, namely at  $\operatorname{orb}(\pi_j^{(i)})$  (resp., at  $\operatorname{orb}(\sigma_i)$ ) with multiplicity 1, and therefore their intersection number equals 1. The remaining pairs of distinct generators of  $\operatorname{Div}_{\mathbb{C}}^{\mathbb{T}}(X_{\widetilde{\Delta}}) \otimes_{\mathbb{Z}} \mathbb{Q}$  have intersection number 0 because they arise from rays of  $\widetilde{\Delta}$  which are not adjacent. Next, let us determine  $(E_j^{(i)})^2$ . Setting  $z_i^{(i)} := \mathbf{e}(\mathbf{u}_i^{(i)})$ , we get

$$\left\{ \begin{array}{l} U_{\pi_{j-1}^{(i)}} = \ \operatorname{Spec}\left(\mathbb{C}[z_{j-1}^{(i)}, z_{j}^{(i)}]\right), \\ U_{\pi_{j}^{(i)}} = \ \operatorname{Spec}\left(\mathbb{C}[z_{j}^{(i)}, z_{j+1}^{(i)}]\right) = \operatorname{Spec}\left(\mathbb{C}[(z_{j-1}^{(i)})^{-1}, (z_{j-1}^{(i)})^{b_{j}^{(i)}} z_{j}^{(i)}]\right), \\ U_{\pi_{j-1}^{(i)} \cap \pi_{j}^{(i)}} = \ \operatorname{Spec}\left(\mathbb{C}[(z_{j-1}^{(i)})^{\pm 1}, (z_{j-1}^{(i)})^{b_{j}^{(i)}} z_{j}^{(i)}]\right), \end{array} \right.$$

(by (4.7)), with

$$\begin{cases} E_j^{(i)} \cap U_{\pi_{j-1}^{(i)}} = \operatorname{Spec}\left(\mathbb{C}[z_{j-1}^{(i)}]\right), \\ E_j^{(i)} \cap U_{\pi_j^{(i)}} = \operatorname{Spec}\left(\mathbb{C}[(z_{j-1}^{(i)})^{-1}]\right), \\ E_j^{(i)} \cap U_{\pi_{j-1}^{(i)} \cap \pi_j^{(i)}} = \operatorname{Spec}\left(\mathbb{C}[(z_{j-1}^{(i)})^{\pm 1}]\right), \end{cases}$$

and the conormal sheaf  $\mathcal{I}_{E_{j}^{(i)}}/\mathcal{I}_{E_{j}^{(i)}}^{2} = \mathcal{O}_{X_{\widetilde{\Delta}}}(-E_{j}^{(i)})$  on  $X_{\widetilde{\Delta}}$ , viewed as a sheaf of  $\mathcal{O}_{E_{j}^{(i)}}$ -modules, is invertible on  $E_{j}^{(i)}$  (where  $\mathcal{I}_{E_{j}^{(i)}}$  denotes the ideal sheaf of  $E_{j}^{(i)}$  in  $X_{\widetilde{\Delta}}$ ). The line bundle on  $E_{j}^{(i)}$  corresponding to  $\mathcal{I}_{E_{j}^{(i)}}/\mathcal{I}_{E_{j}^{(i)}}^{2}$  is constructed by the identification

$$\begin{split} (E_{j}^{(i)} \cap U_{\pi_{j-1}^{(i)}}) \times \mathbb{C} & (E_{j}^{(i)} \cap U_{\pi_{j}^{(i)}}) \times \mathbb{C} \\ \cup & \cup \\ (E_{j}^{(i)} \cap U_{\pi_{j-1}^{(i)} \cap \pi_{j}^{(i)}}) \times \mathbb{C} & \ni (z_{j-1}^{(i)}, \lambda) \longleftrightarrow (z_{j}^{(i)}, (z_{j-1}^{(i)})^{b_{j}^{(i)}} \lambda) \in (E_{j}^{(i)} \cap U_{\pi_{j-1}^{(i)} \cap \pi_{j}^{(i)}}) \times \mathbb{C}, \end{split}$$

and has  $z_j^{(i)} \longmapsto (z_{j-1}^{(i)})^{b_j^{(i)}}$  as its transition function. But the same line bundle corresponds also to the Cartier divisor  $b_j^{(i)}\{0\}$  on  $E_j^{(i)}$ , where  $0 \in E_j^{(i)} \cap U_{\pi_{j-1}^{(i)}} \cong \mathbb{C}$  denotes the origin. Hence,  $\mathcal{O}_{E_j^{(i)}}(b_j^{(i)}\{0\}) \cong \mathcal{O}_{\mathbb{P}^1_{\mathbb{C}}}(b_j^{(i)})$ , and

$$\mathcal{N}_{X_{\tilde{\Delta}}/E_j^{(i)}} \cong \mathcal{O}_{\mathbb{P}^1_{\mathbb{C}}}(-b_j^{(i)}) \Longrightarrow (E_j^{(i)})^2 = \deg_{E_j^{(i)}}(\mathcal{N}_{X_{\tilde{\Delta}}/E_j^{(i)}}) = -b_j^{(i)} \quad (\text{by (1.1)}).$$

The proof of the equality  $\overline{C}_i^2 = -r_i$  is similar (and uses (4.10) instead of (4.7)).  $\Box$ 

PROPOSITION 4.4. The Q-Cartier divisor  $K(E^{(i)})$ , for an  $i \in I_{\Delta}$ , is expressed as rational linear combination of the exceptional rational curves  $E_j^{(i)}$ ,  $j = 1, \ldots, s_i$ , as follows:

$$K(E^{(i)}) = \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} - 1 \right) E_j^{(i)}$$
(4.13)

**PROOF.** For  $i \in I_{\Delta}$ , let the local canonical divisor at  $\operatorname{orb}(\sigma_i)$  be

$$K(E^{(i)}) = \sum_{j=1}^{s_i} \xi_j^{(i)} E_j^{(i)}.$$

In order to find the rational coefficients  $\xi_j^{(i)}$  we have to solve the linear system

$$K(E^{(i)}) \cdot E^{(i)}_{j'} = K_{X_{\tilde{\Delta}}} \cdot E^{(i)}_{j'}, \quad j' \in \{1, \dots, s_i\},\$$

i.e., the system

$$\left(\sum_{j=1}^{s_i} \xi_j^{(i)} E_j^{(i)}\right) \cdot E_{j'}^{(i)} = \left(-\sum_{i=1}^{\nu} \overline{C}_i - \sum_{k \in I_\Delta} \sum_{j=1}^{s_k} E_j^{(k)}\right) \cdot E_{j'}^{(i)}$$
$$= \left(-\overline{C}_i - \overline{C}_{i+1} - \sum_{j=1}^{s_i} E_j^{(i)}\right) \cdot E_{j'}^{(i)}, \quad j' \in \{1, \dots, s_i\}.$$

By Lemma 4.3, this is equivalent to the following:

$$\left(\mathbf{L}_{s_i}(b_1^{(i)},\ldots,b_{s_i}^{(i)})\right)(\xi_1^{(i)},\ldots,\xi_{s_i}^{(i)})^T = (2-b_1^{(i)},\ldots,2-b_{s_i}^{(i)})^T.$$

Using Lemma 3.13 and formulae (4.3), (4.5), we compute

$$\begin{split} \xi_{j}^{(i)} &= \frac{1}{q_{i}} \left( \sum_{1 \leq k < j} \gamma_{j}^{(i)} \delta_{k}^{(i)} (2 - b_{k}^{(i)}) + \gamma_{j}^{(i)} \delta_{j}^{(i)} (2 - b_{j}^{(i)}) + \sum_{1 \leq j < k \leq s_{i}} \gamma_{k}^{(i)} \delta_{j}^{(i)} (2 - b_{k}^{(i)}) \right) \\ &= \frac{1}{q_{i}} \left( \gamma_{j}^{(i)} \sum_{1 \leq k < j} (2\delta_{k}^{(i)} - b_{k}^{(i)} \delta_{k}^{(i)}) + \gamma_{j}^{(i)} \delta_{j}^{(i)} (2 - b_{j}^{(i)}) + \delta_{j}^{(i)} \sum_{1 \leq j < k \leq s_{i}} (2\gamma_{k}^{(i)} - b_{k}^{(i)} \gamma_{k}^{(i)}) \right) \\ &= \frac{1}{q_{i}} \left( \begin{array}{c} \gamma_{j}^{(i)} \sum_{1 \leq k < j} \left( 2\delta_{k}^{(i)} - \delta_{k-1}^{(i)} - \delta_{k+1}^{(i)} \right) + \gamma_{j}^{(i)} \delta_{j}^{(i)} \left( 2 - b_{j}^{(i)} \right) \\ &+ \delta_{j}^{(i)} \sum_{1 \leq j < k \leq s_{i}} \left( 2\gamma_{k}^{(i)} - \gamma_{k-1}^{(i)} - \gamma_{k+1}^{(i)} \right) \right) \\ &= \frac{1}{q_{i}} \left( \begin{array}{c} \gamma_{j}^{(i)} \left( \delta_{1}^{(i)} - \delta_{0}^{(i)} + \delta_{j-1}^{(i)} - \delta_{j}^{(i)} \right) + \gamma_{j}^{(i)} \delta_{j}^{(i)} \left( 2 - b_{j}^{(i)} \right) \\ &+ \delta_{j}^{(i)} \left( \gamma_{j+1}^{(i)} - \gamma_{j}^{(i)} + \gamma_{si}^{(i)} - \gamma_{si+1}^{(i)} \right) \end{array} \right) \\ &= \frac{1}{q_{i}} \left( \begin{array}{c} \gamma_{j}^{(i)} + \delta_{j}^{(i)} - q_{i} \right). \end{split}$$

Thus, (4.13) is true.

NOTE 4.5. (a) In the literature related to cyclic quotient singularities, a formula equivalent to

$$q_i K(E^{(i)}) = \sum_{j=1}^{s_i} (\gamma_j^{(i)} + \delta_j^{(i)} - q_i) E_j^{(i)},$$

was first mentioned in Knöller's article [**31**, §3.1, p. 211]. This alternative proof is based on the existence of a *unique* effective Cartier divisor  $Z_i \in \text{Div}_{C}(f^{-1}(U_i))$ supported in  $\bigcup_{j=1}^{s_i} E_j^{(i)}$ , such that  $Z_i \cdot E_j^{(i)} = \kappa_j^{(i)} q_i$ , for all  $j \in \{1, \ldots, s_i\}$ , where  $\kappa_1^{(i)}, \ldots, \kappa_{s_i}^{(i)}$  are given non-positive integers (see [**31**, Lemma of p. 207]).

(b) Obviously, for  $i \in I_{\Delta}$ , the local index  $l = \operatorname{lind}(X_{\Delta}, \operatorname{orb}(\sigma_i))$  introduced in 3.19 is the smallest positive integer for which  $-l K(E^{(i)})$  is a Cartier divisor on  $X_{\widetilde{\Delta}}$ .

COROLLARY 4.6. The self-intersection number of  $K(E^{(i)})$  equals

$$K(E^{(i)})^2 = -\left(\frac{q_i - p_i + 1}{q_i} + \frac{q_i - \hat{p}_i + 1}{q_i}\right) + 2 + \sum_{j=1}^{s_i} (2 - b_j^{(i)})$$
(4.14)

PROOF. By (4.13) we have

$$\begin{split} K(E^{(i)})^2 &= K(E^{(i)}) \cdot K(E^{(i)}) \\ &= K(E^{(i)}) \cdot \left( \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} - 1 \right) E_j^{(i)} \right) \\ &= \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} - 1 \right) K(E^{(i)}) \cdot E_j^{(i)}. \end{split}$$

Since each of  $E_j^{(i)}$ 's is isomorphic to  $\mathbb{P}^1_{\mathbb{C}}$ , adjunction formula (cf. [3, p. 85] or [23, Ch. V, Proposition 1.5, p. 361]) and Lemma 4.3 give

$$K(E^{(i)}) \cdot E_j^{(i)} = -2 - (E_j^{(i)})^2 = b_j^{(i)} - 2.$$

Hence,

$$\begin{split} K(E^{(i)})^2 &= \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} - 1 \right) (b_j^{(i)} - 2) \\ &= \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} \right) (b_j^{(i)} - 2) + \sum_{j=1}^{s_i} (2 - b_j^{(i)}) \\ &= \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} \right) b_j^{(i)} - 2 \sum_{j=1}^{s_i} \left( \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i} \right) + \sum_{j=1}^{s_i} (2 - b_j^{(i)}). \end{split}$$

Now taking into account (4.5), we have

$$(\gamma_j^{(i)} + \delta_j^{(i)})b_j^{(i)} = \gamma_{j-1}^{(i)} + \gamma_{j+1}^{(i)} + \delta_{j-1}^{(i)} + \delta_{j+1}^{(i)},$$

which means that

$$K(E^{(i)})^2 = \frac{1}{q_i}((\gamma_0^{(i)} + \gamma_{s_i+1}^{(i)} + \delta_0^{(i)} + \delta_{s_i+1}^{(i)}) - (\gamma_1^{(i)} + \gamma_{s_i}^{(i)} + \delta_1^{(i)} + \delta_{s_i}^{(i)})) + \sum_{j=1}^{s_i}(2 - b_j^{(i)}).$$

After simple evaluation of the "extreme" gammas and deltas by (4.3), (4.4), and (4.6), we obtain formula (4.14).

LEMMA 4.7. The (fractional) intersection numbers of any pair  $C_i, C_{i'}$  of generators of  $\text{Div}_{W}^{\mathbb{T}}(X_{\Delta})$  (with  $i, i' \in \{1, \ldots, \nu\}$ ) are the following:

PROOF. If  $i - i' \notin \{0, \pm 1\}$ , then the intersection of the supports of  $C_i$  and  $C_{i'}$  is empty, and therefore  $C_i \cdot C_{i'} = 0$ . On the other hand, for every  $i \in \{1, \ldots, \nu\}$ , it is easy (by appropriate use of Lemma 3.13) to verify that

$$f^*C_i = \begin{cases} \overline{C}_i + \frac{1}{q_{i-1}} \sum_{j=1}^{s_{i-1}} \delta_j^{(i-1)} E_j^{(i-1)} + \frac{1}{q_i} \sum_{j=1}^{s_i} \gamma_j^{(i)} E_j^{(i)}, & \text{if } i \in I'_\Delta, \\ \overline{C}_i + \frac{1}{q_i} \sum_{j=1}^{s_i} \gamma_j^{(i)} E_j^{(i)}, & \text{if } i \in I'_\Delta, \\ \overline{C}_i + \frac{1}{q_i} \sum_{j=1}^{s_{i-1}} \gamma_j^{(i-1)} E_j^{(i-1)} & \text{if } i \in I'_\Delta, \end{cases}$$

$$\begin{bmatrix} \overline{C}_i + \frac{1}{q_{i-1}} \sum_{j=1}^{i} \delta_j^{(i-1)} E_j^{(i-1)} & \text{if } i \in J'_\Delta, \\ \overline{C}_i, & \text{if } i \in J''_\Delta. \end{bmatrix}$$

For every  $i \in I'_{\Delta}$ , we compute  $C_i^2$  as follows:

$$\begin{split} C_i^2 &= \overline{C}_i^2 + 2\overline{C}_i \cdot \left(\frac{1}{q_{i-1}} \delta_{s_{i-1}}^{(i-1)} E_{s_{i-1}}^{(i-1)} + \frac{1}{q_i} \gamma_1^{(i)} E_1^{(i)}\right) \\ &+ \frac{1}{q_{i-1}^2} \left(\sum_{j=1}^{s_{i-1}} \delta_j^{(i-1)} E_j^{(i-1)}\right)^2 + \frac{1}{q_i^2} \left(\sum_{j=1}^{s_i} \gamma_j^{(i)} E_j^{(i)}\right)^2, \end{split}$$

where  $\overline{C}_i^2 = -r_i$ , and

$$2\overline{C}_i \cdot \left(\frac{1}{q_{i-1}} \delta_{s_{i-1}}^{(i-1)} E_{s_{i-1}}^{(i-1)} + \frac{1}{q_i} \gamma_1^{(i)} E_1^{(i)}\right) = 2\left(\frac{q_{i-1} - \widehat{p}_{i-1}}{q_{i-1}} + \frac{q_i - p_i}{q_i}\right),$$

by Lemma 4.3 and (4.4), (4.6). Moreover, by (4.4) and (4.5), we have

$$\left(\sum_{j=1}^{s_i} \gamma_j^{(i)} E_j^{(i)}\right)^2 = -\sum_{j=1}^{s_i} b_j^{(i)} \left(\gamma_j^{(i)}\right)^2 + 2(\gamma_1^{(i)} \gamma_2^{(i)} + \dots + \gamma_{s_i}^{(i)} \gamma_1^{(i)})$$
$$= -\sum_{j=1}^{s_i} \left(\gamma_{j-1}^{(i)} + \gamma_{j+1}^{(i)}\right) \gamma_j^{(i)} + 2(\gamma_1^{(i)} \gamma_2^{(i)} + \dots + \gamma_{s_i}^{(i)} \gamma_1^{(i)})$$
$$= -\gamma_0^{(i)} \gamma_1^{(i)} + \gamma_{s_i}^{(i)} \gamma_{s_i+1}^{(i)} = -q_i \left(q_i - p_i\right),$$

and analogously,

$$\left(\sum_{j=1}^{s_{i-1}} \delta_j^{(i-1)} E_j^{(i-1)}\right)^2 = -q_{i-1} \left(q_{i-1} - \widehat{p}_{i-1}\right).$$

Consequently,

$$C_i^2 = -r_i + \frac{q_{i-1} - \hat{p}_{i-1}}{q_{i-1}} + \frac{q_i - p_i}{q_i}.$$

The computation of the self-intersection number  $C_i^2$  in the other cases is similar. Next, let us determine  $C_i \cdot C_{i+1}$ . By Lemma 4.3,  $C_i \cdot C_{i+1} = 1$ , whenever  $i \in J_{\Delta}$ , and

$$C_{i} \cdot C_{i+1} = \left(\overline{C}_{i} + \frac{1}{q_{i}} \sum_{j=1}^{s_{i}} \gamma_{j}^{(i)} E_{j}^{(i)}\right) \left(\overline{C}_{i+1} + \frac{1}{q_{i}} \sum_{j=1}^{s_{i}} \delta_{j}^{(i)} E_{j}^{(i)}\right)$$
$$= \overline{C}_{i} \cdot \left(\frac{1}{q_{i}} \delta_{1}^{(i)} E_{1}^{(i)}\right) + \overline{C}_{i+1} \cdot \left(\frac{1}{q_{i}} \gamma_{s}^{(i)} E_{s}^{(i)}\right) + \frac{1}{q_{i}^{2}} \left(\sum_{j=1}^{s_{i}} \gamma_{j}^{(i)} E_{j}^{(i)}\right) \cdot \left(\sum_{j=1}^{s_{i}} \delta_{j}^{(i)} E_{j}^{(i)}\right)$$
$$= \frac{1}{q_{i}} + \frac{1}{q_{i}}$$
$$+ \frac{1}{q_{i}^{2}} \left(\gamma_{1}^{(i)} (\delta_{2}^{(i)} - b_{1}^{(i)} \delta_{1}^{(i)}) + \sum_{j=2}^{s_{i}-1} \gamma_{j}^{(i)} (\delta_{j-1}^{(i)} - b_{j}^{(i)} \delta_{j}^{(i)} + \delta_{j+1}^{(i)}) + \gamma_{s}^{(i)} (\delta_{s-1}^{(i)} - b_{s}^{(i)} \delta_{s}^{(i)})\right)$$

whenever  $i \in I_{\Delta}$ . In the second case, since  $\delta_{j-1}^{(i)} - b_j^{(i)} \delta_j^{(i)} + \delta_{j+1}^{(i)} = 0$  for all indices  $j \in \{2, \ldots, s_i - 1\}$  (by (4.5)), and

$$\begin{split} \delta_2^{(i)} - b_1^{(i)} \delta_1^{(i)} &= \delta_2^{(i)} - \delta_0^{(i)} - \delta_2^{(i)} = 0, \quad \gamma_s^{(i)} (\delta_{s-1}^{(i)} - b_s^{(i)} \delta_s^{(i)}) = -\gamma_s^{(i)} \delta_{s+1}^{(i)} = -q_i, \\ \text{we get } C_i \cdot C_{i+1} &= \frac{1}{q_i}. \end{split}$$

PROPOSITION 4.8 (Direct computation of  $K^2_{X_{\Delta}}$ ). The self-intersection number of the canonical divisor of  $X_{\Delta}$  equals

$$K_{X_{\Delta}}^{2} = \sum_{i=1}^{\nu} \left(\frac{2}{q_{i}} - r_{i}\right) + \sum_{i \in I_{\Delta}} \left(\frac{q_{i} - p_{i}}{q_{i}} + \frac{q_{i} - \hat{p}_{i}}{q_{i}}\right)$$
(4.15)

**PROOF.** Since

$$K_{X_{\Delta}}^{2} = (-\sum_{i=1}^{\nu} C_{i})^{2} = \sum_{i=1}^{\nu} C_{i}^{2} + 2\sum_{1 \le i < j \le \nu} C_{i} \cdot C_{j},$$

using Lemma 4.7 we get

$$K_{X_{\Delta}}^{2} = \sum_{i=1}^{\nu} \left(\frac{2}{q_{i}} - r_{i}\right) + \left(\sum_{i \in I_{\Delta}'} \left(\frac{q_{i-1} - \hat{p}_{i-1}}{q_{i-1}} + \frac{q_{i} - p_{i}}{q_{i}}\right) + \sum_{i \in I_{\Delta}''} \frac{q_{i} - p_{i}}{q_{i}} + \sum_{i \in J_{\Delta}'} \frac{q_{i-1} - \hat{p}_{i-1}}{q_{i-1}}\right).$$

Note that the second summand (in the big parenthesis) equals the sum of the two rational numbers  $\frac{q_i - p_i}{q_i}$  and  $\frac{q_i - \hat{p}_i}{q_i}$  over all  $i \in I_\Delta$ , because each of them is counted once for every singular point of  $X_\Delta$ , and therefore  $K_{X_\Delta}^2$  can be written in the form (4.15).

• Computing  $K_{X_{\Delta}}^2$  via Noether's formula. On  $X_{\widetilde{\Delta}}$  the usual Noether's formula for rational nonsingular compact complex surfaces gives

$$\frac{1}{12}(K_{X_{\widetilde{\Delta}}}^2 + e(X_{\widetilde{\Delta}})) = \chi(\mathcal{O}_{X_{\widetilde{\Delta}}}) = 1,$$

i.e.,

$$K_{X_{\widetilde{\Delta}}}^2 = 12 - e(X_{\widetilde{\Delta}}) = 12 - \nu - \sum_{i \in I_{\Delta}} s_i.$$

This equality, combined with (4.9) and (4.14), leads to generalized Noether's formulae which are valid for the (not necessarily nonsingular) toric surface  $X_{\Delta}$ . PROPOSITION 4.9 (First version of Noether's formula). The self-intersection number of the canonical divisor of  $X_{\Delta}$  equals

$$K_{X_{\Delta}}^{2} = 12 - \nu + \sum_{i \in I_{\Delta}} \left( \frac{q_{i} - p_{i} + 1}{q_{i}} + \frac{q_{i} - \hat{p}_{i} + 1}{q_{i}} - 2 + \sum_{j=1}^{s_{i}} (b_{j}^{(i)} - 3) \right)$$
(4.16)

PROOF. We have

$$K_{X_{\Delta}}^{2} = K_{X_{\widetilde{\Delta}}}^{2} - \left(\sum_{i \in I_{\Delta}} K(E^{(i)}) \cdot \sum_{i \in I_{\Delta}} K(E^{(i)})\right)$$
$$= 12 - \nu - \sum_{i \in I_{\Delta}} s_{i} - \left(\sum_{i \in I_{\Delta}} K(E^{(i)}) \cdot \sum_{i \in I_{\Delta}} K(E^{(i)})\right)$$
$$= 12 - \nu - \sum_{i \in I_{\Delta}} s_{i} - \sum_{i \in I_{\Delta}} \left(K(E^{(i)}) \cdot K(E^{(i)})\right),$$

where the latter equality follows from the fact that the intersection of the supports of the divisors  $K(E^{(i_1)})$  and  $K(E^{(i_2)})$ , for every pair  $i_1, i_2 \in I_{\Delta}$  with  $i_1 \neq i_2$ , is empty. By (4.14),

$$K_{X_{\Delta}}^{2} = 12 - \nu - \sum_{i \in I_{\Delta}} s_{i} - \sum_{i \in I_{\Delta}} K(E^{(i)})^{2}$$
$$= 12 - \nu - \sum_{i \in I_{\Delta}} s_{i} + \sum_{i \in I_{\Delta}} \left( \frac{q_{i} - p_{i} + 1}{q_{i}} + \frac{q_{i} - \hat{p}_{i} + 1}{q_{i}} - 2 + \sum_{j=1}^{s_{i}} (b_{j}^{(i)} - 2) \right)$$

which can be written in the form (4.16).

COROLLARY 4.10 (Second version of Noether's formula). The self-intersection number of the canonical divisor of  $X_{\Delta}$  equals

$$K_{X_{\Delta}}^{2} = 12 - \nu + 2\sum_{i \in I_{\Delta}} \left( \frac{1}{q_{i}} - 6 \text{ DS}(p_{i}, q_{i}) - 1 \right)$$
(4.17)

(and therefore can be calculated by means of sawtooth functions or, alternatively, by cotangent functions, cf. (3.1), (3.4) and (3.5)).

PROOF. For every  $i \in I_{\Delta}$  it sufficies to express  $\sum_{j=1}^{s_i} (b_j^{(i)} - 3)$  within (4.16) in terms of the Dedekind sum  $DS(p_i, q_i)$  by utilizing formula (3.6).

REMARK 4.11. (a) Since

$$\sum_{i=1}^{\nu} \left( \frac{2}{q_i} - r_i \right) = -\sum_{i=1}^{\nu} r_i + \sum_{i \in I_{\Delta}} \frac{2}{q_i} + 2\left(\nu - \sharp(I_{\Delta})\right)$$

the equalities (4.15), (4.16) and (4.17) give

$$\sum_{i=1}^{\nu} r_i = 3\nu - 12 - \sum_{i \in I_\Delta} \left( \sum_{j=1}^{s_i} (b_j^{(i)} - 3) \right)$$

$$= 3\nu - 12 - \sum_{i \in I_\Delta} \left( \frac{p_i + \hat{p}_i}{q_i} - 12 \text{ DS}(p_i, q_i) - 2 \right)$$
(4.18)

Formula (4.18) generalizes the well-known formula for *nonsingular*  $X_{\Delta}$ 's (see Oda [42, formula (1), p. 45] or Fulton [18, equation (\*\*), p. 44]).

(b) If  $X_{\Delta}$  is Gorenstein (see Remark 3.18), then

$$K_{X_{\Delta}}^2 = 12 - \nu - \sum_{i \in I_{\Delta}} s_i$$
 and  $\sum_{i=1}^{\nu} r_i = 3\nu - 12 + \sum_{i \in I_{\Delta}} s_i$ .

## 5. Classification of compact toric surfaces

Compact toric surfaces are to be classified *up to isomorphism* by means of specially designed "weighted" plane graphs which have the combinatorial data (4.11) as their weights. Our presentation uses a generalization of the  $\mathbb{Z}$ -weighted circular graphs introduced by Oda in [41, Ch. I, § 8, pp. 50-58], [42, pp. 42-46], for the study of *nonsingular* compact toric surfaces, and related results of Koelman [32, § 1.2].

LEMMA 5.1. Let N be a free  $\mathbb{Z}$ -module of rank 2, and  $\Delta$ ,  $\Delta'$  two 2-dimensional fans with  $|\Delta| = |\Delta'| = N_{\mathbb{R}}$ . Assume that

$$\begin{cases} \Delta(1) = \{\tau_1, \dots, \tau_{\nu}\}, & \Delta(2) = \{\sigma_1, \dots, \sigma_{\nu}\}, & \nu \ge 3, \\ \Delta'(1) = \{\tau'_1, \dots, \tau'_{\nu'}\}, & \Delta'(2) = \{\sigma'_1, \dots, \sigma'_{\nu'}\}, & \nu' \ge 3, \end{cases}$$

with  $\sigma_i := \tau_i + \tau_{i+1}$ ,  $\sigma'_i := \tau'_i + \tau'_{i+1}$ , and  $\tau_i$ 's (resp.,  $\tau'_i$ 's) going anticlockwise around the origin once (w.r.t. the given enumeration of the indices), and that

$$p_i$$
,  $\widehat{p}_i$ ,  $q_i$ ,  $r_i$ ;  $p'_i$ ,  $\widehat{p}'_i$ ,  $q'_i$ ,  $r'_i$ 

are the combinatorial data (4.11) of  $X_{\Delta}$  and  $X_{\Delta'}$ , respectively. If we denote by  $\operatorname{GL}(N,\mathbb{Z})$  the automorphism group of N, and if we define

$$\begin{aligned} \operatorname{GL}_+(N,\mathbb{Z}) &:= \left\{ \varpi \in \operatorname{GL}(N,\mathbb{Z}) \mid \det(\varpi) = 1 \right\}, \\ \operatorname{GL}_-(N,\mathbb{Z}) &:= \left\{ \varpi \in \operatorname{GL}(N,\mathbb{Z}) \mid \det(\varpi) = -1 \right\}, \end{aligned}$$

then the following conditions are equivalent:

(i) There exists a ∞ ∈ GL<sub>+</sub>(N, ℤ) (resp., a ∞ ∈ GL<sub>-</sub>(N, ℤ)) such that ∞<sub>ℝ</sub> (Δ) = Δ'.
(ii) We have ν = ν', and there exist ordering preserving permutations ϑ, ϑ' ∈ 𝔅<sub>ν</sub> (*i.e.*, i<sub>1</sub> < i<sub>2</sub> ⇒ ϑ(i<sub>1</sub>) < ϑ(i<sub>2</sub>) and ϑ'(i<sub>1</sub>) < ϑ'(i<sub>2</sub>), w.r.t. the usual cyclic ordering " < "), such that for all i ∈ {1,...,ν} the following equalities hold true:</li>

$$p_{\vartheta(i)} = p'_{\vartheta'(i)}, \quad q_{\vartheta(i)} = q'_{\vartheta'(i)}, \quad r_{\vartheta(i)} = r'_{\vartheta'(i)}$$
(resp.,  $p_{\vartheta(i)} = \widehat{p}'_{[\nu-\vartheta'(i)+1]_{\nu}}, \quad q_{\vartheta(i)} = q'_{[\nu-\vartheta'(i)+1]_{\nu}}, \quad r_{\vartheta(i)} = r'_{[\nu-\vartheta'(i)+2]_{\nu}}, \quad (r'_0 := r'_{\nu})).$ 

PROOF. See Proposition 3.5 and Koelman [32, Lemma 1.2.27, pp. 16-17].  $\Box$ 

DEFINITION 5.2. Let  $\mathfrak{G}$  be a plane graph (i.e., a drawing of a planar graph in the plane with no crossings) and Vert( $\mathfrak{G}$ ), Edg( $\mathfrak{G}$ ), the set of its vertices and the set of its edges, respectively.  $\mathfrak{G}$  is called *circular graph* if its vertices are points on a circle and its edges are the corresponding arcs (on this circle, each of which connects two consecutive vertices). We say that a circular graph  $\mathfrak{G}$  is  $\mathbb{Z}$ -weighted at its vertices and double  $\mathbb{Z}$ -weighted at its edges (and call it WVE<sup>2</sup>C-graph, for short) if it is accompanied by two maps Vert( $\mathfrak{G}$ )  $\stackrel{\mathfrak{w}}{\longrightarrow} \mathbb{Z}$ , Edg( $\mathfrak{G}$ )  $\stackrel{\mathfrak{w}'}{\longrightarrow} \mathbb{Z}^2$ , assigning to each vertex an integer and to each edge a pair of integers, respectively.

DEFINITION 5.3. We say that two WVE<sup>2</sup>C-graphs  $\mathfrak{G}_1$  and  $\mathfrak{G}_2$  having

$$\operatorname{Vert}(\mathfrak{G}_k) \stackrel{\mathfrak{w}_k}{\longmapsto} \mathbb{Z}, \quad \operatorname{Edg}(\mathfrak{G}_k) \stackrel{\mathfrak{w}'_k}{\longmapsto} \mathbb{Z}^2, \quad k = 1, 2,$$

as weighting maps are *isomorphic* (and we use the notation  $\mathfrak{G}_1 \cong \mathfrak{G}_2$ ) if there exists a bijection  $\theta : \operatorname{Vert}(\mathfrak{G}_1) \longrightarrow \operatorname{Vert}(\mathfrak{G}_2)$ , such that

(i) for each edge  $\overline{\mathbf{v}\mathbf{w}}$  of  $\mathfrak{G}_1$  with vertices  $\mathbf{v}$  and  $\mathbf{w}$ ,  $\overline{\theta(\mathbf{v})\theta(\mathbf{w})}$  is an edge of  $\mathfrak{G}_2$ ,

(ii)  $\mathfrak{w}_1(\mathbf{v}) = \mathfrak{w}_2(\theta(\mathbf{v})), \forall \mathbf{v} \in \operatorname{Vert}(\mathfrak{G}_1), \text{ and }$ 

(iii)  $\mathfrak{w}_1'(\overline{\mathbf{v}\mathbf{w}}) = \mathfrak{w}_2'(\overline{\theta(\mathbf{v})\theta(\mathbf{w})}).$ 

DEFINITION 5.4. A WVE<sup>2</sup>C-graph  $\mathfrak{G}$  is said to be *anticlockwise* (resp., *clockwise*) *directed* if its reference circle (on which the vertices are located) is viewed as a cycle equipped with the anticlockwise (resp., clockwise) direction.

DEFINITION 5.5. Let N be a free  $\mathbb{Z}$ -module of rank 2 and  $\Delta$  a two-dimensional fan with  $|\Delta| = N_{\mathbb{R}}$ . Using the combinatorial data (4.11) of  $X_{\Delta}$  we associate to  $\Delta$ an anticlockwise directed WVE<sup>2</sup>C-graph  $\mathfrak{G}_{\Delta}$  with

 $\operatorname{Vert}(\mathfrak{G}_{\Delta}) = \{\mathbf{v}_1, \dots, \mathbf{v}_{\nu}\} \quad \text{and} \quad \operatorname{Edg}(\mathfrak{G}_{\Delta}) = \{\overline{\mathbf{v}_1 \mathbf{v}_2}, \dots, \overline{\mathbf{v}_{\nu} \mathbf{v}_1}\},$ 

by defining its "weights" as follows:

$$\operatorname{Vert}(\mathfrak{G}_{\Delta}) \ni \mathbf{v}_{i} \longmapsto -r_{i}, \quad \operatorname{Edg}(\mathfrak{G}_{\Delta}) \ni \overline{\mathbf{v}_{i}\mathbf{v}_{i+1}} \longmapsto (p_{i}, q_{i}), \quad \forall i \in \{1, \dots, \nu\}.$$

The reverse graph  $\mathfrak{G}_{\Delta}^{\text{rev}}$  of  $\mathfrak{G}_{\Delta}$  is defined to be the directed WVE<sup>2</sup>C-graph which is obtained by changing the double weight  $(p_i, q_i)$  of the edge  $\overline{\mathbf{v}_i \mathbf{v}_{i+1}}$  into  $(\hat{p}_i, q_i)$  and reversing the initial anticlockwise direction of  $\mathfrak{G}_{\Delta}$  into clockwise direction.

NOTE 5.6 (Conventions for the drawings). (a) In the drawing of directed WVE<sup>2</sup>C-graphs  $\mathfrak{G}_{\Delta}$  in the plane we shall attach only the weight  $-r_i$  at the vertex  $\mathbf{v}_i$  (without mentioning  $\mathbf{v}_i$  itself), for  $i \in \{1, \ldots, \nu\}$ , and the double weight  $(p_i, q_i)$  at the edge  $\overline{\mathbf{v}_i \mathbf{v}_{i+1}}$ , for  $i \in I_{\Delta}$ , and leave edges  $\overline{\mathbf{v}_i \mathbf{v}_{i+1}}$ , for  $i \in J_{\Delta}$ , without any decoration (or, in other words, with the blank space around an edge meaning always the double weight (0, 1)), in order to switch to the notation introduced in [41, pp. 50-58], [42, pp. 42-46] (for the study of nonsingular  $X_{\Delta}$ 's). Let us furthermore note that the choice of  $-r_i$ , instead of  $r_i$ , as the weight of the vertex  $\mathbf{v}_i$ , is more natural because it indicates the self-intersection number of the corresponding irreducible rational curve which occurs in the minimal desingularization of  $X_{\Delta}$ , and is again adopted from [41], [42].

(b) In practice, having definition 5.3 in hand, to decide if two given directed WVE<sup>2</sup>Cgraphs  $\mathfrak{G}_1$  and  $\mathfrak{G}_2$  (which possess the same number of vertices) are isomorphic (or not), we may travel on their reference circles (following the prescribed directions) and find out if there exists a suitable bijection sending the weights of  $\mathfrak{G}_1$  to equal weights of  $\mathfrak{G}_2$  (or not), without insisting on the use of enumerations of the vertices.

EXAMPLE 5.7. Let  $N = \mathbb{Z}^2$  be the standard rectangular lattice within  $\mathbb{R}^2$ and  $\Delta$  the fan with  $\text{Gen}(\Delta) = \{\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3\}$  given in Figure 4 (a). Computing the combinatorial data (4.11) of  $X_{\Delta}$ , we get the WVE<sup>2</sup>C-graph  $\mathfrak{G}_{\Delta}$  which is depicted in Figure 4 (b).  $X_{\Delta}$  is isomorphic to the weighted projective plane  $\mathbb{P}^2_{\mathbb{C}}(5, 2, 1)$ .

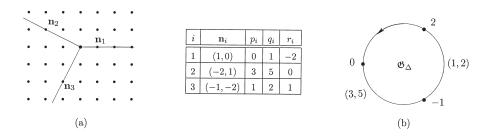


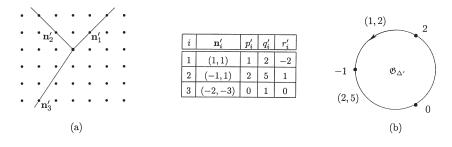
FIGURE 4.

THEOREM 5.8 (Classification Theorem I). Let N be a free  $\mathbb{Z}$ -module of rank 2, and  $\Delta$ ,  $\Delta'$  two 2-dimensional fans with  $|\Delta| = |\Delta'| = N_{\mathbb{R}}$ . Then the following conditions are equivalent:

- (i) The compact toric surfaces  $X_{\Delta}$  and  $X_{\Delta'}$  are isomorphic.
- (ii) Either  $\mathfrak{G}_{\Delta'} \cong_{\operatorname{gr.}} \mathfrak{G}_{\Delta}$  or  $\mathfrak{G}_{\Delta'} \cong_{\operatorname{gr.}} \mathfrak{G}_{\Delta}^{\operatorname{rev}}$ .

PROOF. Obviously,  $X_{\Delta}$  and  $X_{\Delta'}$  are isomorphic if and only if there exists an automorphism  $\varpi \in \operatorname{GL}(N,\mathbb{Z})$  such that  $\varpi_{\mathbb{R}}(\Delta) = \Delta'$ . By Lemma 5.1,  $\mathfrak{G}_{\Delta'} \cong \mathfrak{G}_{\Delta}$ whenever  $\varpi \in \operatorname{GL}_+(N,\mathbb{Z})$ , and  $\mathfrak{G}_{\Delta'} \cong \mathfrak{G}_{\Delta}^{\operatorname{rev}}$  whenever  $\varpi \in \operatorname{GL}_-(N,\mathbb{Z})$ .

EXAMPLE 5.9. If  $\Delta'$  is the fan with  $\text{Gen}(\Delta') = \{\mathbf{n}'_1, \mathbf{n}'_2, \mathbf{n}'_3\}$  given in Figure 5 (a), then we see that the WVE<sup>2</sup>C-graph  $\mathfrak{G}_{\Delta'}$  of  $X_{\Delta'}$  (depicted in Figure 5 (b)) is isomorphic to the reverse graph  $\mathfrak{G}_{\Delta}^{\text{rev}}$  of  $\mathfrak{G}_{\Delta}$ , where  $\Delta$  denotes the fan defined in Example 5.7. Obviously,  $p'_i = \hat{p}_{4-i}, r'_i = r_{[5-i]_3}$ , for i = 1, 2, 3, and  $X_{\Delta'}$  is isomorphic to  $X_{\Delta}$ .



THEOREM 5.10 (Classification Theorem II). Let  $\mathfrak{G}$  be a WVE<sup>2</sup>C-graph and assume that Vert( $\mathfrak{G}$ ) = { $\mathbf{v}_i | 1 \leq i \leq \nu$ },  $\nu \geq 3$ , having for each  $i \in \{1, \ldots, \nu\}$  an integer number  $-r_i$  as the weight of its vertex  $\mathbf{v}_i$ , and  $(p_i, q_i) \in \mathbb{Z}^2$  with  $0 \leq p_i \leq q_i$ , gcd $(p_i, q_i) = 1$ , as the double weight of the edge  $\overline{\mathbf{v}_i \mathbf{v}_{i+1}}$ . Suppose (without loss of generality) that  $\mathfrak{G}$  is anticlockwise directed. Then the following are equivalent: (i) There exists a free  $\mathbb{Z}$ -module N of rank 2 and a two-dimensional fan  $\Delta$ , such that  $|\Delta| = N_{\mathbb{R}}$ , with  $X_{\Delta}$  having combinatorial data (4.11), (4.12), and  $\mathfrak{G}_{\Delta} \cong \mathfrak{G}$ .

(ii) Both conditions (4.18) and

$$\prod_{i=1}^{\nu} (\mathbf{S}(r_i) \mathbf{B}_i) = \begin{pmatrix} 1 & 0\\ 0 & 1 \end{pmatrix}$$
(5.1)

are satisfied, where

$$\mathbf{S}(k) := \begin{pmatrix} k & 1 \\ -1 & 0 \end{pmatrix}, \ \forall k \in \mathbb{Z}, \quad \mathbf{B}_i := \begin{cases} \prod_{k=1}^{s_i} \mathbf{S}(b_k^{(i)}), & \text{if } i \in I_\Delta, \\ \\ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & \text{if } i \in J_\Delta, \end{cases}$$

 $I_{\Delta}, J_{\Delta}$  as defined by (4.1), and  $\left\{ b_{j}^{(i)} \mid 1 \leq j \leq s_{i} \right\}, i \in I_{\Delta}, determined by (4.2).$ 

PROOF. The implication (i) $\Rightarrow$ (ii) follows from 4.11 (a), and the equations (4.7) and (4.10). To verify the inverse implication (ii) $\Rightarrow$ (i) we may w.l.o.g. work with the standard rectangular lattice  $N = \mathbb{Z}^2$  within  $\mathbb{R}^2$ . Besides that, it is convenient to extend the definition of  $s_i$  for all  $i \in \{1, \ldots, \nu\}$  by setting  $s_i = 0, \forall i \in J_{\Delta}$ . Let  $\{\mathbf{e}_1, \mathbf{e}_2\}$  be the basis of  $\mathbb{Z}^2$  consisting of the unit vectors. If we define

$$\begin{cases} \mathbf{x}_{0}^{(1)} := \mathbf{e}_{1}, \ \mathbf{x}_{1}^{(1)} := \mathbf{e}_{1} + \mathbf{e}_{2}, \text{ and} \\ \mathbf{x}_{j}^{(1)} := b_{j}^{(1)} \mathbf{x}_{j-1}^{(1)} - \mathbf{x}_{j-2}^{(1)}, & \forall j \in \{2, \dots, s_{1} + 1\} \\ \text{ (i.e., if } 1 \in I_{\Delta}), \end{cases}$$

and

$$\begin{cases} \mathbf{x}_{0}^{(2)} := \mathbf{x}_{s_{1}+1}^{(1)}, \ \mathbf{x}_{1}^{(2)} := r_{2}\mathbf{x}_{0}^{(2)} - \mathbf{x}_{s_{1}}^{(1)}, & \text{and} \\ \mathbf{x}_{j}^{(2)} := b_{j}^{(2)}\mathbf{x}_{j-1}^{(2)} - \mathbf{x}_{j-2}^{(2)}, & \forall j \in \{2, \dots, s_{2}+1\} \\ \mathbf{x}_{j}^{(2)} := b_{j}^{(2)}\mathbf{x}_{j-1}^{(2)} - \mathbf{x}_{j-2}^{(2)}, & \text{(i.e., if } 2 \in I_{\Delta}), \end{cases}$$

and continue this procedure (with  $\mathbf{x}_{j}^{(i)}$ 's going anticklockwise around the origin) until we arrive to  $\mathbf{x}_{s_{\nu}+1}^{(\nu)}$ , then we construct  $\nu + \sum_{i \in I_{\Delta}} s_{i}$  distinct vectors

$$\left\{ \mathbf{x}_{j}^{(i)} \mid 1 \le i \le \nu, \ 0 \le j \le s_{i} + 1 \right\} \text{ (because } \mathbf{x}_{0}^{(i)} = \mathbf{x}_{s_{i-1}+1}^{(i-1)} \text{)},$$

with  $\{\mathbf{x}_{j}^{(i)}, \mathbf{x}_{j+1}^{(i)}\}$  a  $\mathbb{Z}$ -basis of  $\mathbb{Z}^{2}$ . Condition (5.1) guarantees that  $\mathbf{x}_{s_{\nu}+1}^{(\nu)} = \mathbf{x}_{0}^{(1)}$  and  $\mathbf{x}_{1}^{(1)} = r_{1}\mathbf{x}_{0}^{(1)} - \mathbf{x}_{s_{\nu}}^{(\nu)} = \mathfrak{e}_{1} + \mathfrak{e}_{2}$ . Furthermore, (4.18) can be written as

$$\sum_{i=1}^{\nu} r_i + \sum_{i \in I_{\Delta}} \left( \sum_{j=1}^{s_i} b_j^{(i)} \right) = 3(\nu + \sum_{i \in I_{\Delta}} s_i) - 12,$$

and is exactly the condition which ensures that the above vectors  $\mathbf{x}_{j}^{(i)}$  go around the origin *only once*. Thus, we can define a complete fan

$$\Delta_{\text{basic}} := \left\{ \begin{array}{c|c} \text{the cones} \left\{ \mathbb{R}_{\geq 0} \mathbf{x}_{j}^{(i)} + \mathbb{R}_{\geq 0} \mathbf{x}_{j+1}^{(i)} \middle| 1 \le i \le \nu, \quad 0 \le j \le s_i \right\} \\ \text{together with their faces} \end{array} \right\}$$

consisting of basic cones. Since for  $i \in I_{\Delta}$  the matrix  $-\mathbf{L}_{s_i}(b_1^{(i)}, \ldots, b_{s_i}^{(i)})$  is negative definite (see Lemma 3.12), the irreducible curves  $\{\mathbf{V}_{\Delta_{\text{basic}}}(\mathbb{R}_{\geq 0} \mathbf{x}_j^{(i)}) | 1 \leq j \leq s_i\}$ can be contracted to a normal point (by Theorem 1.1). Consider the birational morphism  $X_{\Delta_{\text{basic}}} \longrightarrow X_{\Delta}$  contracting all these curves for all  $i \in I_{\Delta}$ . By construction,  $X_{\Delta}$  has (4.11) and (4.12) as its combinatorial data,  $X_{\Delta_{\text{basic}}}$  is isomorphic to  $X_{\widetilde{\Delta}}$ , and  $\mathfrak{G}_{\Delta} \cong \mathfrak{G}$ .

REMARK 5.11. The graph-theoretic interpretation of what happens by passing from a singular compact toric surface  $X_{\Delta}$  (with combinatorial data (4.11) and (4.12)) to its minimal desingularization  $f: X_{\widetilde{\Delta}} \longrightarrow X_{\Delta}$  is illustrated in Figure 6 (in which we assume, for simplification's sake, that  $I_{\Delta} = \{1, \ldots, \nu\}$ ).

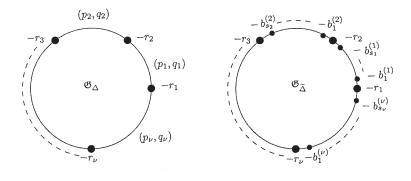


FIGURE 6.

## 6. Minimal, antiminimal and anticanonical models

In the present section we explain how one can make use of the general theory of §1 to obtain minimal models of normal pairs  $(X_{\Delta}, D)$ , and then we turn our attention to the antiminimal and anticanonical models of nonsingular  $X_{\Delta}$ 's.

• Exceptional curves and minimal models. Maintaining the notation introduced in §4, let D be a  $\mathbb{Q}$ -Weil divisor on a compact toric surface  $X_{\Delta}$  with

$$D \sim \sum_{i=1}^{\nu} \lambda_i C_i \in \operatorname{Div}_{\mathrm{W}}^{\mathbb{T}}(X_{\Delta}) \otimes_{\mathbb{Z}} \mathbb{Q}, \quad \text{(for suitable } \lambda_1, \dots, \lambda_{\nu} \in \mathbb{Q}, \text{ cf. Thm. 2.8)}.$$

LEMMA 6.1. The irreducible curve  $C_j$  (with  $j \in \{1, ..., \nu\}$ ) is an exceptional curve of the first kind for the normal pair  $(X_{\Delta}, D)$  (in the sense of §1) if and only if the following conditions are satisfied:

$$\left\{ \begin{cases}
\frac{q_{j-1}-\hat{p}_{j-1}}{q_{j-1}} + \frac{q_{j}-p_{j}}{q_{j}} < r_{j}, \text{ and} \\
\frac{\lambda_{j-1}}{q_{j-1}} + \lambda_{j} \left( \frac{q_{j-1}-\hat{p}_{j-1}}{q_{j-1}} + \frac{q_{j}-p_{j}}{q_{j}} - r_{j} \right) + \frac{\lambda_{j+1}}{q_{j+1}} < 0 \end{cases}, \quad \forall j \in I'_{\Delta}, \\
\left\{ \begin{cases}
\frac{q_{j}-p_{j}}{q_{j}} < r_{j}, \text{ and} \\
\lambda_{j-1} + \lambda_{j} \left( \frac{q_{j}-p_{j}}{q_{j}} - r_{j} \right) + \frac{\lambda_{j+1}}{q_{j+1}} < 0 \end{cases}, \quad \forall j \in I'_{\Delta}, \\
\left\{ \begin{cases}
\frac{q_{j-1}-\hat{p}_{j-1}}{q_{j-1}} < r_{j}, \text{ and} \\
\frac{\lambda_{j-1}}{q_{j-1}} + \lambda_{j} \left( \frac{q_{j-1}-\hat{p}_{j-1}}{q_{j-1}} - r_{j} \right) + \lambda_{j+1} < 0 \end{cases}, \quad \forall j \in J'_{\Delta}, \\
r_{j} > 0 \text{ and } \lambda_{j-1} - \lambda_{j}r_{j} + \lambda_{j+1} < 0, \quad \forall j \in J'_{\Delta}.
\end{cases} \right\}$$
(6.1)

PROOF.  $C_j$  is an exceptional curve of the first kind for the normal pair  $(X_{\Delta}, D)$  iff both  $C_j^2$  and  $D \cdot C_j = (\sum_{i=1}^{\nu} \lambda_i C_i) \cdot C_j = \lambda_{j-1}(C_{j-1} \cdot C_j) + \lambda_j C_j^2 + \lambda_{j+1}(C_j \cdot C_{j+1})$ are negative. Applying Lemma 4.7, we get the above inequalities.

THEOREM 6.2. Suppose that  $C_j$  is an exceptional curve of the first kind for  $(X_{\Delta}, D)$  (i.e., that conditions (6.1) are satisfied). Let  $(X_{\Delta}, D) \xrightarrow{\varphi_1} (X_{\Delta_1}, D_1)$  be the contraction of the curve  $C_j$  (with  $|\Delta| = |\Delta_1|, \Delta_1(1) = \Delta(1) \setminus \{\tau_j\}$ , and  $D_1 = (\varphi_1)_*(D)$ ). Then  $\varphi_1$  is totally discrepant. Moreover, there exists a finite sequence of birational morphisms

$$(X_{\Delta}, D) \xrightarrow{\varphi_1} (X_{\Delta_1}, D_1) \xrightarrow{\varphi_2} (X_{\Delta_2}, D_2) \xrightarrow{\varphi_3} \cdots \xrightarrow{\varphi_{\mu}} (X_{\Delta_{\mu}}, D_{\mu})$$
(6.2)

of normal pairs such that  $(X_{\Delta_{\mu}}, D_{\mu})$  is a minimal model of  $(X_{\Delta}, D)$ .

PROOF. By (1.2) we get  $D = \varphi_1^*(D_1) + \left(\frac{D \cdot C_j}{C_j^2}\right) C$ , with  $\left(\frac{D \cdot C_j}{C_j^2}\right) > 0$ . If  $(X_{\Delta_1}, D_1)$  is a minimal model of  $(X_{\Delta}, D)$ , then we stop; otherwise, we consider the contraction  $\varphi_2$  of an exceptional curve of the first kind for the normal pair  $(X_{\Delta_1}, D_1)$  (which is again totally discrepant) and repeat the same procedure until we arrive at a minimal model of  $(X_{\Delta}, D)$ . For this, we need only a finite sequence (6.2) of birational morphisms because in each step the number of the (finitely many) irreducible components of the exceptional set is reduced by one.

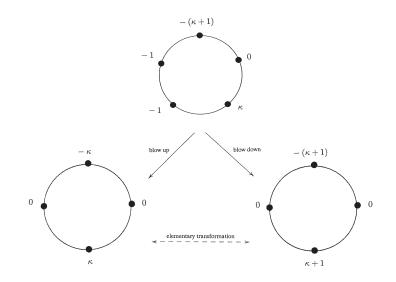
REMARK 6.3. (a) Setting  $\lambda_1 = \cdots = \lambda_{\nu} = -1$  (resp.,  $\lambda_1 = \cdots = \lambda_{\nu} = 1$ ) we obtain by Theorem 6.2 a minimal model (resp., an antiminimal model) of  $X_{\Delta}$  in the usual sense (see 1.5 (a)). In particular, minimal models with non-nef canonical divisor *either* admit a  $\mathbb{P}^1_{\mathbb{C}}$ -fibration and have Picard number  $\geq 2 \text{ or have numerically}$  ample anticanonical divisor and Picard number 1 (see [**51**, Thm. 4.9, p. 639]).

(b) If D is not pseudoeffective, there may be different choices to construct minimal models. For instance, even if  $X_{\Delta}$  is *nonsingular*, it does not admit a uniquely determined minimal model (i.e., for  $D = K_{X_{\Delta}}$ ), cf. [2, Remark 10.23, p. 156]. In fact, in this case, the set of all possible minimal models consists of the projective plane  $\mathbb{P}^2_{\mathbb{C}}$  together with the *Hirzebruch surfaces* 

$$\mathbb{F}_{\kappa} := \left\{ \left( [z_0 : z_1 : z_2], [t_1 : t_2] \right) \in \mathbb{P}^2_{\mathbb{C}} \times \mathbb{P}^1_{\mathbb{C}} \mid z_1 t_1^{\kappa} = z_2 t_2^{\kappa} \right\},\$$

where  $\kappa$  is an integer with  $0 \leq \kappa \neq 1$  (see [27], [2, Ch. 12], [41, Thm 8.2, pp. 52-56]).  $\mathbb{F}_{\kappa}$  can be viewed as the rational scroll  $\varpi : \mathbb{P}(\mathcal{O}_{\mathbb{P}^{1}_{\mathbb{C}}} \oplus \mathcal{O}_{\mathbb{P}^{1}_{\mathbb{C}}}(\kappa)) \longrightarrow \mathbb{P}^{1}_{\mathbb{C}}$  with twisting number  $\kappa$ , on the one hand, and as the toric surface having  $-\kappa, 0, \kappa, 0$  as weights at the four vertices of its circular graph, on the other. Obviously,  $\mathbb{F}_{\kappa}$  is

isomorphic to  $\mathbb{F}_{\kappa'}$  only if  $\kappa = \kappa'$ . Nevertheless, one can pass from the Hirzebruch surface  $\mathbb{F}_{\kappa}$  to  $\mathbb{F}_{\kappa+1}$  (and vice versa) by blowing up a T-fixed point  $\mathfrak{p}$  of  $\mathbb{F}_{\kappa}$ , and then contracting the strict transform of the fiber  $\varpi^{-1}(\varpi(\mathfrak{p}))$  (which is a (-1)-curve) to another T-fixed point  $\mathfrak{p}'$ , i.e., by an elementary transformation, as it is shown via the weighted circular graphs of Figure 7.





• Antiminimal and anticanonical models of nonsingular  $X_{\Delta}$ 's. Every nonsingular compact toric surface  $X_{\Delta}$  admits a unique antiminimal model  $X_{\Delta_{\text{antim}}}$ and a unique anticanonical model  $X_{\Delta_{\text{antican}}}$  because  $\text{kod}(X_{\Delta}, -K_{X_{\Delta}}) = 2$  (cf. [49, §7.6]), which means, in particular, that  $-K_{X_{\Delta}}$  is pseudoeffective (by [49, Lemma 3.1, p. 396]) and therefore one can apply Theorems 1.4 and 1.7.

DEFINITION 6.4. A nonsingular projective surface X is called *Del Pezzo surface* if its anticanonical divisor  $-K_X$  is ample. Correspondingly, a normal projective surface X with at worst log-terminal singularities is called *log Del Pezzo surface* if  $-K_X$  is a Q-Cartier ample divisor. The *index* ind(X) of a log Del Pezzo surface X is defined to be the smallest positive integer  $\ell$  for which  $\ell K_X$  is a Cartier divisor.

THEOREM 6.5. The anticanonical model  $X_{\Delta_{\text{antican}}} \cong \operatorname{Proj}(R(X_{\Delta}, -K_{X_{\Delta}}))$  of any nonsingular compact toric surface  $X_{\Delta}$  is a toric log Del Pezzo surface. Moreover, every toric log Del Pezzo surface is the anticanonical model of the surface obtained by its minimal desingularization.

PROOF. Since  $kod(X_{\Delta}, -K_{X_{\Delta}}) = 2$ ,  $R(X_{\Delta}, -K_{X_{\Delta}})$  is finitely generated and the assertion is true by Theorem 1.8.

NOTE 6.6. (a) The anticanonical model  $X_{\Delta_{\text{antican}}}$  of a nonsingular compact toric surface  $X_{\Delta}$  is constructed by considering the so-called *Zariski decomposition* of  $-K_{X_{\Delta}} = (-K_{X_{\Delta}})^{(+)} + (-K_{X_{\Delta}})^{(-)}$  and contracting the (finitely many) irreducible curves C on  $X_{\Delta}$  for which  $(-K_{X_{\Delta}})^{(+)} \cdot C = 0$  (see [2, Ch. 14] and [50, p. 886]). (b) To extract the antiminimal model  $X_{\Delta_{\text{antim}}}$  of a nonsingular compact toric surface  $X_{\Delta}$  from  $X_{\Delta_{\text{antican}}}$  it suffices to resolve (minimally) all Gorenstein singular points of  $X_{\Delta_{\text{antican}}}$  by a single birational *crepant* morphism.

▶ **Open problem**. The classification of all toric log Del Pezzo surfaces up to isomorphism remains an open combinatorial problem. Though there exist *finitely* many isomorphy classes of toric log Del Pezzo surfaces of given index<sup>1</sup>  $\ell \geq 1$ , the examination of those having high indices or (even worse) high Picard numbers seems to demand rather tricky techniques, at least from the computational point of view. In fact, for given index  $\ell$ , there are two main problems: the *local* one, i.e., to classify all possible types of the available cones, and the *global* one, i.e., to check which combinations of admissible cones fit together to give the required fans.

REMARK 6.7 (A geometric reformulation of the classification problem). If  $X_{\Delta}$  is a compact toric surface, then the Q-Cartier divisor  $-K_{X_{\Delta}}$  is defined by a rational  $\Delta$ -support function taking the value 1 at every  $\mathbf{n} \in \text{Gen}(\Delta)$  (see 2.7 and 2.11). By Theorem 2.14 and Remark 2.15  $-K_{X_{\Delta}}$  is ample if and only if this function is strictly upper convex, which means that all elements of  $\text{Gen}(\Delta)$  are vertices of a lattice polygon. Thus, in geometric terms, the classification of toric log Del Pezzo surfaces  $X_{\Delta}$  of a given index  $\ell \geq 1$  (up to isomorphism) is equivalent to the classification (up to unimodular transformation) of lattice polygons  $\mathcal{Q} \subset N_{\mathbb{R}}$  with  $\mathbf{0} \in \text{int}(\mathcal{Q})$  and  $\{F_{\sigma} | \sigma \in \Delta(2)\}$  as edge-set (in the notation of 3.19), such that  $\ell$ equals the lcm of  $\{\text{lind}(U_{\sigma}, \text{orb}(\sigma)) | \sigma \in \Delta(2)\}$ . (See below Lemma 6.8.) For such  $\mathcal{Q}$ 's, we have necessarily<sup>2</sup>

$$\operatorname{int}(\frac{1}{\ell}\mathcal{Q}) \cap N = \{\mathbf{0}\}.$$
(6.3)

In particular, the *finiteness* of the classes of lattice polygons fulfilling the equality (6.3) follows from results of Hensley [25] and Lagarias & Ziegler [34].

LEMMA 6.8. Using the notation of §4, the index  $\ell = ind(X_{\Delta})$  of a toric log Del Pezzo surface  $X_{\Delta}$  equals

$$\operatorname{ind} (X_{\Delta}) = \begin{cases} \operatorname{lcm} \{ \operatorname{lind} (U_{\sigma_i}, \operatorname{orb} (\sigma_i)) \mid i \in I_{\Delta} \}, & \text{if } I_{\Delta} \neq \emptyset, \\ 1, & \text{if } I_{\Delta} = \emptyset, \end{cases}$$

where lind denotes the local index introduced in 3.19.

PROOF. If  $X_{\Delta}$  is nonsingular, then obviously  $\operatorname{ind}(X_{\Delta}) = 1$ . Otherwise, we have  $I_{\Delta} \neq \emptyset$ , and  $\ell = \operatorname{ind}(X_{\Delta})$  is the smallest positive integer for which

$$f^*\ell K_{X_{\Delta}} = \ell K_{X_{\widetilde{\Delta}}} - \sum_{i \in I_{\Delta}} \ell K(E^{(i)}) = \ell K_{X_{\widetilde{\Delta}}} + \sum_{i \in I_{\Delta}} \sum_{j=1}^{s_i} \ell \left(1 - \frac{\gamma_j^{(i)} + \delta_j^{(i)}}{q_i}\right) E_j^{(i)}$$

is a Cartier divisor on the surface  $X_{\widetilde{\Delta}}$  obtained by the minimal desingularization (4.8) of  $X_{\Delta}$ . By 4.5 (b) we have  $\ell = \operatorname{lcm} \{ \operatorname{lind} (U_{\sigma_i}, \operatorname{orb} (\sigma_i)) \mid i \in I_{\Delta} \}$ .  $\Box$ 

We shall henceforth deal only with toric Del Pezzo surfaces  $X_{\Delta}$  with  $ind(X_{\Delta}) \leq 2$ .

LEMMA 6.9. Let  $\sigma \subset N_{\mathbb{R}}$  be a two dimensional (p,q)-cone (w.r.t. a suitable  $\mathbb{Z}$ -basis of N, in the sense of 3.4). Then

$$\operatorname{lind}\left(U_{\sigma},\operatorname{orb}\left(\sigma\right)\right) = 1 \iff \begin{cases} \text{ either } p = 0 \text{ and } q = 1, \\ \text{ or } p = 1 \text{ and } q \ge 2, \end{cases}$$
(6.4)

<sup>&</sup>lt;sup>1</sup>This follows, e.g., by more general results of A. and L. Borisov [11].

<sup>&</sup>lt;sup>2</sup>Condition (6.3) is also *sufficient* (for  $X_{\Delta}$  to be log-Del Pezzo) only for  $\ell = 1$ .

and

lind 
$$(U_{\sigma}, \operatorname{orb}(\sigma)) = 2 \iff (q = 2(p-1) \text{ and } p \text{ is odd } \geq 3).$$
 (6.5)

PROOF. By (3.12)  $\operatorname{lind}(U_{\sigma}, \operatorname{orb}(\sigma)) = 1$  means that  $q = \operatorname{gcd}(q, q - p + 1)$ , and therefore  $q \mid p - 1$ . Since p - 1 , <math>p, q satisfy (6.4). The converse is obvious. If  $\operatorname{lind}(U_{\sigma}, \operatorname{orb}(\sigma)) = 2$ , then  $q = 2 \operatorname{gcd}(q, q - p + 1)$ . Thus, q is even,  $q \nmid p - 1$ , and

$$\begin{cases} \frac{q}{2} \mid p-1 \Longrightarrow \exists \lambda \in \mathbb{N} : 2(p-1) = \lambda q\\ p-1$$

p is odd (because otherwise  $gcd(p,q) \ge 2$ ). The converse is obvious.

THEOREM 6.10. Up to isomorphism, there exist exactly 16 toric log Del Pezzo surfaces of index  $\ell = 1$ . Their WVE<sup>2</sup>C-graphs are illustrated in Figures 8-10.

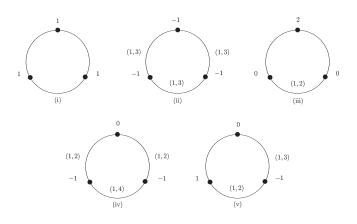


FIGURE 8.

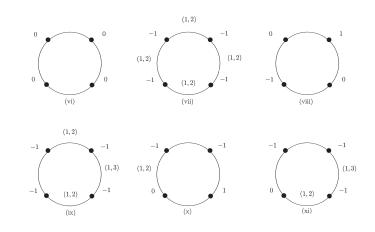


FIGURE 9.

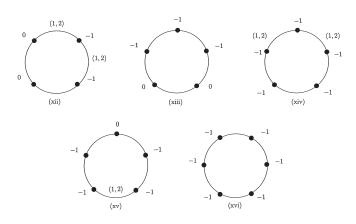


FIGURE 10.

SKETCH OF A FIRST PROOF. Let  $X_{\Delta}$  be a toric log Del Pezzo surface of this kind (with combinatorial data (4.11) and (4.12)). Since  $-K_{X_{\Delta}}$  is ample, Nakai's criterion [42, Thm. 2.18, pp. 86-87] and Lemma 4.7 give

$$-K_{X_{\Delta}} \cdot C_{i} = C_{i-1} \cdot C_{i} + C_{i}^{2} + C_{i} \cdot C_{i+1} = -r_{i} + 2 > 0 \Longrightarrow r_{i} \le 1,$$
(6.6)

for all  $i \in \{1, \ldots, \nu\}$ . On the other hand, using (6.4), (4.18) can be written as

$$\sum_{i=1}^{\nu} r_i = 3\nu - 12 + \sum_{i \in I_\Delta} s_i = 3\nu - 12 + \sum_{i \in I_\Delta} (q_i - 1).$$
(6.7)

From (6.6) and (6.7) we conclude that

$$3 \le \nu \le 6 - \frac{1}{2} \sum_{i \in I_{\Delta}} s_i \le 6.$$
(6.8)

The "classical" toric Del Pezzo surfaces (with  $I_{\Delta} = \emptyset$ ) are 5, namely those corresponding to the WVE<sup>2</sup>C-graphs (i), (vi), (viii), (xiii) and (xvi) of Figures 8, 9, and 10, and have been classified in [4, Proposition 6, p. 22], [42, Proposition 2.21, pp. 88-89], and [55, Proposition 2.7, pp. 40-41]. For the singular toric log Del Pezzos we have obviously  $\nu \in \{3, 4, 5\}$ . If  $\nu = 5$ , then there are either one or two singular points (coming necessarily from cones of type (p, q) = (1, 2); cf. (6.4) and (6.8)). By (6.7) and the fact that  $X_{\tilde{\Delta}}$  must be contractible either to  $\mathbb{P}^2_{\mathbb{C}}$  or to an  $\mathbb{F}_{\kappa}$  after blowing down (at most 4) (-1)-curves (see 6.3 (b)), we infer that *either* one of the  $r_i$ 's equals 0 and the others = 1 or all  $r_i$ 's are equal to 1. Now having the main constituents of all possible WVE<sup>2</sup>C-graphs in hand (i.e., the weights  $(p_i, q_i), -r_i$ , and  $b_k^{(i)}$ 's which are = 2), it is enough to test via (5.1) which of these graphs can be realized as WVE<sup>2</sup>C-graphs of a complete fan (specifying automatically the ordering of the 5 available two-dimensional cones). As it turns out, only the circular graphs (xiv) and (xv) of Figure 10 "survive" (up to "\cong") after having performed this test.

The admissible WVE<sup>2</sup>C-graphs for  $\nu \in \{3, 4\}$  can be found similarly.

SKETCH OF A SECOND PROOF. In [5] Batyrev proved that there exist exactly 16 lattice lattice polygons satisfying condition (6.3) for  $\ell = 1$ . (These are actually the so-called *reflexive polygons*.) Several alternative proofs of this fact are given

in [32, Thm. 4.2.3, p. 86], [45], and [40, Prop. 3.4.1, pp. 55-57]. Drawing the rays which begin from the origin and pass through the vertices of each of these lattice polygons one constructs the corresponding fans and recognizes the type of the two-dimensional rational s.c.p. cones, and where the singular points are located; afterwards, calculating the  $r_i$ 's, it is easy to build up the required WVE<sup>2</sup>C-graphs.

REMARK 6.11. (a) Table 1 contains a more precise description of the 16 toric log Del Pezzo surfaces of index 1 as projective varieties. In cases (viii), (xi), (xiii), (xv) and (xvi) the centers of blow-ups are smooth T-fixed points. In cases (ii), (iii), (iv), (v), (vii), (ix), (x), (xii) and (xiv) we indicate the embedding of the surface  $X_{\Delta}$  into  $\mathbb{P}_{\mathbb{C}}^{(K_{X_{\Delta}}^2)}$  induced by the global sections of the sheaf  $\mathcal{O}_{X_{\Delta}}(-K_{X_{\Delta}})$ .

(b) The surface obtained by the minimal desingularization of  $X_{\Delta}$  in cases (ii), (iv), (v), (vii) and (ix)-(xvi) is isomorphic to  $\mathbb{P}^2_{\mathbb{C}}$  blown up at  $9 - K^2_{X_{\Delta}}$  points which are in almost general position (see Hidaka & Watanabe [**26**, Thm. 3.4, p. 325]).

(c) All possible types of singularities which can occur in the (not necessarily toric) log Del Pezzos of index  $\ell = 1$  are to be found in the "long lists" contained in [1, 56].

Nr.	$X_{\Delta}$	Nr.	$X_{\Delta}$
(i)	$\mathbb{P}^2_{\mathbb{C}}$	(ix)	(realized as a surface of degree 4 in $\mathbb{P}^4_{\mathbb{C}}$ )
(ii)	$\mathbb{P}^2_{\mathbb{C}}/(\mathbb{Z}/3\mathbb{Z})$ (this can be realized as the cubic surface { $[z_0:: z_3] \in \mathbb{P}^3_{\mathbb{C}} \ z_0^3 = z_1 z_2 z_3$ })	(x)	(realized as a surface of degree 7 in $\mathbb{P}^7_{\mathbb{C}}$ )
(iii)	$\mathbb{P}^2_{\mathbb{C}}(1,1,2)$ (realized as the cone over a quadric in $\mathbb{P}^2_{\mathbb{C}}$ obtained by contracting the minimal section of an $\mathbb{F}_2$ )	(xi)	$\mathbb{P}^2_{\mathbb{C}}(1,2,3)$ blown up at one point
(iv)	$\mathbb{P}^2_{\mathbb{C}}(1,1,2)/(\mathbb{Z}/2\mathbb{Z})$ (realized as a surface of degree 4 in $\mathbb{P}^4_{\mathbb{C}}$ )	(xii)	(realized as a surface of degree 7 in $\mathbb{P}^7_{\mathbb{C}}$ )
(v)	$\mathbb{P}^2_{\mathbb{C}}(1,2,3)$ (realized as a surface of degree 6 in $\mathbb{P}^6_{\mathbb{C}}$ )	(xiii)	$\mathbb{P}^2_{\mathbb{C}}$ blown up at two points
(vi)	$\mathbb{P}^1_{\mathbb{C}}\times\mathbb{P}^1_{\mathbb{C}}$	(xiv)	(realized as a surface of degree 5 in $\mathbb{P}^5_{\mathbb{C}}$ )
(vii)	(realized as a surface of degree 4 in $\mathbb{P}^4_{\mathbb{C}}$ )	(xv)	$\mathbb{P}^2_{\mathbb{C}}(1,1,2)$ blown up at two points
(viii)	$\mathbb{P}^2_{\mathbb{C}}$ blown up at one point ( $\cong \mathbb{F}_1$ )	(xvi)	$\mathbb{P}^2_{\mathbb{C}}$ blown up at three points

THEOREM 6.12. Up to isomorphism, there are only 7 toric log Del Pezzo surfaces of index  $\ell = 2$  with Picard number 1, namely those whose WVE<sup>2</sup>C-graphs are illustrated in Figure 11, and whose structure (as weighted projective planes or quotients thereof) is described in the last column of Table 4.

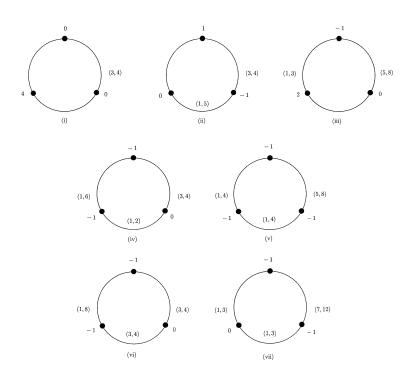


FIGURE 11.

PROOF. Let  $X_{\Delta}$  be a toric log Del Pezzo surface of this kind. By Lemma 6.8  $\Delta$  contains necessarily at least one cone  $\sigma$  with  $\operatorname{lind}(U_{\sigma}, \operatorname{orb}(\sigma)) = 2$ . Without loss of generality, we may work with the standard rectangular lattice  $\mathbb{Z}^2$  within  $\mathbb{R}^2$  and assume that  $\Delta(2)$  consists of the cones

 $\sigma_{i} = \mathbb{R}_{\geq 0} \, \mathbf{n}_{i} + \mathbb{R}_{\geq 0} \, \mathbf{n}_{i+1}, \ \forall i \in \{1, 2, 3\}, \text{ with } \mathbf{n}_{1} = (1, 0) \,, \mathbf{n}_{2} = (p_{1}, 2(p_{1} - 1)) \,,$ 

 $(p_1 \text{ odd} \ge 3, \text{ cf. } (6.5))$ , and with  $p_i, q_i, r_i$  denoting the combinatorial data (4.11) of  $X_{\Delta}$ . The third minimal generator  $\mathbf{n}_3$  of  $\Delta$  belongs necessarily to the set

$$\mathcal{M} := \left\{ (x, y) \in \mathbb{R}^2 \mid \frac{2(p_1 - 1)}{p_1} x < y < 0 \right\} \cap \mathbb{Z}^2.$$

Let us now define

$$\mathcal{L}_{\sigma_{2}} := \{ (x, y) \in \mathcal{M} \mid 2(p_{1} - 1)x - p_{1}y = -1 \}, \\ \mathcal{L}'_{\sigma_{2}} := \left\{ (x, y) \in \mathcal{M} \mid \begin{array}{c} x = p_{1} - \lambda q_{2}, \quad y = 2(p_{1} - 1) - \mu q_{2}, \\ \text{for some } \lambda, \mu \in \mathbb{Z} \quad \text{with} \quad \mu x - \lambda y = \pm 1 \end{array} \right\}, \\ \mathcal{L}''_{\sigma_{2}} := \left\{ (x, y) \in \mathcal{M} \mid \begin{array}{c} x = p_{1}p_{2} + \lambda q_{2}, \quad y = 2(p_{1} - 1)p_{2} + \mu q_{2}, \\ \text{for some } \lambda, \mu \in \mathbb{Z} \quad \text{with} \quad \mu p_{1} - 2\lambda(p_{1} - 1) = \pm 1 \end{array} \right\},$$

 $\begin{aligned} \mathcal{L}_{\sigma_{3}} &:= \{ (x, y) \in \mathcal{M} \mid y = -1 \}, \\ \mathcal{L}'_{\sigma_{3}} &:= \{ (x, y) \in \mathcal{M} \mid y = -q_{3}, \ x = \kappa q_{3} + 1, \ \text{for some } \kappa \in \mathbb{Z} \}, \\ \mathcal{L}''_{\sigma_{3}} &:= \left\{ (x, y) \in \mathcal{M} \mid \begin{array}{c} p_{3}x + 2\lambda(p_{3} - 1) = 1, \ p_{3}y + 2\mu(p_{3} - 1) = 0, \\ \text{for some } \lambda, \mu \in \mathbb{Z} \ \text{with} \ \mu x - \lambda y = \pm 1 \end{array} \right\}. \end{aligned}$ 

To determine all possible values of the coordinates of  $\mathbf{n}_3$  one has to examine (for symmetry reasons) only the six cases indicated in Table 2.

Case	$p_2$	$q_2$	$p_3$	$q_3$	Condition for $\mathbf{n}_3$	$r_1 + r_2 + r_3 $ (by (4.18))
(a)	0	1	0	1	$\mathbf{n}_3 \in \mathcal{L}_{\sigma_2} \cap \mathcal{L}_{\sigma_3}$	$\frac{p_1-1}{2} - 5$
(b)	0	1	1	$\geq 2$	$\mathbf{n}_3 \in \mathcal{L}_{\sigma_2} \cap \mathcal{L}'_{\sigma_3}$	$\frac{p_1-1}{2} + q_3 - 6$
(c)	1	$\geq 2$	1	$\geq 2$	$\mathbf{n}_3 \in \mathcal{L}'_{\sigma_2} \cap \mathcal{L}'_{\sigma_3}$	$\frac{p_1-1}{2} + q_2 + q_3 - 7$
(d)	0	1	$\geq 3$	$2(p_3 - 1)$	$\mathbf{n}_3 \in \mathcal{L}_{\sigma_2} \cap \mathcal{L}''_{\sigma_3}$	$\frac{p_1-1}{2} + \frac{p_3-1}{2} - 7$
(e)	1	$\geq 2$	$\geq 3$	$2(p_3 - 1)$	$\mathbf{n}_3 \in \mathcal{L}'_{\sigma_2} \cap \mathcal{L}''_{\sigma_3}$	$\frac{p_1 - 1}{2} + \frac{p_3 - 1}{2} + q_2 - 8$
(f)	$\geq 3$	$2(p_2 - 1)$	$\geq 3$	$2(p_3-1)$	$\mathbf{n}_3\in\mathcal{L}''_{\sigma_2}\cap\mathcal{L}''_{\sigma_3}$	$\sum_{i=1}^{3} \left(\frac{p_i - 1}{2}\right) - 9$



Since  $-2K_{X_{\Delta}}$  is an ample Cartier divisor, Nakai's criterion informs us that

 $-2K_{X_{\Delta}} \cdot C_i > 0 \Longrightarrow (C_1 + C_2 + C_3) \cdot C_i > 0, \quad \forall i \in \{1, 2, 3\},$ (6.9)

(where  $C_i := \mathbf{V}_{\Delta}(\mathbb{R}_{\geq 0}\mathbf{n}_i)$ ). Hence, using (6.9) and Lemma 4.7, we find concrete upper bounds for  $r_1, r_2$  and  $r_3$ , leading to further restrictions on  $p_i, q_i$ , which are summarized in Table 3.

Case	$r_1$	$r_2$	$r_3$	Restrictions on $p_i, q_i$
(a)	$\leq 1$	$\leq 1$	$\leq 1$	$3 \le p_1 \le 17  (p_1 \text{ odd} \ge 3)$
(b)	$\leq 1$	$\leq 1$	$\leq 1$	$7 \le p_1 + 2q_3 \le 19 \ (p_1 \text{ odd } \ge 3)$
(c)	$\leq 1$	$\leq 1$	$\leq 1$	$11 \le p_1 + 2(q_2 + q_3) \le 21, \ (p_1 \text{ odd} \ge 3)$
(d)	$\leq 0$	$\leq 1$	$\leq 1$	$6 \le p_1 + p_3 \le 20 \ (p_1, p_3 \text{ odd} \ge 3)$
(e)	$\leq 0$	$\leq 1$	$\leq 1$	$10 \le p_1 + 2q_2 + p_3 \le 22 \ (p_1, p_3 \text{ odd} \ge 3)$
(f)	$\leq 0$	$\leq 0$	$\leq 0$	$9 \le p_1 + p_2 + p_3 \le 21 \ (p_1, p_2, p_3 \text{ odd} \ge 3)$

# TABLE 3.

Taking into account the conditions for  $\mathbf{n}_3$ , these inequalities have only one solution in case (a) (see below (i) in Table 4), two solutions in case (b) (namely (ii) and (iii)), three solutions in case (c) (namely (iv), (v), and (vii)), one solution in case (e) (namely (vi)), whereas they have no solution in cases (d) and (f)!

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Nr.	$p_1$	$q_1$	$p_2$	$q_2$	$p_3$	$q_3$	$\mathbf{n}_3$	$r_1$	$r_2$	$r_3$	$X_{\Delta}$
(i)	3	4	0	1	0	1	(-1, -1)	0	0	-4	$\mathbb{P}^2_{\mathbb{C}}(1,1,4)$
(ii)	3	4	0	1	1	5	(-4, -5)	1	-1	0	$\mathbb{P}^2_{\mathbb{C}}(1,4,5)$
(iii)	5	8	0	1	1	3	(-2, -3)	0	1	-2	$\mathbb{P}^2_{\mathbb{C}}(1,3,8)$
(iv)	3	4	1	6	1	2	(-3, -2)	0	1	1	$\mathbb{P}^2_{\mathbb{C}}(1,2,3)/(\mathbb{Z}/2\mathbb{Z})$
(v)	5	8	1	4	1	4	(-3, -4)	1	1	1	$\mathbb{P}^2_{\mathbb{C}}(1,1,2)/(\mathbb{Z}/4\mathbb{Z})$
(vi)	3	4	1	8	3	4	(-5, -4)	0	1	1	$\mathbb{P}^2_{\mathbb{C}}(1,2,1)/(\mathbb{Z}/4\mathbb{Z})$
(vii)	7	12	1	3	1	3	(-2, -3)	1	1	0	$\mathbb{P}^2_{\mathbb{C}}(1,1,4)/(\mathbb{Z}/3\mathbb{Z})$

#### TABLE 4.

Having found  $\mathbf{n}_3$ 's (and consequently  $r_i$ 's), we determine both the precise structure of  $X_{\Delta}$ 's (see last column) and the WVE<sup>2</sup>C-graphs of Figure 11.

REMARK 6.13. Alexeev and Nikulin proved in [1, Thm. 4.2, pp. 105-106] that, up to isomorphism, there exist exactly 18 (not necessarily toric) log Del Pezzo surfaces of index 2 with Picard number = 1. Among them there are 14 having only cyclic quotient singularities. By Theorem 6.12 we see that only 7 out of these 14 surfaces are toric.

### 7. Riemann-Roch formula

The Euler-Poincaré characteristic

 $\chi(\mathcal{O}_X(D)) := \dim_{\mathbb{C}} H^0(X, \mathcal{O}_X(D)) - \dim_{\mathbb{C}} H^1(X, \mathcal{O}_X(D)) + \dim_{\mathbb{C}} H^2(X, \mathcal{O}_X(D))$ of the coherent sheaf  $\mathcal{O}_X(D)$  associated to a divisor D on a *nonsingular* projective surface X is given by the well-known *Riemann-Roch formula* 

$$\chi\left(\mathcal{O}_X\left(D\right)\right) = \chi\left(\mathcal{O}_X\right) + \frac{1}{2}D\cdot\left(D - K_X\right),\tag{7.1}$$

(see [3, formula (6), p. 26], [21, p. 472], or [23, Ch. V, Thm. 1.6, p. 362]). To generalize (7.1) in the category of *normal* projective surfaces (say, with mild singularities) in the case in which D is a Weil non-Cartier divisor one has to add to the right-hand side certain "correction terms" due to the contribution of singularities. For compact toric surfaces we recall briefly the purely combinatorial method for the computation of  $\chi(\mathcal{O}_{X_{\Delta}}(D))$  whenever D is a T-invariant Cartier divisor with  $\mathcal{O}_{X_{\Delta}}(D)$  generated by the global sections, and then we pass to Blache's RR-formula (7.3) to deal with the general case.

• Traditional computation of  $\chi(\mathcal{O}_{X_{\Delta}}(D))$  by toric tools. Let  $X_{\Delta}$  be a compact toric surface as in §4. Consider the T-invariant Cartier divisor  $D_{\psi}$  on  $X_{\Delta}$  associated to an upper convex  $\Delta$ -support function  $\psi$ . Since  $H^{j}(X_{\Delta}, \mathcal{O}_{X_{\Delta}}(D_{\psi}))$  vanishes for  $j \geq 1$  (see [42, pp. 76-77]), we have

$$\chi\left(\mathcal{O}_{X_{\Delta}}\left(D_{\psi}\right)\right) = \dim_{\mathbb{C}} H^{0}(X_{\Delta}, \mathcal{O}_{X_{\Delta}}\left(D_{\psi}\right)) = \sharp\left(\mathcal{P}_{\psi} \cap M\right)$$
(7.2)

by Theorem 2.13, and we can calculate  $\chi(\mathcal{O}_{X_{\Delta}}(D_{\psi}))$  by Pick's formula giving the number of lattice points of the integral convex polygon  $\mathcal{P}_{\psi}$  in terms of its area and its lattice points on the boundary.

EXAMPLE 7.1. If  $\Delta$  is the complete fan in  $\mathbb{R}^2$  (w.r.t. the lattice  $N = \mathbb{Z}^2$ ) with  $\operatorname{Gen}(\Delta) = \{\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3\}$ , where  $\mathbf{n}_1 = (2, -1)$ ,  $\mathbf{n}_2 = (-1, -1)$ ,  $\mathbf{n}_3 = (-1, 3)$ , and  $\psi$  takes the values  $\psi(\mathbf{n}_1) = 1$ ,  $\psi(\mathbf{n}_2) = -14$ ,  $\psi(\mathbf{n}_3) = 2$ , then

$$\mathcal{P}_{\psi} = \operatorname{conv}\left(\{(1,1), (5,9), (10,4)\}\right)$$

is the lattice triangle of Figure 12, and (7.2) gives

$$\chi(\mathcal{O}_{X_{\Delta}}(D_{\psi})) = \operatorname{area}(\mathcal{P}_{\psi}) + \frac{1}{2}(\sharp(\partial \mathcal{P}_{\psi} \cap M)) + 1$$
$$= \frac{1}{2} \left| \det \begin{pmatrix} 1 & 5 & 10\\ 1 & 9 & 4\\ 1 & 1 & 1 \end{pmatrix} \right| + \frac{1}{2}(12) + 1 = 37.$$

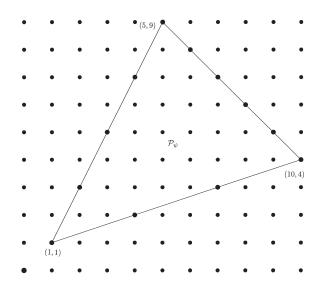


FIGURE 12.

• Generalized Riemann-Roch formula. Now let X be a projective surface having at worst quotient singularities. It is possible to compute  $\chi(\mathcal{O}_X(D))$  for an arbitrary Weil divisor D on X by the generalized Riemann-Roch formula:

$$\chi(\mathcal{O}_X(D)) = \chi(\mathcal{O}_X) + \frac{1}{2}D \cdot (D - K_X) + \mathfrak{Y}_X(D)$$
(7.3)

(see Blache [10, Thm. 1.2, pp. 312-313]), where the contribution

$$\mathfrak{Y}_X(D) = \sum_{x \in \operatorname{Sing}(X)} \mathfrak{Y}_{X,x}(D)$$
(7.4)

of the singular set of X to (7.3) is given by uniquely determined maps

$$\mathfrak{Y}_{X,x}$$
: Div<sub>W</sub> $(X,x)$ /Div<sub>C</sub> $(X,x) \longrightarrow \mathbb{Q}$ 

for each analytic germ (X, x) with  $x \in \text{Sing}(X)$ . In fact, it can be shown that if one considers a desingularization  $f : \widetilde{X} \longrightarrow X$  of X, then

$$\mathfrak{Y}_{X,x}(D) = -\frac{1}{2} \left( \left\langle f^* D - \overline{D} \right\rangle \cdot \left( \lfloor f^* D \rfloor - K_{\widetilde{X}} \right) \right), \tag{7.5}$$

where  $\overline{D}$  is the strict transform of D. (Here, by "[]" and "()" is meant the integer part and the fractional part, respectively, of a Q-Weil divisor).  $\mathfrak{Y}_{X,x}(D) = 0$  if and only if D is a Cartier divisor, and the right-hand side of (7.5) is well-defined over  $x \in \operatorname{Sing}(X)$  at which D is not Cartier. Moreover, the rational number  $\mathfrak{Y}_{X,x}(D)$ does not depend on the particular choice of f. (Formula (7.3) generalizes results from [12, Prop. 2, pp. 302-304], [19, 18.3.4, pp. 360-361] and [47, Thm. 9.1, pp. 409-411].) Let us apply (7.3) for a compact toric surface  $X = X_{\Delta}$  and see how  $\chi(\mathcal{O}_{X_{\Delta}}(D))$  is described by means of its combinatorial data (4.11) and (4.12).

THEOREM 7.2 (Riemann-Roch formula for compact toric surfaces). If D is a Weil divisor on  $X_{\Delta}$  with

$$D \sim \sum_{i=1}^{\nu} \lambda_i C_i \in \operatorname{Div}_{W}^{\mathbb{T}}(X_{\Delta}), \quad (\lambda_1, \dots, \lambda_{\nu} \in \mathbb{Z}),$$

then

$$\chi\left(\mathcal{O}_{X_{\Delta}}\left(D\right)\right) = -\frac{1}{2}\sum_{i=1}^{\nu}\lambda_{i}\left(\lambda_{i}+1\right)r_{i} + \sum_{i\in I_{\Delta}^{\prime}}\lambda_{i}\left(\lambda_{i}+1\right)\left(\frac{q_{i-1}-\widehat{p}_{i-1}}{2q_{i-1}} + \frac{q_{i}-p_{i}}{2q_{i}}\right)$$
$$+\sum_{i\in I_{\Delta}^{\prime\prime}}\lambda_{i}\left(\lambda_{i}+1\right)\left(\frac{q_{i}-p_{i}}{2q_{i}}\right) + \sum_{i\in J_{\Delta}^{\prime}}\lambda_{i}\left(\lambda_{i}+1\right)\left(\frac{q_{i-1}-\widehat{p}_{i-1}}{2q_{i-1}}\right)$$
$$+\sum_{i=1}^{\nu}\left(\lambda_{i}+\lambda_{i+1}+2\lambda_{i}\lambda_{i+1}\right)\frac{1}{2q_{i}} + \mathfrak{Y}_{X_{\Delta}}(D) + 1,$$

where

$$\mathfrak{Y}_{X_{\Delta}}(D) = \sum_{i \in I_{\Delta}} \mathfrak{Y}_{X_{\Delta}, \operatorname{orb}(\sigma_i)}(D),$$

and

$$\mathfrak{Y}_{X_{\Delta}, \operatorname{orb}(\sigma_{i})}(D) = -\frac{1}{2} (\lambda_{i}+1) \left\langle \frac{(q_{i}-p_{i})\lambda_{i}+\lambda_{i+1}}{q_{i}} \right\rangle + (\lambda_{i+1}+1) \left\langle \frac{\lambda_{i}+(q_{i}-\hat{p}_{i})\lambda_{i+1}}{q_{i}} \right\rangle + \sum_{j=1}^{s_{i}} \left\langle \frac{\gamma_{j}^{(i)}\lambda_{i}+\delta_{j}^{(i)}\lambda_{i+1}}{q_{i}} \right\rangle \left( \left\lfloor \frac{\gamma_{j}^{(i)}\lambda_{i}+\delta_{j}^{(i)}\lambda_{i+1}}{q_{i}} \right\rfloor + 1 \right) \frac{(b_{j}^{(i)})^{2}}{2} - \sum_{1 \leq j < k \leq s_{i}} \frac{1}{2} \left( \left\langle \frac{\gamma_{j}^{(i)}\lambda_{i}+\delta_{j}^{(i)}\lambda_{i+1}}{q_{i}} \right\rangle \left( \left\lfloor \frac{\gamma_{k}^{(i)}\lambda_{i}+\delta_{k}^{(i)}\lambda_{i+1}}{q_{i}} \right\rfloor + 1 \right) \right) - \sum_{1 \leq j < k \leq s_{i}} \frac{1}{2} \left( \left\langle \frac{\gamma_{k}^{(i)}\lambda_{i}+\delta_{k}^{(i)}\lambda_{i+1}}{q_{i}} \right\rangle \left( \left\lfloor \frac{\gamma_{j}^{(i)}\lambda_{i}+\delta_{j}^{(i)}\lambda_{i+1}}{q_{i}} \right\rfloor + 1 \right) \right)$$

for all  $i \in I_{\Delta}$ .

PROOF. Since  $\chi(\mathcal{O}_{X_{\Delta}}) = 1$ , and

$$D \cdot (D - K_{X_{\Delta}}) = \left(\sum_{i=1}^{\nu} \lambda_i C_i\right) \cdot \left(\sum_{i=1}^{\nu} (\lambda_i + 1) C_i\right)$$
$$= \sum_{i=1}^{\nu} \lambda_i \left(\lambda_i + 1\right) C_i^2 + \sum_{1 \le i < j \le \nu} (\lambda_i + \lambda_j + 2\lambda_i \lambda_j) \left(C_i \cdot C_j\right)$$
$$= \sum_{i=1}^{\nu} \lambda_i \left(\lambda_i + 1\right) C_i^2 + \sum_{i=1}^{\nu} (\lambda_i + \lambda_{i+1} + 2\lambda_i \lambda_{i+1}) \left(C_i \cdot C_{i+1}\right)$$

$$\begin{split} &= -\sum_{i=1}^{\nu} \lambda_i \left(\lambda_i + 1\right) r_i + \sum_{i \in I'_{\Delta}} \lambda_i \left(\lambda_i + 1\right) \left(\frac{q_{i-1} - \hat{p}_{i-1}}{q_{i-1}} + \frac{q_i - p_i}{q_i}\right) \\ &+ \sum_{i \in I''_{\Delta}} \lambda_i \left(\lambda_i + 1\right) \left(\frac{q_i - p_i}{q_i}\right) + \sum_{i \in J'_{\Delta}} \lambda_i \left(\lambda_i + 1\right) \left(\frac{q_{i-1} - \hat{p}_{i-1}}{q_{i-1}}\right) \\ &+ \sum_{i=1}^{\nu} \left(\lambda_i + \lambda_{i+1} + 2\lambda_i \lambda_{i+1}\right) \frac{1}{q_i}, \end{split}$$

(by Lemma 4.7), it suffices to consider the minimal desingularization (4.8) of  $X_{\Delta}$ , and to determine  $\mathfrak{Y}_{X_{\Delta}}(D)$  by (7.4) and (7.5). Let  $\overline{D}$  be the strict transform of D by this f, and

$$f^*D - \overline{D} = \sum_{i \in I_\Delta} \sum_{j=1}^{s_i} \mu_j^{(i)} E_j^{(i)}$$

the Q-Cartier divisor on  $X_{\widetilde{\Delta}}$  supported in  $\bigcup_{i \in I_{\Delta}} \bigcup_{j=1}^{s_i} E_j^{(i)}$  with coefficients satisfying the linear system

$$\overline{D} \cdot E_{j'}^{(i)} = -\left(\sum_{i \in I_\Delta} \sum_{j=1}^{s_i} \mu_j^{(i)} E_j^{(i)}\right) \cdot E_{j'}^{(i)},$$

for all  $i \in I_{\Delta}$  and all  $j' \in \{1, \ldots, s_i\}$ . For every fixed  $i \in I_{\Delta}$ , this system is equivalent to the following:

$$\left(\mathbf{L}_{s_i}(b_1^{(i)},\ldots,b_{s_i}^{(i)})\right)(\mu_1^{(i)},\ldots,\mu_{s_i}^{(i)})^T = (\lambda_i,0,0,\ldots,0,0,\lambda_{i+1})^T.$$

By Lemma 3.13,

$$\mu_{j}^{(i)} = \frac{1}{q_{i}} \left( \gamma_{j}^{(i)} \delta_{1}^{(i)} \lambda_{i} + \gamma_{s_{i}}^{(i)} \delta_{j}^{(i)} \lambda_{i+1} \right) = \frac{1}{q_{i}} \left( \gamma_{j}^{(i)} \lambda_{i} + \delta_{j}^{(i)} \lambda_{i+1} \right).$$
(7.6)

Now we write

$$2\mathfrak{Y}_{X_{\Delta}, \operatorname{orb}(\sigma_i)}(D) =$$

$$=\sum_{j=1}^{s_i} \left\langle \mu_j^{(i)} \right\rangle E_j^{(i)} \cdot \left( \left( \lambda_i \overline{C}_i + \lambda_{i+1} \overline{C}_{i+1} + \sum_{j=1}^{s_i} \left\lfloor \mu_j^{(i)} \right\rfloor E_j^{(i)} \right) + \left( \overline{C}_i + \overline{C}_{i+1} + \sum_{j=1}^{s_i} E_j^{(i)} \right) \right)$$

$$=\sum_{j=1}^{s_i} \left\langle \mu_j^{(i)} \right\rangle E_j^{(i)} \cdot \left( \left( \lambda_i + 1 \right) \overline{C}_i + \left( \lambda_{i+1} + 1 \right) \overline{C}_{i+1} + \sum_{j=1}^{s_i} \left( \left\lfloor \mu_j^{(i)} \right\rfloor + 1 \right) E_j^{(i)} \right)$$

$$= \left( \lambda_i + 1 \right) \left\langle \mu_1^{(i)} \right\rangle + \left( \lambda_{i+1} + 1 \right) \left\langle \mu_{s_i}^{(i)} \right\rangle - \sum_{j=1}^{s_i} \left\langle \mu_j^{(i)} \right\rangle \left( \left\lfloor \mu_j^{(i)} \right\rfloor + 1 \right) \left( b_j^{(i)} \right)^2$$

$$+ \sum_{1 \le j < k \le s_i} \left( \left\langle \mu_j^{(i)} \right\rangle \left( \left\lfloor \mu_k^{(i)} \right\rfloor + 1 \right) + \left\langle \mu_k^{(i)} \right\rangle \left( \left\lfloor \mu_j^{(i)} \right\rfloor + 1 \right) \right),$$

and use formulae (7.6) for  $\mu_j^{(i)}$ 's. This completes the proof.

## 8. Stringy invariants of compact toric surfaces

Stringy Hodge numbers  $h_{\text{str}}^{p,q}(X)$  of normal, projective complex varieties X with at worst Gorenstein quotient or toroidal singularities were introduced in [8] in an attempt to determine a suitable mathematical formulation (and generalization) for the numbers which are encoded into the Poincaré polynomial of the chiral and antichiral rings of the physical "integer charge orbifold theory". Batyrev generalized

further this definition in [6] and made it work also for the case in which one allows X to have at worst log-terminal singularities. In this framework, ones has to introduce appropriate  $\mathcal{E}_{\text{str}}$ -functions  $\mathcal{E}_{\text{str}}(X; u, v)$  instead which may be not even rational. As we shall see below, it is possible to express the stringy invariants of any compact toric surface  $X_{\Delta}$  in terms of its combinatorial data (4.11) and (4.12), and to verify that the so-called stringy Euler number  $e_{\text{str}}(X_{\Delta}) := \lim_{u,v \to 1} \mathcal{E}_{\text{str}}(X_{\Delta}; u, v)$  is an integer  $\geq 3$ .

•  $\mathcal{E}$ -polynomials. As it was shown by Deligne in [17, §8], the cohomology groups  $H^i(X, \mathbb{Q})$  of any complex variety X are equipped with a functorial *mixed Hodge* stucture. The same remains true if one works with cohomologies  $H^i_c(X, \mathbb{Q})$  with compact supports. There exist namely an increasing weight-filtration

$$\mathcal{W}_{\bullet}: \quad 0 = W_{-1} \subset W_0 \subset W_1 \subset \cdots \subset W_{2i-1} \subset W_{2i} = H^i_c(X, \mathbb{Q})$$

and a decreasing Hodge-filtration

$$\mathcal{F}^{\bullet}: \quad H^i_c(X,\mathbb{C}) = F^0 \supset F^1 \supset \dots \supset F^i \supset F^{i+1} = 0,$$

such that  $\mathcal{F}^{\, \bullet}$  induces a natural filtration

$$F^{\alpha}\left(Gr_{k}^{\mathcal{W}_{\bullet}}(H_{c}^{i}(X,\mathbb{C}))\right) = \left(W_{k}\left(H_{c}^{i}(X,\mathbb{C})\right) \cap F^{\alpha}\left(H_{c}^{i}(X,\mathbb{C})\right) + W_{k-1}\left(H_{c}^{i}(X,\mathbb{C})\right)\right) / W_{k-1}\left(H_{c}^{i}(X,\mathbb{C})\right)$$

(denoted again  $\mathcal{F}^{\bullet}$ ) on the complexification of the graded pieces

$$Gr_k^{\mathcal{W}_{\bullet}}(H_c^i(X,\mathbb{Q})) = W_k/W_{k-1}.$$

Let now

$$h^{\alpha,\beta}\left(H^{i}_{c}\left(X,\mathbb{C}\right)\right) := \dim_{\mathbb{C}} Gr^{\alpha}_{\mathcal{F}^{\bullet}} Gr^{\mathcal{W}_{\bullet}}_{\alpha+\beta}\left(H^{i}_{c}\left(X,\mathbb{C}\right)\right)$$

denote the corresponding *Hodge-Deligne numbers*. The so-called  $\mathcal{E}$ -polynomial of X is defined as follows:

$$\mathcal{E}\left(X; u, v\right) := \sum_{\alpha, \beta} \left( \sum_{i \ge 0} \left( -1 \right)^{i} h^{\alpha, \beta} \left( H^{i}_{c}\left(X, \mathbb{C}\right) \right) \right) u^{\alpha} v^{\beta} \in \mathbb{Z}\left[ u, v \right].$$

In fact, the  $\mathcal{E}$ -polynomial is to be viewed as "generating function" of these numbers. In particular, if X happens to be projective, equipped with a *pure Hodge structure*, then

$$\mathcal{E}(X; u, v) = \sum_{\alpha, \beta} (-1)^{\alpha + \beta} h^{\alpha, \beta}(X) u^{\alpha} v^{\beta}, \qquad (8.1)$$

where  $h^{\alpha,\beta}(X)$  denote the usual Hodge numbers w.r.t. this structure.

•  $\mathcal{E}_{str}$ -functions. Allowing the existence of log-terminal singularities to pass to stringy invariants, one takes essentially into account the discrepancy coefficients.

DEFINITION 8.1. Let  $\varphi : \widetilde{X} \longrightarrow X$  denote an *snc*-desingularization of a Q-Gorenstein normal complex variety X, that is, a desingularization of X whose exceptional locus  $\operatorname{Exc}(\varphi) = \bigcup_{i=1}^{l} D_i$  consists of **s**mooth prime divisors  $D_1, \ldots, D_l$  with only **n**ormal crossings. Setting  $L := \{1, 2, \ldots, l\}$ , assume that X has at worst log-terminal singularities, i.e., discrepancy divisor

$$K_{\widetilde{X}} - \varphi^* \left( K_X \right) = \sum_{j=1}^l \eta_j \ D_j,$$

with  $\eta_j > -1$  for all  $j \in L$ . For every subset  $J \subseteq L$  we introduce the following notation:

$$D_J := \begin{cases} X, & \text{if } J = \emptyset \\ \bigcap_{j \in J} D_j, & \text{if } J \neq \emptyset \end{cases} \quad \text{and} \quad D_J^\circ := D_J \smallsetminus \bigcup_{j \in L \smallsetminus J} D_j .$$

The algebraic function

$$\mathcal{E}_{\text{str}}(X; u, v) := \sum_{J \subseteq L} \mathcal{E}(D_J^{\circ}; u, v) \prod_{j \in J} \frac{uv - 1}{(uv)^{\eta_j + 1} - 1}$$
(8.2)

(under the convention for  $\prod_{j \in J}$  to be 1, if  $J = \emptyset$ , and  $\mathcal{E}(\emptyset; u, v) := 0$ ) is called the stringy  $\mathcal{E}$ -function of X.

The main result of Batyrev in [6] says that:

THEOREM 8.2. The stringy  $\mathcal{E}$ -function  $\mathcal{E}_{str}(X; u, v)$  is independent of the choice of the snc-desingularization  $\varphi: \widetilde{X} \longrightarrow X$ .

REMARK 8.3. (a) To define (8.2) it is sufficient for  $\varphi : \widetilde{X} \longrightarrow X$  to fulfil the snc-condition only for those  $D_i$ 's for which  $\eta_i \neq 0$ .

(b) If X admits a *crepant* desingularization  $\varphi : \widetilde{X} \longrightarrow X$ , i.e.,  $K_{\widetilde{X}} = \varphi^* K_X$  with  $\widetilde{X}$  nonsingular, then  $\mathcal{E}_{str}(X; u, v) = \mathcal{E}(\widetilde{X}; u, v)$ .

(c) In general,  $\mathcal{E}_{str}(X; u, v)$  may be not a rational function in the two variables u, v. Nevertheless, if X has at worst Gorenstein singularities, then

$$\mathcal{E}_{\mathrm{str}}\left(X; u, v\right) \in \mathbb{Z}\llbracket u, v \rrbracket \cap \mathbb{Q}(u, v).$$

(Of course, for X projective, stringy Hodge numbers  $h_{\text{str}}^{\alpha,\beta}(X)$  can be defined only if  $\mathcal{E}_{\text{str}}(X; u, v) \in \mathbb{Z}[u, v]$ ).

(d) Since all Q-Gorenstein toric varieties have at worst log-terminal singularities (see Note 2.6 (b)), their stringy  $\mathcal{E}$ -function is defined by (8.2).

DEFINITION 8.4. One defines the rational number

$$e_{\operatorname{str}}(X) := \lim_{u,v\to 1} \mathcal{E}_{\operatorname{str}}(X; u, v) = \sum_{J\subseteq L} e\left(D_J^\circ\right) \prod_{j\in J} \frac{1}{\eta_j + 1}$$
(8.3)

as the stringy Euler number of X.

• Back to compact toric surfaces. Let  $X_{\Delta}$  be a compact toric surface constructed by a two-dimensional complete fan  $\Delta$  (as in § 4). We intend to compute the stringy invariants of  $X_{\Delta}$  in terms of its combinatorial data. At first, it should be mentioned that  $X_{\Delta}$ , as an orbifold, is endowed with a canonical *pure Hodge structure*, with Hodge numbers

$$h^{\alpha,\beta}(X_{\Delta}) = \dim_{\mathbb{C}} H^{\beta}(X_{\Delta}, \widehat{\Omega}^{\alpha}_{X_{\Delta}}), \quad \alpha, \beta \in \{0, 1, 2\},$$

where  $\widehat{\Omega}_{X_{\Delta}}^{\alpha} := \iota_* \Omega_{X_{\Delta} \smallsetminus \operatorname{Sing}(X_{\Delta})}^{\alpha}$  denotes the Zariski sheaf of germs of  $\alpha$ -forms (see [42, Thm. 3.6, pp. 121-122]), and  $\iota : X_{\Delta} \smallsetminus \operatorname{Sing}(X_{\Delta}) \hookrightarrow X_{\Delta}$  is the open embedding of the regular locus of  $X_{\Delta}$  into itself. This is due to the fact that the so-called Danilov's spectral sequence

$$\mathbf{E}_{1}^{\alpha,\beta} = H^{\beta}(X_{\Delta}, \widehat{\Omega}_{X_{\Delta}}^{\alpha}) \Longrightarrow H^{\alpha+\beta}(X_{\Delta}, \mathbb{C}),$$

(cf. [15, § 12] and [42, p. 133]), degenerates at the  $\mathbf{E}_1$ -term, consisting a direct analogue of the (usual) Hodge spectral sequence for the case in which one works with projective complex *manifolds*. Moreover, by [42, Thm. 3.11], we have

$$h^{\alpha,\beta}(X_{\Delta}) = \begin{cases} 0, & \text{if } \alpha \neq \beta, \\ \sum_{j=0}^{2} (-1)^{\alpha} {2-j \choose \alpha-j} \sharp(\Delta(j)), & \text{if } \alpha = \beta. \end{cases}$$
(8.4)

THEOREM 8.5 (Stringy invariants of  $X_{\Delta}$ ). The stringy  $\mathcal{E}$ -function of  $X_{\Delta}$  equals

In particular, the stringy Euler number of  $X_{\Delta}$  is always a positive integer  $\geq 3$ , because

$$e_{\rm str}\left(X_{\Delta}\right) = \nu + \sum_{i \in I_{\Delta}} \left(q_i - 1\right) \tag{8.6}$$

PROOF. Since  $\sharp(\Delta(0)) = 1$ ,  $\sharp(\Delta(1)) = \sharp(\Delta(2)) = \nu$ , by formulae (8.4) we get

$$h^{\alpha,\alpha}(X_{\Delta}) = \begin{cases} 1, & \text{if } \alpha \in \{0,2\},\\ \nu - 2, & \text{if } \alpha = 1. \end{cases}$$

Hence, by (8.2) and (8.1),

$$\mathcal{E}_{\text{str}}(X_{\Delta}; u, v) = \mathcal{E}(X_{\Delta}; u, v) + \sum_{i \in I_{\Delta}} (\mathcal{E}_{\text{str}}((X_{\Delta}, \operatorname{orb}(\sigma_{i})); u, v) - 1)$$
$$= \sum_{0 \le \alpha, \beta \le 2} (-1)^{\alpha + \beta} h^{\alpha, \beta}(X_{\Delta}) u^{\alpha} v^{\beta} + \sum_{i \in I_{\Delta}} (\mathcal{E}_{\text{str}}(U_{i}; u, v) - 1)$$
$$= 1 + (\nu - 2) uv + (uv)^{2} + \sum_{i \in I_{\Delta}} \left( (uv)^{2} \sum_{j=0}^{q_{i}-1} (uv)^{-\frac{[j(q_{i}-p_{i}+1)]_{q_{i}}}{q_{i}}} \right) - 1 \right)$$

where for the last equality one applies [7, Lemma 7.4, pp. 28-29], i.e., that the stringy function of each  $U_i \cong \mathbb{C}^2/G_i$ ,  $i \in I_\Delta$ , is nothing but the so-called *orbifold*  $\mathcal{E}$ -function of the quotient space  $\mathbb{C}^2/G_i$ , under the consideration of the element  $g_i := \operatorname{diag}(\zeta_{q_i}^{(q_i - p_i)}, \zeta_{q_i})$  as the distinguished generator of the cyclic subgroup  $G_i$  of  $\operatorname{GL}(2,\mathbb{C})$  which acts on  $\operatorname{T}^{\operatorname{hol}}_{X_\Delta,\operatorname{orb}(\sigma_i)} \cong \mathbb{C}^2$  as follows:

$$G \times \mathbb{C}^2 \ni \left(g_i^j, (z_1, z_2)\right) \longmapsto \left(\zeta_{q_i}^{j(q_i - p_i)} z_1, \zeta_{q_i}^j z_2\right) \in \mathbb{C}^2,$$

 $\forall j \in \{0, 1, \dots, q_i - 1\}$ . To compute  $e_{\text{str}}(X_{\Delta})$  one can take the limit of  $\mathcal{E}_{\text{str}}(X_{\Delta}; u, v)$  whenever  $u, v \to 1$ , or, alternatively, make use of [7, Corollary 7.6, p. 30]:

$$e_{\text{str}}(X_{\Delta}) = e(X_{\Delta} \setminus \text{Sing}(X_{\Delta})) + \sum_{i \in I_{\Delta}} |G_i|$$
$$= e(X_{\Delta}) - \sharp(I_{\Delta}) + \sum_{i \in I_{\Delta}} q_i$$
$$= \nu + \sum_{i \in I_{\Delta}} (q_i - 1).$$

Thus,  $e_{\text{str}}(X_{\Delta})$  is always a positive integer  $\geq \nu \geq 3$ .

REMARK 8.6. (a) Since

$$e_{\mathrm{str}}(X_{\Delta}) = e(X_{\Delta}) + \sum_{i \in I_{\Delta}} \left( e_{\mathrm{str}} \left( (X_{\Delta}, \mathrm{orb}\left(\sigma_{i}\right) \right) \right) - 1 \right),$$

working directly with the initial definition (8.3) and with the (good) minimal desingularization (4.8) of  $X_{\Delta}$ , we obtain

$$e_{\text{str}}\left((X_{\Delta}, \operatorname{orb}(\sigma_{i}))\right) = e_{\text{str}}\left(U_{i}\right) + \sum_{i=1}^{s_{i}} e((E_{i}^{(i)})^{\circ}) \frac{q_{i}}{(i)} + \sum_{i=1}^{s_{i}-1} e(E_{i}^{(i)} \cap E_{i+1}^{(i)}) \frac{q_{i}^{2}}{(i)} + \sum_{i=1}^{s_{i}-1} e(E_{i+1}^{(i)}) \frac{q_{i$$

$$= e(U_i) + \sum_{j=1}^{s_i} e((E_j^{(i)})^{\circ}) \frac{q_i}{\gamma_j^{(i)} + \delta_j^{(i)}} + \sum_{j=1}^{s_i^{(-1)}} e(E_j^{(i)} \cap E_{j+1}^{(i)}) \frac{q_i^2}{(\gamma_j^{(i)} + \delta_j^{(i)})(\gamma_{j+1}^{(i)} + \delta_{j+1}^{(i)})} - 1,$$

by (4.9) and (4.13). Since

$$e(U_i) = 1, \ e(E_j^{(i)}) = e(\mathbb{P}^1_{\mathbb{C}}) = 2, \ e(E_j^{(i)} \cap E_{j+1}^{(i)}) = 1,$$

and

$$e((E_j^{(i)})^\circ) = \begin{cases} 1, & \text{if } j = 1 \text{ and } s_i > 1, \\ 0, & \text{if } j \in \{2, \dots, s_i - 1\} \text{ and } s_i > 2, \\ 1, & \text{if } j = s_i \text{ and } s_i > 1, \\ 2, & \text{if } j = s_i = 1, \end{cases}$$

we deduce finally that

$$e_{\text{str}}\left(U_{i}\right) = \begin{cases} q_{i}, & \text{if } s_{i} = 1, \\ q_{i}\left(\frac{1}{q_{i} - p_{i} + 1} + \frac{1}{q_{i} - \hat{p}_{i} + 1} + \sum_{j=1}^{s_{i} - 1} \frac{q_{i}}{(\gamma_{j}^{(i)} + \delta_{j}^{(i)})(\gamma_{j+1}^{(i)} + \delta_{j+1}^{(i)})}\right), & \text{if } s_{i} > 1, \end{cases}$$

and

$$e_{\text{str}}(X_{\Delta}) = \nu + \sum_{i \in I_{\Delta} \text{ with } s_{i}=1} (q_{i}-1) + \sum_{i \in I_{\Delta} \text{ with } s_{i}>1} (q_{i}(\frac{1}{q_{i}-p_{i}+1} + \frac{1}{q_{i}-\hat{p}_{i}+1} + \sum_{j=1}^{s_{i}-1} \frac{q_{i}}{(\gamma_{j}^{(i)}+\delta_{j}^{(i)})(\gamma_{j+1}^{(i)}+\delta_{j+1}^{(i)})}) - 1).$$
(8.7)

Comparing formulae (8.6) and (8.7), and taking into account that the two computational methods are of local nature (i.e., focused on each of the involved singularities severally) we get the (nontrivial) identity

$$\frac{1}{q_i - p_i + 1} + \frac{1}{q_i - \hat{p}_i + 1} + \sum_{j=1}^{s_i - 1} \frac{q_i}{(\gamma_j^{(i)} + \delta_j^{(i)})(\gamma_{j+1}^{(i)} + \delta_{j+1}^{(i)})} = 1,$$

for all  $i \in I_{\Delta}$  with  $s_i > 1$ . This identity is exactly what one needs for the understanding of the "intrinsic" role played by the orders of the inertia subgroups of  $G_i$ 's (corresponding to the irreducible components of codimension 1 in the ramification

locus of the covering  $\mathbb{C}^2 \longrightarrow \mathbb{C}^2/G_i$ ) during the direct computation which has been performed in Theorem 8.5 (cf. [7, Proof of Lemma 7.4, pp. 28-29]).

(b) The reader should not confuse the stringy Euler number  $e_{\text{str}}(X_{\Delta})$  with  $c_2(\Omega^1_{X_{\Delta}})$ , which has been named orbifold Euler number by some authors (see, e.g., [10, Thm. 7.3, p. 332] and [54, Remark 0.6, p. 117]). In our case,

$$c_2(\widehat{\Omega}^1_{X_\Delta}) = e(X_\Delta) - \sum_{i \in I_\Delta} \left(1 - \frac{1}{|G_i|}\right) = \nu - \sum_{i \in I_\Delta} \left(1 - \frac{1}{q_i}\right).$$

It is worthwhile mentioning that the stringy Euler number of any projective surface with quotient singularities is a topological invariant, whereas the orbifold Euler number is not (in general).

COROLLARY 8.7. If  $X_{\Delta}$  is Gorenstein, then  $\mathcal{E}_{str}(X_{\Delta}; u, v)$  is a polynomial, and the stringy Hodge numbers of  $X_{\Delta}$  are the following non-negative integers:

$$h_{\rm str}^{\alpha,\beta}(X_{\Delta}) = \begin{cases} 0, & \text{if } \alpha \neq \beta, \\ 1, & \text{if } \alpha = \beta = 0, \\ \nu - 2, & \text{if } \alpha = \beta = 1, \\ 1 + \sum_{i \in I_{\Delta}} s_i, & \text{if } \alpha = \beta = 2. \end{cases}$$

$$(8.8)$$

**PROOF.** If  $X_{\Delta}$  is Gorenstein, then  $p_i = 1$  and  $s_i = q_i - 1$  for all  $i \in I_{\Delta}$ , and the function (8.5) is a polynomial whose coefficients are those given in (8.8).

NOTE 8.8. (a) For the study of local contribution to the stringy  $\mathcal{E}$ -function of surface singularities which are log-terminal but not cyclic quotient singularities, as well as for a natural generalization of the definition (8.2) of stringy  $\mathcal{E}$ -function for wider classes of surface singularities, the reader is referred to Veys' article [54].

(b) For every toric variety  $X_{\Delta}$  (of arbitrary dimension) with at worst Gorenstein singularities,  $\mathcal{E}_{\text{str}}(X_{\Delta}; u, v)$  is always a polynomial, as it was shown in [6, Proposition 4.4, pp. 12-13].

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